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16 *The County of San Mateo, individually*  
*and on behalf of the People of the State of California*

17 **SUPERIOR COURT OF THE STATE OF CALIFORNIA**  
18 **IN AND FOR THE COUNTY OF SAN MATEO**

19 THE COUNTY OF SAN MATEO, individually  
20 and on behalf of THE PEOPLE OF THE  
STATE OF CALIFORNIA,

21 Plaintiff,

22 vs.

23 CHEVRON CORP.; CHEVRON U.S.A. INC.;  
24 EXXONMOBIL CORP.; BP P.L.C.; BP  
AMERICA, INC.; ROYAL DUTCH SHELL  
25 PLC; SHELL OIL PRODUCTS COMPANY  
LLC; CITGO PETROLEUM CORP.;  
26 CONOCOPHILLIPS; CONOCOPHILLIPS  
COMPANY; PHILLIPS 66; PEABODY  
27 ENERGY CORP.; TOTAL E&P USA INC.;  
TOTAL SPECIALTIES USA INC.; ARCH  
28 COAL, INC.; ENI S.p.A.; ENI OIL & GAS

Case No. 17CV03222

COMPLAINT FOR:

1. PUBLIC NUISANCE ON BEHALF OF THE PEOPLE OF THE STATE OF CALIFORNIA;
2. PUBLIC NUISANCE;
3. STRICT LIABILITY – FAILURE TO WARN;
4. STRICT LIABILITY – DESIGN DEFECT;
5. PRIVATE NUISANCE;
6. NEGLIGENCE;
7. NEGLIGENCE – FAILURE TO WARN; and
8. TRESPASS.

JURY TRIAL DEMANDED

**ENDORSED FILED**  
**SAN MATEO COUNTY**

JUL 17 2017

Clerk of the Superior Court  
By NIMA MOKHTABANI  
DEPUTY CLERK

1 INC.; RIO TINTO PLC; RIO TINTO LTD.;  
2 RIO TINTO ENERGY AMERICA INC.; RIO  
3 TINTO MINERALS, INC.; RIO TINTO  
4 SERVICES INC.; STATOIL ASA;  
5 ANADARKO PETROLEUM CORP.;  
6 OCCIDENTAL PETROLEUM CORP.;  
7 OCCIDENTAL CHEMICAL CORP.; REPSOL  
8 S.A.; REPSOL ENERGY NORTH AMERICA  
9 CORP.; REPSOL TRADING USA CORP.;  
10 MARATHON OIL COMPANY; MARATHON  
11 OIL CORPORATION; MARATHON  
12 PETROLEUM CORP.; HESS CORP.; DEVON  
13 ENERGY CORP.; DEVON ENERGY  
14 PRODUCTION COMPANY, L.P.; ENCANA  
15 CORP.; APACHE CORP.; and DOES 1  
16 through 100, inclusive,

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Defendants.

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1 **I. INTRODUCTION**

2 1. Defendants, major corporate members of the fossil fuel industry, have known for  
3 nearly a half century that unrestricted production and use of their fossil fuel products create  
4 greenhouse gas pollution that warms the planet and changes our climate. They have known for  
5 decades that those impacts could be catastrophic and that only a narrow window existed to take  
6 action before the consequences would not be reversible. They have nevertheless engaged in a  
7 coordinated, multi-front effort to conceal and deny their own knowledge of those threats, discredit  
8 the growing body of publicly available scientific evidence, and persistently create doubt in the  
9 minds of customers, consumers, regulators, the media, journalists, teachers, and the public about  
10 the reality and consequences of the impacts of their fossil fuel pollution. At the same time,  
11 Defendants have promoted and profited from a massive increase in the extraction and consumption  
12 of oil, coal, and natural gas, which has in turn caused an enormous, foreseeable, and avoidable  
13 increase in global greenhouse gas pollution and a concordant increase in the concentration of  
14 greenhouse gases,<sup>1</sup> particularly carbon dioxide (“CO<sub>2</sub>”) and methane, in the Earth’s atmosphere.  
15 Those disruptions of the Earth’s otherwise balanced carbon cycle have substantially contributed  
16 to a wide range of dire climate-related effects, including global warming, rising atmospheric and  
17 ocean temperatures, ocean acidification, melting polar ice caps and glaciers, more extreme and  
18 volatile weather, and sea level rise.<sup>2</sup> Plaintiffs, the People of the State of California and San Mateo  
19 County,<sup>3</sup> along with the County’s residents, taxpayers, and infrastructure, suffer the consequences.

20 2. Defendants are vertically integrated extractors, producers, refiners, manufacturers,  
21 distributors, promoters, marketers, and sellers of fossil fuel products. Decades of scientific  
22 research show that pollution from the production and use of Defendants’ fossil fuel products plays

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24 <sup>1</sup> As used in this Complaint, “greenhouse gases” refers collectively to carbon dioxide, methane, and nitrous oxide.  
25 Where a source refers to a specific gas or gases, or when a process relates only to a specific gas or gases, this  
26 Complaint refers to them by name.

26 <sup>2</sup> Exhibit A, attached to this Complaint, is a timeline highlighting information alleged in the paragraphs below. The  
27 timeline illustrates what the fossil fuel companies knew, when they knew it, and what they failed to do to prevent the  
28 environmental effects that are now imposing real costs on people and communities around the country. The  
information comes from key industry documents and other sources.

<sup>3</sup> As used in this Complaint, “San Mateo County” refers to all areas within the geographic boundaries of the County,  
including incorporated towns and cities.

1 a direct and substantial role in the unprecedented rise in emissions of greenhouse gas pollution and  
2 increased atmospheric CO<sub>2</sub> concentrations since the mid-20th century. This dramatic increase in  
3 atmospheric CO<sub>2</sub> and other greenhouse gases is the main driver of the gravely dangerous changes  
4 occurring to the global climate.

5 3. Anthropogenic (human-caused) greenhouse gas pollution, primarily in the form of  
6 CO<sub>2</sub>, is far and away the dominant cause of global warming and sea level rise.<sup>4</sup> The primary source  
7 of this pollution is the extraction, production and consumption of coal, oil, and natural gas, referred  
8 to collectively in this Complaint as “fossil fuel products.”<sup>5</sup>

9 4. The rate at which Defendants have extracted and sold fossil fuel products has  
10 exploded since the Second World War, as have emissions from those products. The substantial  
11 majority of all greenhouse gas emissions in history has occurred since the 1950s, a period known  
12 as the “Great Acceleration.”<sup>6</sup> About three quarters of all industrial CO<sub>2</sub> emissions in history have  
13 occurred since the 1960s,<sup>7</sup> and more than half have occurred since the late 1980s.<sup>8</sup> The annual rate  
14 of CO<sub>2</sub> emissions from production, consumption and use of fossil fuels has increased by more than  
15 60% since 1990.<sup>9</sup>

16 5. Defendants have known for nearly 50 years that greenhouse gas pollution from their  
17 fossil fuel products has a significant impact on the Earth’s climate and sea levels. Defendants’  
18 awareness of the negative implications of their own behavior corresponds almost exactly with the  
19 Great Acceleration, and with skyrocketing greenhouse gas emissions. With that knowledge,

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21 <sup>4</sup>See IPCC, 2014: Climate Change 2014: Synthesis Report. Contribution of Working Groups I, II and III to the Fifth  
22 Assessment Report of the Intergovernmental Panel on Climate Change [Core Writing Team, R.K. Pachauri and L.A.  
Meyer (eds.)]. IPCC, Geneva, Switzerland. Page 6, Figure SMP.3, <https://www.ipcc.ch/report/ar5/syr/>.

23 <sup>5</sup> See C. Le Quéré et al., Global Carbon Budget 2016, *Earth Syst. Sci. Data* 8, 632 (2016), [http://www.earth-syst-sci-](http://www.earth-syst-sci-data.net/8/605/2016/)  
24 [data.net/8/605/2016/](http://www.earth-syst-sci-data.net/8/605/2016/). Cumulative emissions since the beginning of the industrial revolution to 2015 were 413 GtC  
attributable to fossil fuels, and 190 GtC attributable to land use change. *Id.* Global CO<sub>2</sub> emissions from fossil fuels  
and industry remained nearly constant at 9.9 GtC in 2015, distributed among coal (41 %), oil (34 %), gas (19 %),  
cement (5.6 %), and gas flaring (0.7 %). *Id.* at 629.

25 <sup>6</sup> Will Steffen et al., The Trajectory of the Anthropocene: The Great Acceleration (2015),  
<http://journals.sagepub.com/doi/abs/10.1177/2053019614564785>.

26 <sup>7</sup> R. J. Andres et al., A synthesis of carbon dioxide emissions from fossil-fuel combustion, *Biogeosciences*, 9, 1851  
(2012), <http://www.biogeosciences.net/9/1845/2012/>.

27 <sup>8</sup> R. J. Andres et al., A synthesis of carbon dioxide emissions from fossil-fuel combustion, *Biogeosciences*, 9, 1851  
(2012), <http://www.biogeosciences.net/9/1845/2012/>.

28 <sup>9</sup> C. Le Quéré et al., Global Carbon Budget 2016, *Earth Syst. Sci. Data* 8, 630 (2016), [http://www.earth-syst-sci-](http://www.earth-syst-sci-data.net/8/605/2016/)  
[data.net/8/605/2016/](http://www.earth-syst-sci-data.net/8/605/2016/).

1 Defendants took steps to protect their own assets from these threats through immense internal  
2 investment in research, infrastructure improvements, and plans to exploit new opportunities in a  
3 warming world.

4 6. Instead of working to reduce the use and combustion of fossil fuel products, lower  
5 the rate of greenhouse gas emissions, minimize the damage associated with continued high use  
6 and combustion of such products, and ease the transition to a lower carbon economy, Defendants  
7 concealed the dangers, sought to undermine public support for greenhouse gas regulation, and  
8 engaged in massive campaigns to promote the ever-increasing use of their products at ever greater  
9 volumes. Thus, each Defendant's conduct has contributed substantially to the buildup of CO<sub>2</sub> in  
10 the environment that drives sea level rise.

11 7. Defendants are directly responsible for 227.6 gigatons of CO<sub>2</sub> emissions between  
12 1965 and 2015, representing 20.3% of total emissions of that potent greenhouse gas during that  
13 period. Accordingly, Defendants are directly responsible for a substantial portion of committed  
14 sea level rise (that is, sea level rise that will occur even in the absence of any future emissions)  
15 because of the consumption of their fossil fuel products.

16 8. Extreme flooding events will more than double in frequency on California's Pacific  
17 coast by 2050.<sup>10</sup> Flooding and storms will become more frequent and more severe, and average  
18 sea level will rise substantially along California's coast, and in the San Francisco Bay Area  
19 including San Mateo County. The County, bordered on two sides by water and among the most  
20 vulnerable counties to sea level rise in California, has already spent millions of dollars to study  
21 and mitigate the effects of global warming. Sea level rise already adversely affects the County and  
22 jeopardizes San Mateo's sewer systems, beaches, parks, roads, civil infrastructure, and essential  
23 public services, and communities.

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24  
25  
26 <sup>10</sup> Sean Vitousek et al., Doubling of coastal flooding frequency within decades due to sea-level rise, Scientific  
27 Reports, (May 18, 2017) ("Only 10 cm of SLR doubles the flooding potential in high-latitude regions with small  
28 shape parameters, notably the North American west coast (including the major population centers Vancouver,  
Seattle, San Francisco, and Los Angeles), and the European Atlantic coast."); USGS, In Next Decades, Frequency of  
Coastal Flooding Will Double Globally (May 18, 2017), <https://www.usgs.gov/news/next-decades-frequency-coastal-flooding-will-double-globally>.

1           9. Defendants’ production, promotion, marketing, and use of fossil fuel products,  
2 simultaneous concealment of the known hazards of those products, and their championing of anti-  
3 regulation and anti-science campaigns, actually and proximately caused Plaintiffs’ injuries.

4           10. Accordingly, the County brings claims against Defendants for Public Nuisance on  
5 behalf of the People of California as well as itself, Strict Liability for Failure to Warn, Strict  
6 Liability for Design Defect, Private Nuisance, Negligence, Negligent Failure to Warn,  
7 and Trespass.

8           11. By this action, the County seeks to ensure that the parties responsible for sea level  
9 rise bear the costs of its impacts on the County, rather than Plaintiffs, local taxpayers or residents.

10 **II. PARTIES**

11 **A. Plaintiffs**

12           12. Plaintiff, the People of the State of California (“the People”), by and through the  
13 County Counsel of San Mateo County, brings this suit pursuant to Code of Civil Procedure section  
14 731, and Civil Code sections 3479, 3480, 3491, and 3494, to abate the nuisance caused by sea  
15 level rise in the County’s jurisdiction.

16           13. Plaintiff County of San Mateo (“the County” or “San Mateo”) is a political  
17 subdivision of the State of California. The County is located in the San Francisco Bay Area on the  
18 central portion of the San Francisco Peninsula, with its county seat in Redwood City.

19           a. The County is bordered by water on two sides, with the San Francisco Bay  
20 to the East, and the Pacific Ocean to the West, and contains approximately 109 total miles of  
21 ocean-and bay-adjacent coastline.

22           b. Sea level has already risen significantly along both the County’s ocean side  
23 and bay side. The County anticipates and is planning for significant sea level rise over 1992 levels  
24 by the year 2100,<sup>11</sup> and the State of California projects possible sea level rise well above the  
25  
26

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27 <sup>11</sup> County of San Mateo, Sea Level Rise Vulnerability Assessment, Public Draft, p. 26 (April 2017),  
28 <http://seachangesmc.com/current-efforts/vulnerability-assessment/>.



1 County’s estimates in that same period under a “business-as-usual” emissions scenario.<sup>12</sup>

2 c. The sea level rise impacts on the County associated with an increase in  
3 average mean sea level height include, but are not limited to, increased inundation (permanent)  
4 and flooding (temporary) in natural and built environments with higher tides and intensified wave  
5 and storm surge events; aggravated wave impacts, including erosion, damage, and destruction of  
6 built structures, as well as natural features like cliffs, beaches and dunes, with consequent  
7 landslides; changes in sediment supply that could alter or destroy natural coastal habitats like  
8 beaches and wetlands, which would otherwise naturally mitigate sea level rise impacts; saltwater  
9 intrusion on groundwater aquifers, agricultural land, and infrastructure; and magnification of other  
10 climate change impacts, due to the superimposition on sea level rise on shifts in precipitation  
11 patterns that result in more rain and attendant flooding; increased frequency and severity of storms  
12 that cause erosion, flooding, and temporary sea level rise increases; and others. Compounding  
13 these environmental impacts are cascading social and economic impacts, which are secondary and  
14 tertiary injuries that arise out of physical sea-level rise injuries to the County.

15 d. Accounting for population increases over that time (by the year 2100), San  
16 Mateo is the only county on the West Coast with more than 100,000 residents at risk of three feet  
17 of sea level rise.<sup>13</sup>

18 e. The County owns and operates civil infrastructure including, but not limited  
19 to levees, stormwater and sewage transport systems, an airport, and roads. The County owns, leases  
20 and/or controls real property within its jurisdiction. Much of the County’s infrastructure and real  
21 property is on or near the Pacific Ocean and San Francisco Bay coasts, and has already suffered  
22 damage from rising sea levels and will suffer increasing damage in the future through rising sea  
23 levels and through the exacerbation of natural climate phenomena such as coastal erosion and El  
24 Niño.

25  
26 \_\_\_\_\_  
27 <sup>12</sup> Gary Griggs et al., Rising Seas in California: An Update on Sea-Level Rise Science, California Ocean Science  
Trust, p. 26, Table 1(b) (April 2017), [http://www.opc.ca.gov/webmaster/ftp/pdf/docs/rising-seas-in-california-an-  
update-on-sea-level-rise-science.pdf](http://www.opc.ca.gov/webmaster/ftp/pdf/docs/rising-seas-in-california-an-update-on-sea-level-rise-science.pdf).

28 <sup>13</sup> County of San Mateo, Sea Level Rise Vulnerability Assessment, Public Draft, p. 29 (April 2017),  
<http://seachangesmc.com/current-efforts/vulnerability-assessment/>.

1           **B. Defendants**

2           14. Defendants' are responsible for a substantial portion of the total greenhouse gases  
3 emitted between 1965 and 2015. Defendants, individually and collectively, are responsible for  
4 extracting, refining, processing, producing, promoting and marketing fossil fuel products, the  
5 normal and intended use of which has led to the emission of a substantial percentage of the total  
6 volume of greenhouse gases released into the atmosphere since 1965. Indeed, between 1965 and  
7 2015, the named Defendants extracted from the earth enough fossil fuel materials (i.e. crude oil,  
8 coal, and natural gas) to account for more than one in every five tons of CO<sub>2</sub> and methane emitted  
9 worldwide. Accounting for their wrongful promotion and marketing activities, Defendants bear a  
10 dominant responsibility for global warming generally and for Plaintiffs' injuries in particular.

11           15. When reference in this complaint is made to an act or omission of the Defendants,  
12 unless specifically attributed or otherwise stated, such references should be interpreted to mean  
13 that the officers, directors, agents, employees, or representatives of the Defendants committed or  
14 authorized such an act or omission, or failed to adequately supervise or properly control or direct  
15 their employees while engaged in the management, direction, operation or control of the affairs of  
16 Defendants, and did so while acting within the scope of their employment or agency.

17           16. **Chevron Entities**

18           a. Chevron Corporation is a multi-national, vertically integrated energy and  
19 chemicals company incorporated in the State of Delaware, with its global headquarters and  
20 principal place of business in San Ramon, California.

21           b. Chevron U.S.A., Inc. is a Pennsylvania Corporation with its principal place  
22 of business located in San Ramon, California. Chevron USA is a wholly owned subsidiary of  
23 Chevron Corporation.

24           c. "Chevron" as used hereafter, means collectively, Defendants Chevron  
25 Corp. and Chevron U.S.A., Inc.

26           d. Chevron operates through a web of U.S. and international subsidiaries at all  
27 levels of the fossil fuel supply chain. Chevron's and its subsidiaries' operations consist of  
28

1 exploring for, developing, and producing crude oil and natural gas; processing, liquefaction,  
2 transportation, and regasification associated with liquefied natural gas; transporting crude oil by  
3 major international oil export pipelines; transporting, storage, and marketing of natural gas;  
4 refining crude oil into petroleum products; marketing of crude oil and refined products;  
5 transporting crude oil and refined products by pipeline, marine vessel, motor equipment and rail  
6 car; basic and applied research in multiple scientific fields including of chemistry, geology, and  
7 engineering; and manufacturing and marketing of commodity petrochemicals, plastics for  
8 industrial uses, and fuel and lubricant additives.

9 17. **ExxonMobil Corporation**

10 a. ExxonMobil Corporation (“Exxon”) is a multi-national, vertically  
11 integrated energy and chemicals company incorporated in the State of New Jersey with its  
12 headquarters and principal place of business in Irving, Texas. Exxon is among the largest publicly  
13 traded international oil and gas companies in the world.

14 b. Exxon consists of numerous divisions and affiliates in all areas of the fossil  
15 fuel industry, including exploration for and production of crude oil and natural gas; manufacture  
16 of petroleum products; and transportation, marketing, and sale of crude oil, natural gas, and  
17 petroleum products. Exxon is also a major manufacturer and marketer of commodity  
18 petrochemical products.

19 c. Exxon does substantial fossil fuel product related business in California,  
20 and a substantial portion of its fossil fuel products are extracted, refined, transported, traded,  
21 distributed, marketed and/or sold in California. Among other operations, more than 540 Exxon-,  
22 Mobil-, or Esso-branded gas stations operate throughout the state, and Exxon owns and operates a  
23 petroleum storage and transport facility in the San Ardo Oil Field in San Ardo, Monterey County,  
24 California. From 1966 to 2016, Exxon owned and operated an oil refinery in Torrance, Los  
25 Angeles County, California. Exxon Co. USA, an ExxonMobil subsidiary, operated a petroleum  
26 refinery in Benicia, Solano County, California, from 1968 to 2000.

1           18.    **BP Entities**

2           a.    BP P.L.C. is a multi-national, vertically integrated energy and  
3 petrochemical public limited company, registered in England and Wales with its principal place of  
4 business in London, England. BP P.L.C. consists of three main operating segments: (1) exploration  
5 and production, (2) refining and marketing, and (3) gas power and renewables.

6           b.    BP P.L.C. does substantial fossil-fuel related business in the United States,  
7 by marketing through licensure; franchising its petroleum products in the U.S. under the BP,  
8 ARCO and ARAL brands; and by operating oil and gas extraction and refining projects in the Gulf  
9 of Mexico, Alaska, Arkansas, Colorado, New Mexico, Oklahoma, Texas, and Wyoming.

10          c.    BP America, Inc., is a wholly-owned subsidiary of BP P.L.C. BP America  
11 Inc. is a vertically integrated energy and petrochemical company incorporated in the State of  
12 Delaware with its headquarters and principal place of business in Houston, Texas. BP America,  
13 Inc., consists of numerous divisions and affiliates in all aspects of the fossil fuel industry, including  
14 exploration for and production of crude oil and natural gas; manufacture of petroleum products;  
15 and transportation, marketing, and sale of crude oil, natural gas, and petroleum products. BP is  
16 also a major manufacturer and marketer of commodity petrochemical products. BP America Inc.  
17 is registered to do business in the State of California and has a registered agent for service of  
18 process with the California Secretary of State.

19          d.    Defendants BP P.L.C. and BP America, Inc. are collectively referred to  
20 herein as “BP.”

21          e.    BP does substantial fossil fuel product-related business in California, and a  
22 substantial portion of its fossil fuel products are extracted, refined, transported, traded, distributed,  
23 marketed, and/or sold in California. Among other operations, BP operates 275 ARCO-licensed  
24 and branded gas stations in California and more than 70 compressed natural gas and liquefied  
25 natural gas fueling stations, provides natural gas used to power more than 6.9 million California  
26 households, and distributes and markets petroleum-based lubricants marketed under the “Castrol”  
27 brand name throughout the state. From 2000 to 2013, BP also owned and operated an oil refinery  
28 in Carson, Los Angeles County, California. BP’s marketing and trading business maintains an

1 office in Irvine, Orange County, California. BP maintains an energy research center in San Diego,  
2 San Diego County, California.

3 19. **Shell Entities**

4 a. Royal Dutch Shell PLC is a vertically integrated, multinational energy and  
5 petrochemical company. Royal Dutch Shell is incorporated in England and Wales, with its  
6 headquarters and principle place of business in the Hague, Netherlands. Royal Dutch Shell PLC  
7 consists of numerous divisions, subsidiaries and affiliates engaged in all aspects of the fossil fuel  
8 industry, including exploration, development, extraction, manufacturing and energy production,  
9 transport, trading, marketing and sales.

10 b. Shell Oil Products Company LLC is a wholly-owned subsidiary of Royal  
11 Dutch Shell PLC. Shell Oil Products Company LLC is incorporated in the State of Delaware and  
12 maintains its principal place of business in Houston, Texas. Shell Oil Products Company LLC is  
13 registered to do business in the State of California and has a registered agent for service of process  
14 in California. Shell Oil Products Company LLC is an energy and petrochemical company involved  
15 in refining, transportation, distribution and marketing of Shell fossil fuel products.

16 c. Defendants Royal Dutch Shell PLC and Shell Oil Products Company LLC  
17 are collectively referred to as “Shell.”

18 d. Shell does substantial fossil fuel product-related business in California, and  
19 a substantial portion of its fossil fuel products are extracted, refined, transported, traded,  
20 distributed, marketed and/or sold in California. Among other endeavors, Shell operates a  
21 petroleum refinery in Martinez, Contra Costa County, California; operates a distribution center in  
22 Carson, California; and produces heavy oil and natural gas within the state. Shell also owned and  
23 operated a refinery in Wilmington (Los Angeles), Los Angeles County, California from 1998 to  
24 2007, and a refinery in Bakersfield, Kern County, California from 2001 to 2005. Shell also operates  
25 hundreds of Shell-branded gas stations in California.

26 20. **Citgo Petroleum Corporation (“Citgo”)**

27 a. Citgo is a direct, wholly owned subsidiary of PDV America, Incorporated,  
28 which is a wholly owned subsidiary of PDV Holding, Incorporated. These organizations’ ultimate

1 parent is Petroleos de Venezuela, S.A. (“PDVSA”), an entity wholly owned by the Republic of  
2 Venezuela that plans, coordinates, supervises and controls activities carried out by its subsidiaries.  
3 Citgo is incorporated in the State of Delaware and maintains its headquarters in Houston, Texas.

4 b. Citgo and its subsidiaries are engaged in the refining, marketing, and  
5 transportation of petroleum products including gasoline, diesel fuel, jet fuel, petrochemicals,  
6 lubricants, asphalt, and refined waxes.

7 c. Citgo is registered to do business in the State of California and has  
8 designated an agent for service of process in California. Citgo further does substantial fossil fuel  
9 product-related business in California, and a substantial portion of its fossil fuel products are  
10 extracted, refined, transported, traded, distributed, marketed, and/or sold in California. For  
11 instance, Citgo sells significant volumes of fossil-fuel derived consumer motor oils and automobile  
12 lubricants through retail and wholesale distributors. Citgo further sells a wide variety of greases  
13 and oils for use in construction, mining, agricultural, and metalworking machinery and vehicles,  
14 and in many other industrial and commercial settings, through licensed distributors in California.

15 21. **ConocoPhillips Entities**

16 a. ConocoPhillips is a multinational energy company incorporated in the State  
17 of Delaware and with its principal place of business in Houston, Texas. ConocoPhillips consists  
18 of numerous divisions, subsidiaries, and affiliates engaged in all aspects of the fossil fuel industry,  
19 including exploration, extraction, production, manufacture, transport, and marketing.

20 b. ConocoPhillips Company is 100% owned by ConocoPhillips.  
21 ConocoPhillips Company is registered to do business in California and has a registered agent for  
22 service of process in California.

23 c. Phillips 66 is a multinational energy and petrochemical company  
24 incorporated in Delaware and with its principal place of business in Houston, Texas. It  
25 encompasses downstream fossil fuel processing, refining, transport, and marketing segments that  
26 were formerly owned and/or controlled by ConocoPhillips. Phillips 66 is registered to do business  
27 in the State of California and has a registered agent for service of process in California.

28

1 d. Defendants ConocoPhillips, ConocoPhillips Company, and Phillips 66 are  
2 collectively referred to herein as “ConocoPhillips.”

3 e. ConocoPhillips does substantial fossil fuel product-related business in  
4 California, and a substantial portion of its fossil fuel products are extracted, refined, transported,  
5 traded, distributed, marketed, and/or sold in California. For instance, ConocoPhillips owns and  
6 operates oil and natural gas terminals in California, owns and operates refineries in Arroyo Grande  
7 (San Luis Obispo County), Colton (San Bernardino County), and Wilmington (Los Angeles  
8 County), California, and distributes its products throughout California. Phillips 66 also owns and  
9 operates oil refineries in Rodeo (Contra Costa County), Santa Maria (Santa Barbara County), and  
10 Wilmington (Los Angeles County), California, each of which was owned and operated by  
11 ConocoPhillips and its predecessors in interest from 1997 to 2012.

12 22. **Peabody Energy**

13 a. Peabody Energy Corporation (“Peabody”) is a multi-national energy  
14 company incorporated in the State of Delaware and with its principal place of business in St. Louis,  
15 Missouri. Through a diverse web of affiliates and subsidiaries, Peabody is the world’s largest coal  
16 extractor by volume.

17 b. Peabody does and has done substantial fossil fuel product-related business  
18 in California, including exporting substantial volumes of coal through coal shipping terminals in  
19 California, particularly from the ports of Long Beach (Los Angeles County), Stockton (San  
20 Joaquin County), Richmond (Contra Costa County), and San Francisco. Peabody exported coal  
21 mined from its western state mining operations through the Los Angeles Export Terminal while  
22 that terminal was in operation from 1997 through 2003, and continues to export coal out of  
23 California ports.

24 23. **Total Entities**

25 a. Total E&P USA Inc. is a wholly owned subsidiary of Total S.A.—a French  
26 energy conglomerate—engaged in the North American segment of Total SA’s fossil fuel products-  
27 related business. Total E&P USA Inc. and its subsidiaries are involved in the exploration for,  
28 extraction, transportation, research, and marketing of Total S.A.’s fossil fuel products. Total E&P

1 USA Inc. is registered to do business in the State of California and has designated an agent for  
2 service of process in California.

3           b. Total Specialties USA Inc., is a wholly owned subsidiary of Total SA,  
4 involved in the marketing and distribution of Total S.A.'s fossil fuel products. Total Specialties  
5 USA Inc. is incorporated in the State of Delaware and headquartered in Houston, Texas. Total  
6 Specialties USA Inc. is registered to do business in the State of California and has designated an  
7 agent for service of process in California. Total Specialties USA Inc. does substantial fossil fuel  
8 product-related business in California, and a substantial portion of its fossil fuel products are  
9 extracted, refined, transported, traded, distributed, marketed, and/or sold in California. For  
10 instance, Total Specialties USA Inc. maintains regular distributorship relationships with several  
11 California distributors of Total fossil fuel products, including engine oils, lubricants, greases, and  
12 industrial petroleum products.

13           24. **Arch Coal, Inc.**

14           a. Arch Coal, Inc. ("Arch Coal") is a publicly traded company incorporated in  
15 Delaware with its principal place of business in St. Louis, Missouri. It is the second largest coal  
16 producer in the United States, selling 128 million tons of coal in 2015, almost all of which it  
17 extracted from mines owned by the company and its wholly-owned subsidiary. Arch Coal explores  
18 for, extracts, produces, markets and distributes its fossil fuel products.

19           b. Arch Coal's conducts substantial fossil fuel product-related business in  
20 California, including its ownership and long-term leasing of coal land in California. Arch Coal  
21 furthermore has historically exported substantial volumes of coal mined from its western state  
22 mines through California ports including Long Beach (Los Angeles County), Stockton (San  
23 Joaquin County), Richmond (Contra Costa County), and San Francisco.

24           c. Arch Coal also owns a 99% stake in Arch Western Resources, LLC, which  
25 was created in a 1998 transaction under which Arch Coal absorbed all of Atlantic Ritchfield  
26 Company's domestic coal operations. Included in that transaction, Arch Western Resources  
27 acquired a 9% ownership stake in the Los Angeles Export Terminal, a coal export terminal  
28 operation in the Port of Los Angeles from 1997 through 2003. Arch Coal and Arch Western



1 Resources both exported substantial volumes of coal, originating from their western state mining  
2 operations, including mines in Colorado and Utah, through the Export Terminal until its closure.

3 25. **Eni Entities**

4 a. Eni S.p.A. (“Eni”) is a vertically integrated, multinational energy company  
5 focusing on petroleum and natural gas. Eni is incorporated in the Republic of Italy, with its  
6 principal place of business in Rome, Italy. With its consolidated subsidiaries, Eni engages in the  
7 exploration, development and production of hydrocarbons; in the supply and marketing of gas,  
8 liquid natural gas, and power; in the refining and marketing of petroleum products; in the  
9 production and marketing of basic petrochemicals, plastics and elastomers; in commodity trading;  
10 and in electricity marketing and generation.

11 b. Eni Oil & Gas Inc. is incorporated in Texas, with its principal place of  
12 business in Houston, Texas. Eni Oil & Gas Inc., is a wholly owned subsidiary of Eni America Ltd.,  
13 a Delaware corporation doing business in the United States. Eni America, Ltd. Is a wholly owned  
14 subsidiary of Eni UHL Ltd., a British corporation with its registered office in London, United  
15 Kingdom. Eni UHL Ltd. is a wholly owned subsidiary of Eni ULT, Ltd., a British corporation with  
16 its registered office on London, United Kingdom. Eni ULT, Ltd. is a wholly owned subsidiary of  
17 Eni Lasmo Plc, a British corporation with its registered office on London, United Kingdom. Eni  
18 Investments Plc, a British corporation with its registered office in London, United Kingdom, holds  
19 a 99.9% ownership interest in Eni Lasmo Plc (the other 0.01% ownership interest is held by another  
20 Eni entity, Eni UK Ltd, a British corporation with its registered office in London, United  
21 Kingdom). Eni S.p.A owns a 99.99% interest in Eni Investments Plc. Eni UK Ltd. holds the  
22 remainder interest in Eni Investments Plc. Collectively, these entities are referred to as “Eni.”

23 c. Eni Oil & Gas Inc. is a successor-in-interest to Golden Eagle Refining  
24 Company, Inc. (“Golden Eagle”). At times relevant to this complaint, Golden Eagle did substantial  
25 fossil fuel-related business in California. Specifically, Golden Eagle owned and/or operated oil  
26 refineries in Carson (Los Angeles County) and Martinez (Contra Costa County), California, and  
27 owned and/or operated oil pipelines in or near Long Beach (Los Angeles County), California.

1           26.     **Rio Tinto Group**

2           a.       Rio Tinto PLC is incorporated in England and Wales, with its principal  
3 place of business in London, England. Rio Tinto Limited is incorporated in the Commonwealth of  
4 Australia with its principle place of business in Melbourne, Australia. Collectively, these Rio Tinto  
5 PLC and Rio Tinto Limited, along with their affiliates, divisions and subsidiaries, including those  
6 described below, are referred to as “Rio Tinto.”

7           b.       Rio Tinto is a dual-listed, multinational, vertically integrated metals and  
8 mining corporation. Through its vast network of affiliates and subsidiaries, Rio Tinto extracts an  
9 array of metals and other commodities. Pertinent here, Rio Tinto explores for, extracts, produces,  
10 transports and markets coal.

11          c.       Rio Tinto Energy America Inc. is a wholly owned subsidiary of Rio Tinto,  
12 incorporated in the State of Delaware, with its principal place of business in Gillette, Wyoming.  
13 Previously known as Kennecott Energy, Rio Tinto Energy America Inc. operates coal mines in  
14 Wyoming and Montana.

15          d.       Rio Tinto does substantial fossil fuel product-related business in California.  
16 In 2007, for example, Hydrogen Energy California, a joint venture of BP and Rio Tinto, invested  
17 \$2.3 billion in a project to construct an experimental petroleum coke fired power plant in Kern  
18 County, California.

19          e.       In addition, Rio Tinto’s subsidiary Rio Tinto Minerals, Inc., operates the  
20 largest open pit mine in California, where it extracts approximately 30% of the world’s refined  
21 boron. Rio Tinto Minerals, Inc., has also registered substantial legislative and regulatory lobbying  
22 activities in California related to Rio Tinto’s fossil fuel products business since at least 2005,  
23 including lobbying directed at legislation and regulation regarding greenhouse gas pollution  
24 policy, air quality standards, and energy efficiency standards, as well as California’s so-called  
25 “cap-and-trade” carbon emissions program, such that the exercise of jurisdiction comports with  
26 traditional notions of fair play and substantial justice.

27          f.       Rio Tinto Services Inc. is a Rio Tinto subsidiary incorporated in Delaware  
28 and with its principal place of business in South Jordan, Utah. Rio Tinto Services, Inc. is registered

1 to do business in California and has designated an agent for service of process in California.

2 27. **Statoil ASA**

3 a. Statoil ASA (“Statoil”) is an international, vertically integrated energy  
4 company incorporated in the Kingdom of Norway and headquartered in Stavanger, Norway. The  
5 Norwegian State is the majority shareholder in Statoil. Statoil’s operations consist of multiple  
6 segments, including exploration, production, extraction, marketing, processing, and technology  
7 support of its fossil fuel products, which include both petroleum and natural gas products.

8 b. Statoil has substantial contacts with California arising out of the production,  
9 marketing, and promotion of its fossil fuel products. For instance, Statoil partnered with the  
10 University of California, Berkeley (Alameda County), to review management of the company’s  
11 complex development projects; Statoil partnered on a methanol fueling station in Sacramento  
12 (Sacramento County); Statoil was involved in a business project with a California company called  
13 Quantum Technologies; and partnered with the University of California, San Diego’s (San Diego  
14 County) Scripps Institute of Oceanography.

15 28. **Anadarko Petroleum Corp.**

16 a. Anadarko Petroleum Corporation (“Anadarko”) is incorporated in the State  
17 of Delaware and maintains its principal place of business in The Woodlands, Texas. Anadarko is  
18 a multinational, vertically integrated energy company comprised of multiple upstream and  
19 downstream segments. These include exploration, production, gathering, processing, treating,  
20 transporting, marketing, and selling fossil fuel products derived primarily from petroleum and  
21 natural gas. In the United States, Anadarko entities operate fossil fuel product exploration and  
22 production concerns in Texas, the Gulf of Mexico, Alaska, the Powder River Basin, Utah,  
23 Colorado, and the Marcellus Shale Formation. Anadarko operates fossil fuel product production  
24 and exploration activities internationally in Algeria, Ghana, Mozambique, and Columbia, among  
25 others. Anadarko Petroleum Corporation is registered to do business in California and has  
26 designated an agent for service of process in California.

27 b. Anadarko Petroleum Corporation is a successor-in-interest to HS Resources  
28 Inc. (“HS”). HS was an energy company headquartered in San Francisco, San Francisco County,

1 California. It owned natural gas reserves in Colorado, North Dakota, South Dakota, Montana, and  
2 along the coasts of Texas and Louisiana, which it extracted and imported to California. HS was  
3 acquired by Kerr-McGee Corporation in 2001. Kerr-McGee was an energy exploration and  
4 production company owning oil and natural gas rights in the Gulf of Mexico, Colorado, and Utah,  
5 with its corporate headquarters in Oklahoma. Anadarko Petroleum Corporation acquired Kerr-  
6 McGee Corporation in 2006.

7 29. **Occidental Entities**

8 a. Occidental Petroleum Corporation is a multinational, vertically integrated  
9 energy and chemical company incorporated in the State of Delaware and with its principal place  
10 of business in Houston, Texas. Occidental's operations consist of three segments: Occidental's  
11 operations consist of three segments: (1) the exploration for, extraction of, and production of oil  
12 and natural gas products; (2) the manufacture and marketing of chemicals and vinyls; and (3)  
13 processing, transport, storage, purchase, and marketing of oil, natural gas, and power. Occidental  
14 Petroleum Corporation is registered to do business in the State of California and has designated an  
15 agent for service of process in the State of California.

16 b. Occidental Chemical Corporation, a manufacturer and marketer of  
17 petrochemicals, such as polyvinyl chloride resins, is a wholly owned subsidiary of Occidental  
18 Petroleum Corporation. Occidental Chemical Corporation is registered to do business in the State  
19 of California and has designated an agent for service of process in the State of California.

20 c. Defendants Occidental Petroleum Corporation and Occidental Chemical  
21 Corporation are collectively referred to as "Occidental."

22 d. Occidental does substantial fossil fuel product-related business in the State  
23 of California, and a substantial portion of its fossil fuel products are extracted, refined, transported,  
24 traded, distributed, marketed and/or sold in California. For instance, Occidental extracted and  
25 transported its fossil fuel products from approximately 30,900 drilling locations within the San  
26 Joaquin, Los Angeles, Ventura, and Sacramento Basins in California.

27 e. In addition, Occidental conducts has conducted substantial activities in the  
28 state, including marketing and promotion; efforts to avoid or minimize regulation of greenhouse

1 gas pollution in and from California; and efforts to influence statutory and regulatory debate  
2 regarding fossil fuel consumption, electric power distribution, and greenhouse gas pollution  
3 policies such that the exercise of jurisdiction comports with traditional notions of fair play and  
4 substantial justice. Since 1999, Occidental Petroleum Corp. and its subsidiaries have reported more  
5 than \$4.6 million in lobbying expenditures directed at numerous statutory and regulatory proposals  
6 before the California legislature and executive agencies, including the California Energy  
7 Commission, California Air Resources Board, and California Public Utilities Commission, related  
8 to its fossil fuel products business.

9 30. **Repsol S.A.**

10 a. Repsol S.A. (“Repsol”) is a vertically integrated, multinational global  
11 energy company, incorporated in the Kingdom of Spain, with its principal place of business in  
12 Madrid, Spain. Repsol is involved in multiple aspects of the fossil fuel industry, including  
13 exploration, production, marketing, and trading. Repsol engages in significant fossil fuel  
14 exploration and production activities in the United States, including in the Gulf of Mexico, the  
15 Marcellus Shale in Pennsylvania, the Eagle Ford Shale in South Texas, the Mississippi Lime in  
16 Oklahoma and Kansas, the North Slope in Alaska, and the Trenton-Black River in New York

17 b. Repsol does substantial fossil fuel product-related business in the State of  
18 California, and a substantial portion of its fossil fuel products are extracted, refined, transported,  
19 traded, distributed, marketed and/or sold in California. For instance, Repsol subsidiary Repsol  
20 Energy North America Corporation, incorporated in the State of Texas and with its principal place  
21 of business in The Woodlands, Texas, is listed as a natural gas procurement, storage,  
22 transportation, scheduling, and risk management provider by Pacific Gas and Electric, a California  
23 utility. Repsol Energy North America Corporation is registered to do business in California and  
24 has designated an agent for service of process in California. Repsol subsidiary Repsol Trading  
25 USA Corporation, incorporated in the State of Texas and with its principal place of business in  
26 The Woodlands, Texas, is also registered do business in California and has designated an agent  
27 for service of process in California. Additionally, Repsol represents on its website that it is  
28 engaging in strategic opportunities involving its fossil fuel products in California, which may

1 consist of crude oil, gasoline, diesel, and/or jet fuel.

2 31. **Marathon Entities**

3 a. Marathon Oil Company is an energy company incorporated in the State of  
4 Ohio and with its principal place of business in Houston, Texas. Marathon Oil Company is  
5 registered to do business in California and has designated an agent for service of process in  
6 California. Marathon Oil Company is a corporate ancestor of Marathon Oil Corporation and  
7 Marathon Petroleum Company.

8 b. Marathon Oil Company is a successor-in-interest to Husky Oil Ltd.  
9 (“Husky”), which it acquired in 1984. During times relevant to this Complaint, Husky operated oil  
10 production facilities near Santa Maria (Santa Barbara County), California, where it produced  
11 nearly 1,100 barrels per day. During the period relevant to this litigation, Husky did substantial  
12 fossil fuel product-related business in California.

13 c. Marathon Oil Corporation is a multinational energy company incorporated  
14 in the State of Delaware and with its principal place of business in Houston, Texas. Marathon Oil  
15 Corporation consists of multiple subsidiaries and affiliates involved in the exploration for,  
16 extraction, production, and marketing of fossil fuel products.

17 d. Marathon Petroleum Corporation is a multinational energy company  
18 incorporated in Delaware and with its principal place of business in Findlay, Ohio. Marathon  
19 Petroleum Corporation was spun off from the operations of Marathon Oil Corporation in 2011. It  
20 consists of multiple subsidiaries and affiliates involved in fossil fuel product refining, marketing,  
21 retail, and transport, including both petroleum and natural gas products.

22 e. Defendants Marathon Oil Company, Marathon Oil Corporation, and  
23 Marathon Petroleum Corporation are collectively referred to as “Marathon.”

24 32. **Hess Corporation**

25 a. Hess Corp. (“Hess”) is a global, vertically integrated petroleum exploration  
26 and extraction company incorporated in the State of Delaware with its headquarters and principal  
27 place of business in New York, New York.

28 b. Hess is engaged in the exploration, development, production,

1 transportation, purchase, marketing and sale of crude oil and natural gas. Its oil and gas production  
2 operations are located primarily in the United States, Denmark, Equatorial Guinea, Malaysia,  
3 Thailand, and Norway. Prior to 2014, Hess also conducted extensive retail operations in its own  
4 name and through subsidiaries. Hess owned and operated more than 1,000 gas stations throughout  
5 the United States, including in California during times relevant to this complaint. Prior to 2013,  
6 Hess also operated oil refineries in the continental United States and U.S. Virgin Islands.

7 33. **Devon Energy Corporation**

8 a. Devon Energy Corp. is an independent energy company engaged in the  
9 exploration, development, and production of oil, and natural gas. It is incorporated in the State of  
10 Delaware and maintains its principal place of business in Oklahoma City, Oklahoma. Devon is  
11 engaged in multiple aspects of the fossil fuel industry, including exploration, development,  
12 production, and marketing of its fossil fuel products.

13 b. Devon Energy Production Company, L.P. is a Devon subsidiary registered  
14 to do business in the State of California and with a designated agent for service of process in  
15 California. Devon Energy does substantial fossil fuel product-related business in California.

16 c. Devon Energy Corp. is a successor-in-interest to the Pauley Petroleum  
17 Company (“Pauley”). At times relevant to this complaint, Pauley did substantial fossil-fuel related  
18 business in California. Specifically, this included owning and operating a petroleum refinery in  
19 Newhall (Los Angeles County), California from 1959 to 1989, and a refinery in Wilmington (Los  
20 Angeles, Los Angeles County), California from 1988 to 1992. Pauley merged with Hondo Oil and  
21 Gas Co. (“Hondo”) in 1987. Subsequently, Devon Energy Corp. acquired Hondo in 1992.

22 d. Defendants Devon Energy Production Company, L.P. and Devon Energy  
23 Corp. are collectively referred to as “Devon.”

24 34. **Encana Corporation**

25 a. Encana Corp. is a Canadian corporation with its principal place of business  
26 in Calgary, Alberta, Canada. Encana is an extractor and marketer of oil and natural gas and has  
27 facilities including gas plants and gas wells in Colorado, Texas, Wyoming, Louisiana, and  
28 New Mexico. By approximately 2005, Encana was the largest independent owner and operator of

1 natural gas storage facilities in North America.

2           b.       Encana has done and continues to do substantial fossil fuel product-related  
3 business in California. Between 1997 and 2006, Encana owned and operated the Wild Goose  
4 Storage underground natural gas storage facility in Butte County, California. In 2003, Encana  
5 began transporting natural gas through a 25-mile pipeline from the Wild Goose Station to a Pacific  
6 Gas & Electric Co. (“PG&E”) compressor station in Colusa County, where gas entered the main  
7 PG&E pipeline. Encana invested in a 100 billion cubic foot expansion of the facility in 2004,  
8 bringing gas storage capacity at Wild Goose to 24 billion cubic feet.

9           35.       **Apache Corporation**

10           a.       Apache Corp. is a publicly traded Delaware corporation with its principal  
11 place of business in Houston, Texas. Apache is an oil and gas exploration and production company,  
12 with crude oil and natural gas exploration and extraction operations in the United States, Canada,  
13 Egypt, and in the North Sea.

14           b.       During the time at issue, Apache extracted natural gas from wells developed  
15 on approximately seven million acres of land held in the Canadian provinces of British Columbia,  
16 Alberta, and Saskatchewan, and Apache did substantial fossil fuel product-related business in  
17 California. Apache transported a substantial volume of the natural gas extracted from its Canadian  
18 holdings to California, where it sold that gas to electric utilities, end-users, other fossil fuel  
19 companies, supply aggregators, and other fossil fuel marketers. Apache directed sales of its natural  
20 gas to California in addition to markets in Washington state, Chicago, and western Canada, to  
21 intentionally retain a diverse customer base and maximize profits from the differential price rates  
22 and demand levels in those respective markets.

23           36.       **Doe Defendants**

24           a.       The true names and capacities, whether individual, corporate, associate, or  
25 otherwise of Defendants Does 1 through 100, inclusive, are unknown to Plaintiffs, who therefore  
26 sue said Defendants by such fictitious names pursuant to California Code of Civil Procedure  
27 Section 474. Plaintiffs are informed and believe, and on that basis allege, that each of the  
28



1 fictitiously named Defendants is responsible in some manner for the acts and occurrences herein  
2 alleged, and that Plaintiffs' damages were caused by such Defendants.

3 37. **Relevant Non-Parties: Fossil Fuel Industry Associations**

4 38. As set forth in greater detail below, each Defendant had actual knowledge that its  
5 fossil fuel products were hazardous. Defendants obtained knowledge of the hazards of their  
6 products independently and through their membership and involvement in trade associations.

7 39. Each Defendant's fossil fuel promotion and marketing efforts were assisted by the  
8 trade associations described below. Acting on behalf of the Defendants, the industry associations  
9 engaged in a long-term course of conduct to misrepresent, omit, and conceal the dangers of  
10 Defendants' fossil fuel products.

11 a. **The American Petroleum Institute (API)**: API is a national trade  
12 association representing the oil and gas industry, formed in 1919. The following Defendants and/or  
13 their predecessors in interest are and/or have been API members at times relevant to this litigation:  
14 Chevron, ExxonMobil, Shell, ConocoPhillips, Statoil, Anadarko, Occidental, Repsol, Marathon,  
15 EnCana, and Apache.<sup>14</sup>

16 b. **The American Coalition for Clean Coal Electricity (ACCCE)**: ACCCE  
17 is a national coal industry trade association. Arch Coal and Peabody were part of the ACCCE at  
18 times relevant to this complaint.<sup>15</sup>

19 c. **The National Mining Association (NMA)**: NMA is a national trade  
20 organization that advocates for mining interests, including coal mining. Arch Coal, Inc., Peabody  
21 Energy, and Rio Tinto/Kennecott Utah Copper are all members.<sup>16</sup>

22 d. **The Western States Petroleum Association (WSPA)**: WSPA is a trade  
23 association representing oil producers in Arizona, California, Nevada, Oregon and Washington.<sup>17</sup>  
24 Its members include, and at times relevant to this Complaint, have included, BP, Chevron, Shell,

25  
26 <sup>14</sup> American Petroleum Institute (API), Members, <http://www.api.org/membership/members> (as of June 1, 2017).

27 <sup>15</sup> Energy and Policy Institute, ACCCE Members, <https://www.documentcloud.org/documents/2199289-accce-members.html> (as of June 1, 2017).

28 <sup>16</sup> National Mining Association (NMA), Members, <http://nma.org/about-nma/member-list> (As of June 1, 2017).

<sup>17</sup> WSPA, What is WSPA, <https://www.wspa.org/what-is-wspa> (as of June 1, 2017).

1 Occidental, and ExxonMobil.<sup>18</sup>

2 e. **The American Fuel and Petrochemical Manufacturers (AFPM)** is a  
3 national association of petroleum and petrochemical companies. At relevant times, its members  
4 included, but were not limited to, BP Petrochemicals, BP Products North America, Chevron  
5 U.S.A. Inc., CITGO Petroleum Corporation, Exxon Mobil Corporation, Occidental Chemical  
6 Corporation, Phillips 66, Shell Chemical Company, and Total Petrochemicals & Refining USA,  
7 Inc.<sup>19</sup>

8 f. **The Information Council for the Environment (ICE)**: ICE was formed  
9 by coal companies and their allies, including Western Fuels Association and the National Coal  
10 Association. Associated companies included Peabody, Pittsburg and Midway Coal Mining  
11 (Chevron),<sup>20</sup> and Island Creek Coal Company (Occidental).

12 g. **The Global Climate Coalition (GCC)**: GCC was an industry group formed  
13 to oppose greenhouse gas emission reduction policies and the Kyoto Protocol. It was founded in  
14 1989 shortly after the first Intergovernmental Panel on Climate Change meeting was held, and  
15 disbanded in 2001. Founding members included the National Association of Manufacturers, the  
16 National Coal Association, the Edison Electric Institute, and the United States Chamber of  
17 Commerce. The GCC's early individual corporate members included Amoco (BP), API, Chevron,  
18 Exxon, Ford, Shell Oil, Texaco (Chevron) and Phillips Petroleum (ConocoPhillips). Over its  
19 existence other members and funders included ARCO (BP), BHP, the National Mining  
20 Association, and the Western Fuels Association. The coalition also operated for several years out  
21 of the National Association of Manufacturers' offices.

### 22 **III. AGENCY**

23 40. At all times herein mentioned, each of the Defendants was the agent, servant,  
24 partner, aider and abettor, co-conspirator, and/or joint venturer of each of the remaining  
25 Defendants herein and was at all times operating and acting within the purpose and scope of said  
26

27 <sup>18</sup> WSPA, Member List, <https://www.wspa.org/member-list> (as of June 1, 2017).

28 <sup>19</sup> AFPM, Membership Directory, <https://www.afpm.org/membership-directory/> (As of June 30, 2017).

<sup>20</sup> Hereinafter, parenthetical references to Defendants indicate corporate ancestry and/or affiliation.

1 agency, service, employment, partnership, conspiracy, and joint venture and rendered substantial  
2 assistance and encouragement to the other Defendants, knowing that their conduct was wrongful  
3 and/or constituted a breach of duty.

4 **IV. JURISDICTION AND VENUE**

5 41. This court’s personal jurisdiction over Defendants named herein is proper because  
6 each Defendant maintains substantial contacts with California by and through their fossil fuel  
7 business operations in this state, as described above, and because Plaintiffs’ injuries described  
8 herein arose out of and relate to those operations and occurred in California.

9 42. The Superior Court of California for San Mateo County is a court of general  
10 jurisdiction and therefore has subject matter jurisdiction over this action.

11 43. Venue is proper in San Mateo County pursuant to Code of Civil Procedure sections  
12 395 and 395.5 because the injury giving rise to the County’s claims occurred in San Mateo County.

13 **V. FACTUAL BACKGROUND**

14 **A. Global Warming—Observed Effects and Known Cause**

15 44. The Earth is warming at a rate unprecedented in human history.

16 45. Atmospheric and ocean temperatures have both increased substantially since the  
17 beginning of the global industrial revolution, and the rate of warming has also dramatically  
18 increased since the end of World War II.

19 46. In the geological short term, ocean and land surface temperatures have increased at  
20 a rapid pace during the late 20th and early 21st centuries:

- 21 a. 2016 was the hottest year on record by globally averaged surface  
22 temperatures, exceeding mid-20th century mean ocean and land surface  
23 temperatures by approximately 1.69–1.78° F.<sup>21</sup> Eight of the twelve months  
24 in 2016 were hotter by globally averaged surface temperatures than those  
25 respective months in any previous year. October, November, and December

26  
27 <sup>21</sup> NOAA, Global Summary Information – December 2016, [https://www.ncdc.noaa.gov/sotc/summary-](https://www.ncdc.noaa.gov/sotc/summary-info/global/201612)  
28 [info/global/201612](https://www.ncdc.noaa.gov/sotc/summary-info/global/201612); NASA, NASA, NOAA Data Show 2016 Warmest Year on Record Globally (January 18, 2017),  
<https://www.nasa.gov/press-release/nasa-noaa-data-show-2016-warmest-year-on-record-globally>.

1 2016 showed the second hottest average surface temperatures for those  
2 months, second only to temperatures recorded in 2015.<sup>22</sup>

3 b. The Earth’s hottest month ever recorded was February 2016, followed  
4 immediately by the second hottest month on record, March 2016.<sup>23</sup>

5 c. The second hottest year on record by globally averaged surface  
6 temperatures was 2015, and the third hottest was 2014.<sup>24</sup>

7 d. The ten hottest years on record by globally averaged surface temperature  
8 have all occurred since 1998, and sixteen of the seventeen hottest years have  
9 occurred since 2001.<sup>25</sup>

10 e. Each of the past three decades has been warmer by average surface  
11 temperature than any preceding decade on record.<sup>26</sup>

12 f. The period between 1983 and 2012 was likely the warmest 30-year period  
13 in the Northern Hemisphere since approximately 700 AD.<sup>27</sup>

14 47. The average global surface and ocean temperature in 2016 was approximately 1.7°  
15 F warmer than the 20th century baseline, which is the greatest positive anomaly observed since at  
16 least 1880.<sup>28</sup> The increase in hotter temperatures and more frequent positive anomalies during the  
17 Great Acceleration is occurring both globally and locally, including in San Mateo County. The  
18 graph below shows the increase in global land and ocean temperature anomalies since 1880, as  
19 measured against the 1910–2000 global average temperature.<sup>29</sup>

23 <sup>22</sup> NASA, NASA, NOAA Data Show 2016 Warmest Year on Record Globally (January 18, 2017),  
<https://www.nasa.gov/press-release/nasa-noaa-data-show-2016-warmest-year-on-record-globally>.

24 <sup>23</sup> Jugal K. Patel, How 2016 Became Earth’s Hottest Year on Record, N.Y. Times (January 18, 2017),  
<https://www.nytimes.com/interactive/2017/01/18/science/earth/2016-hottest-year-on-record.html>.

25 <sup>24</sup> NASA, NASA, NOAA Data Show 2016 Warmest Year on Record Globally (January 18, 2017),  
<https://www.nasa.gov/press-release/nasa-noaa-data-show-2016-warmest-year-on-record-globally>.

26 <sup>25</sup> Id.

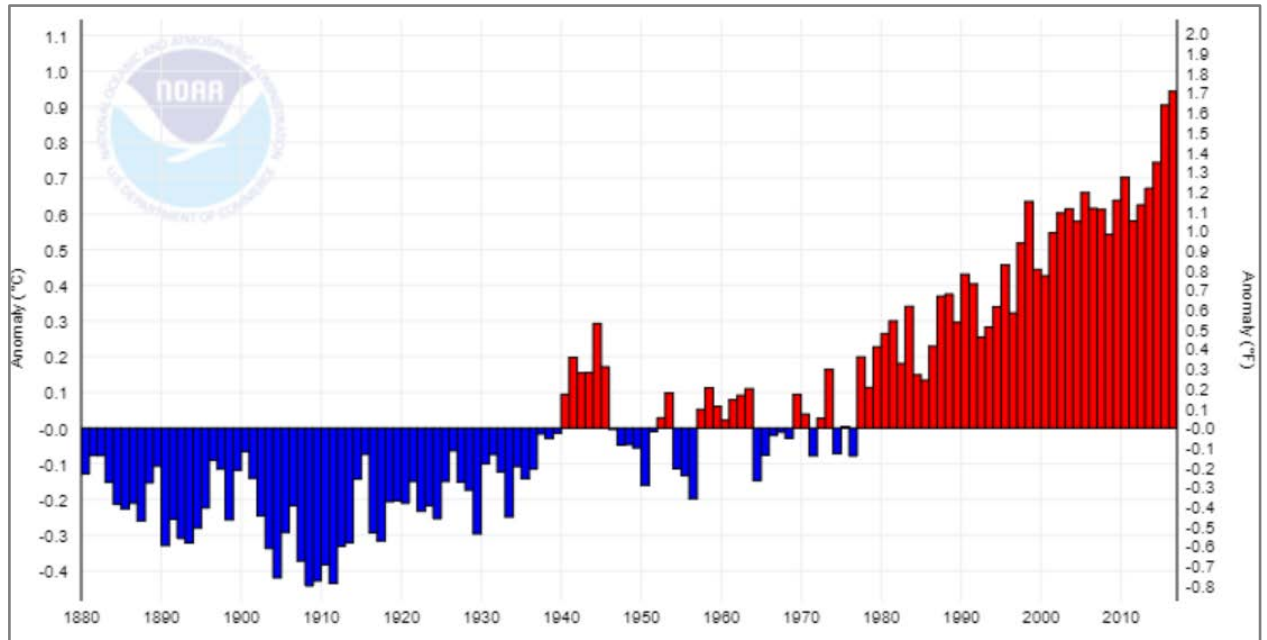
26 <sup>26</sup> IPCC, 2014: Climate Change 2014: Synthesis Report, supra (2014), <https://www.ipcc.ch/report/ar5/syr/>.

27 <sup>27</sup> Id.

28 <sup>28</sup> NOAA, National Centers for Environmental Information, Climate at a Glance (Global Time Series) (June 2017)  
[https://www.ncdc.noaa.gov/cag/time-series/global/globe/land\\_ocean/ytd/12/1880-2016](https://www.ncdc.noaa.gov/cag/time-series/global/globe/land_ocean/ytd/12/1880-2016).

28 <sup>29</sup> Id.

## Global Land and Ocean Temperature Anomalies, January - December



48. The mechanism by which human activity causes global warming and climate change is well established: ocean and atmospheric warming is overwhelmingly caused by anthropogenic greenhouse gas emissions.<sup>30</sup>

49. When emitted, greenhouse gases trap heat within the Earth's atmosphere that would otherwise radiate into space.

50. Greenhouse gases are largely byproducts of humans' burning fossil fuels to produce energy, and using fossil fuels to create petrochemical products.

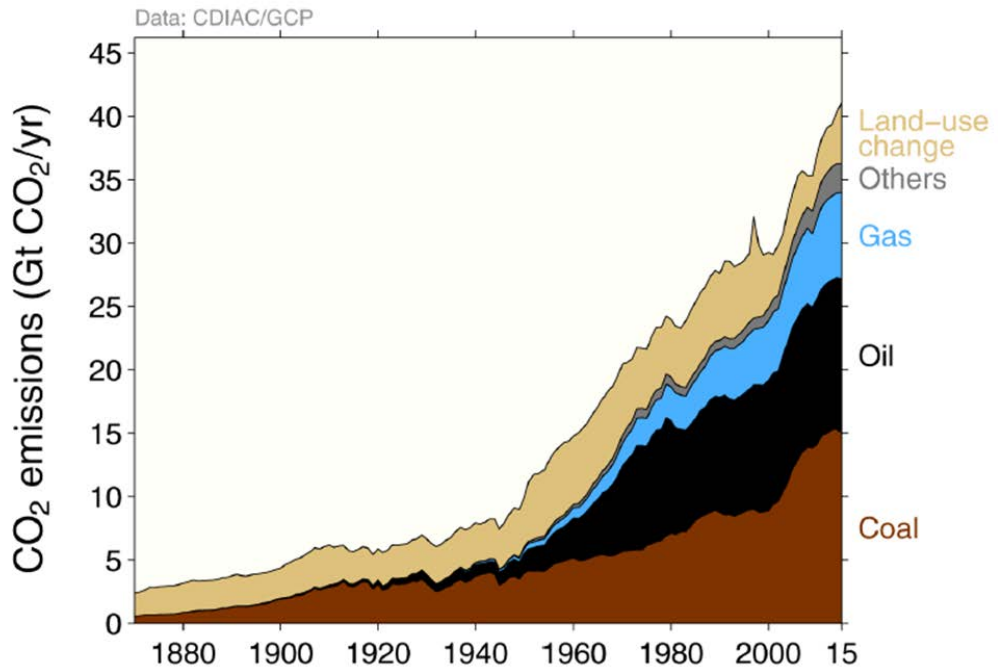
51. Human activity, particularly greenhouse gas emissions, is the primary cause of global warming and its associated effects on Earth's climate.

52. Prior to World War II, most anthropogenic CO<sub>2</sub> emissions were caused by land-use practices, such as forestry and agriculture, which altered the ability of the land and global biosphere to absorb CO<sub>2</sub> from the atmosphere; the impacts of such activities on Earth's climate were relatively minor. Since the beginning of the Great Acceleration, however, both the annual rate and total volume of human CO<sub>2</sub> emissions have increased enormously following the advent of major

<sup>30</sup> IPCC, 2014: Climate Change 2014: Synthesis Report, *supra*, page 4 (2014), <https://www.ipcc.ch/report/ar5/syr/>.

1 uses of oil, gas, and coal. The graph below shows that while CO<sub>2</sub> emissions attributable to forestry  
2 and other land-use change have remained relatively constant, total emissions attributable to fossil  
3 fuels have increased dramatically since the 1950s.<sup>31</sup>

4 **Total Annual Carbon Dioxide Emissions by Source, 1860-2015:**



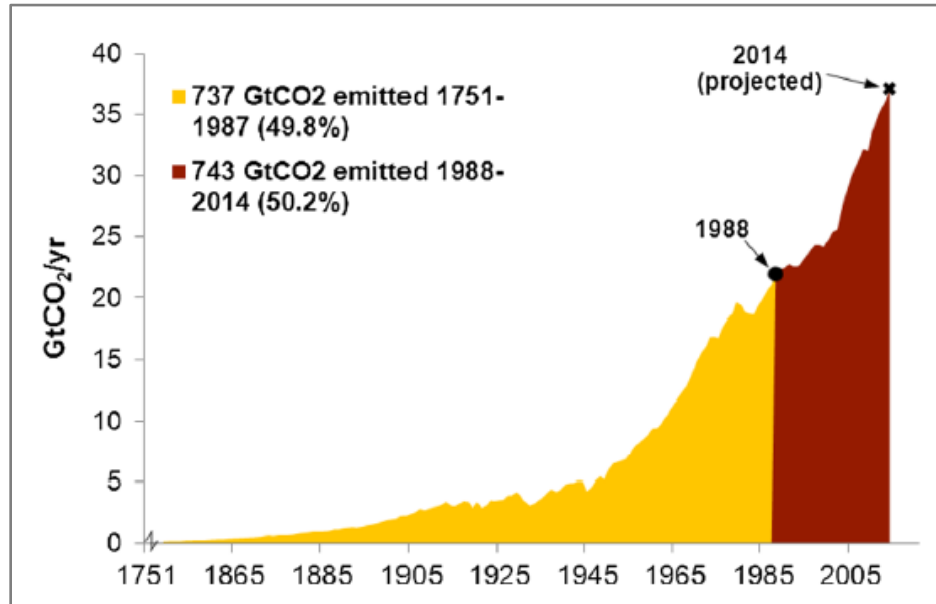
16 53. As human reliance on fossil fuels for industrial and mechanical processes has  
17 increased, so too have greenhouse gas emissions, especially of CO<sub>2</sub>. The Great Acceleration is  
18 marked by a massive increase in the annual rate of fossil fuel emissions: more than half of all  
19 cumulative CO<sub>2</sub> emissions have occurred since 1988.<sup>32</sup> The rate of CO<sub>2</sub> emissions from fossil fuels  
20 and industry, moreover, has increased threefold since the 1960s, and by more than 60% since  
21

24 <sup>31</sup> Global Carbon Project, Global Carbon Budget 2016 (November 14, 2016),  
25 [www.globalcarbonproject.org/carbonbudget/16/files/GCP\\_CarbonBudget\\_2016.pdf](http://www.globalcarbonproject.org/carbonbudget/16/files/GCP_CarbonBudget_2016.pdf), citing CDIAC; R.A. Houghton  
26 et al., Carbon emissions from land use and land-cover change (2012),  
<http://www.biogeosciences.net/9/5125/2012/bg-9-5125-2012.html>; Louis Giglio et al., Analysis of daily, monthly,  
27 and annual burned area using the fourth-generation global fire emissions database (2013),  
<http://onlinelibrary.wiley.com/doi/10.1002/jgrg.20042/abstract>; C. Le Quéré et al., Global Carbon Budget 2016,  
28 *Earth Syst. Sci. Data* 8 (2016), <http://www.earth-syst-sci-data.net/8/605/2016/>.

<sup>32</sup> R. J. Andres et al., A synthesis of carbon dioxide emissions from fossil-fuel combustion, *Biogeosciences*, 9, 1851  
(2012), <http://www.biogeosciences.net/9/1845/2012/>.

1 1990.<sup>33</sup> The graph below illustrates the increasing rate of global CO<sub>2</sub> emissions since the industrial  
2 era began.<sup>34</sup>

3 **Cumulative Annual Anthropogenic Carbon Dioxide Emissions, 1751-2014:**



14

15 54. Because of the increased use of fossil fuel products, concentrations of greenhouse  
16 gases in the atmosphere are now at a level unprecedented in at least 800,000 years.<sup>35</sup> The graph  
17 below illustrates the nearly 30% increase in atmospheric CO<sub>2</sub> concentration above pre-Industrial  
18 levels since 1960.<sup>36</sup>

19

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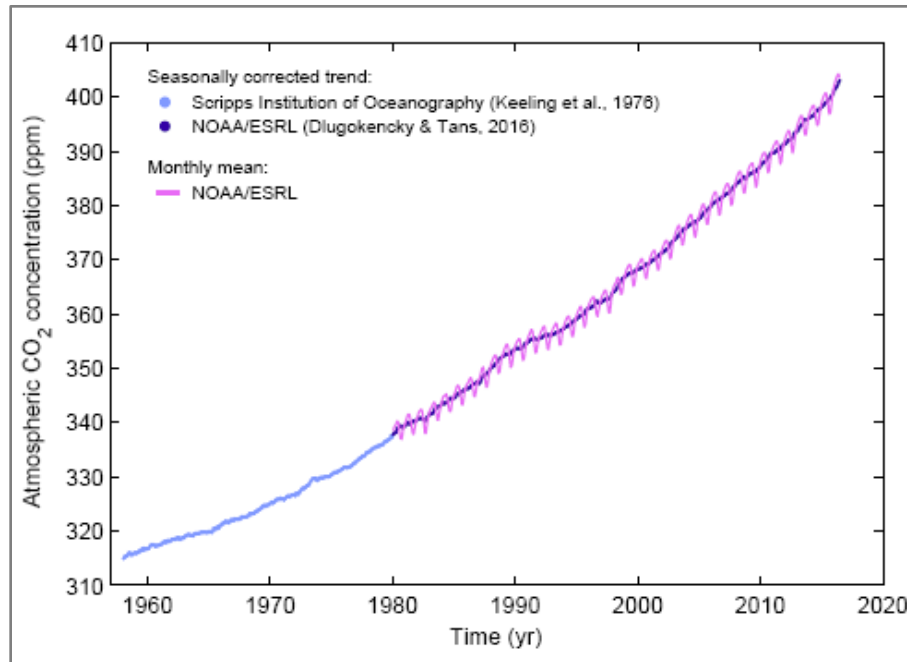
25 <sup>33</sup> C. Le Quéré et al., Global Carbon Budget 2016, Earth Syst. Sci. Data 8, 625, 630 (2016), <http://www.earth-syst-sci-data.net/8/605/2016/> (“Global CO<sub>2</sub> emissions from fossil fuels and industry have increased every decade from an average of 3.1±0.2 GtC/yr in the 1960s to an average of 9.3±0.5 GtC/yr during 2006–2015”).

26 <sup>34</sup> Peter Frumhoff, et al. The Climate Responsibilities of Industrial Carbon Producers, Climatic Change 132:157-171, 164 (2015).

27 <sup>35</sup> IPCC, 2014: Climate Change 2014: Synthesis Report, supra, page 4 (2014), <https://www.ipcc.ch/report/ar5/syr/>.

28 <sup>36</sup> C. Le Quéré et al., Global Carbon Budget 2016, Earth Syst. Sci. Data 8, 608 (2016), <http://www.earth-syst-sci-data.net/8/605/2016/>.

1 **Atmospheric Carbon Dioxide Concentration in Parts Per Million, 1960-2015:**



13 **B. Sea Level Rise—Known Causes and Observed Effects**

14 55. Sea level rise is the physical consequence of (a) the thermal expansion of ocean  
15 waters as they warm; (b) increased mass loss from land-based glaciers that are melting as ambient  
16 air temperature increases; and (c) the shrinking of land-based ice sheets due to increasing ocean  
17 and air temperature.<sup>37</sup>

18 56. Of the increase in energy that has accumulated in the Earth’s atmosphere between  
19 1971 and 2010, more than 90% is stored in the oceans.<sup>38</sup>

20 57. Anthropogenic forcing, in the form of greenhouse gas pollution largely from the  
21 production, use and combustion of fossil fuel products, is the dominant cause of global mean sea  
22 level rise since 1970, explaining at least 70% of the sea level rise observed between 1970 and  
23 2000.<sup>39</sup> Natural radiative forcing—that is, causes of climate change not related to human activity—  
24 “makes essentially zero contribution [to observed sea level rise] over the twentieth century (2%

25

26 <sup>37</sup> NOAA, Is sea level rising, Ocean Facts <http://oceanservice.noaa.gov/facts/sealevel.html> (as of June 1, 2017).

27 <sup>38</sup> IPCC, 2014: Climate Change 2014: Synthesis Report, *supra*, page 4 (2014), <https://www.ipcc.ch/report/ar5/syr/>.

28 <sup>39</sup> Slangen et al., Anthropogenic Forcing Dominates Global Mean Sea-Level Rise Since 1970, *Nature Climate Change*, Vol. 6, 701 (2016).



1 over the period 1900–2005).”<sup>40</sup>

2 58. Anthropogenic greenhouse gas pollution is the dominant factor in each of the  
3 independent causes of sea level rise, including the increase in ocean thermal expansion,<sup>41</sup> in glacier  
4 mass loss, and in more negative surface mass balance from the ice sheets.<sup>42</sup>

5 59. There is a well-defined relation between cumulative emissions of CO<sub>2</sub> and  
6 committed global mean sea level. This relation, moreover, holds proportionately for committed  
7 regional sea level rise.<sup>43</sup>

8 60. Nearly 100% of the sea level rise from any projected greenhouse gas emissions  
9 scenario will persist for at least 10,000 years.<sup>44</sup> This owes to the long residence time of CO<sub>2</sub> in the  
10 atmosphere that sustains temperature increases, and inertia in the climate system.<sup>45</sup>

11 61. Anthropogenic greenhouse gas pollution caused the increased frequency and  
12 severity of extreme sea level events (temporary sea level height increases due to storm surges or  
13 extreme tides, exacerbated by elevated baseline sea level) observed during the Great  
14 Acceleration.<sup>46</sup> The incidence and magnitude of extreme sea level events has increased globally  
15 since 1970.<sup>47</sup> The impacts of such events, which generally occur with large storms, high tidal  
16 events, offshore low-pressure systems associated with high winds, or the confluence of any of  
17 these factors,<sup>48</sup> are exacerbated with higher average sea level, which functionally raises the  
18 baseline for the destructive impact of extreme weather and tidal events. Indeed, the magnitude and  
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21 <sup>40</sup> Slangen et al., Anthropogenic Forcing Dominates Global Mean Sea-Level Rise Since 1970, Nature Climate  
Change, Vol. 6, 701 (2016).

22 <sup>41</sup> Id.

23 <sup>42</sup> Id.

24 <sup>43</sup> Peter U. Clark et al., Consequences of Twenty-First-Century Policy for Multi-Millennial Climate and Sea-Level  
Change, Nature Climate Change Vol. 6, 365 (2016).

25 <sup>44</sup> Peter U. Clark et al., Consequences of Twenty-First-Century Policy for Multi-Millennial Climate and Sea-Level  
Change, Nature Climate Change Vol. 6, 361 (2016).

26 <sup>45</sup> Peter U. Clark et al., Consequences of Twenty-First-Century Policy for Multi-Millennial Climate and Sea-Level  
Change, Nature Climate Change Vol. 6, 360 (2016).

27 <sup>46</sup> IPCC, 2013: Summary for Policymakers, page 7, Table SPM.1 (2013), [https://www.ipcc.ch/pdf/assessment-report/ar5/wg1/WGIAR5\\_SPM\\_brochure\\_en.pdf](https://www.ipcc.ch/pdf/assessment-report/ar5/wg1/WGIAR5_SPM_brochure_en.pdf).

28 <sup>47</sup> IPCC, Climate Change 2013: The Physical Science Basis, Contribution of Working Group I to the Fifth  
Assessment Report of the IPCC, 290 (2013),  
[http://www.climatechange2013.org/images/report/WG1AR5\\_ALL\\_FINAL.pdf](http://www.climatechange2013.org/images/report/WG1AR5_ALL_FINAL.pdf).

<sup>48</sup> Id.

1 frequency of extreme sea level events can occur in the absence of increased intensity of storm  
2 events, given the increased average elevation from which flooding and inundation events begin.  
3 These effects, and others, significantly and adversely affect Plaintiffs, with increased severity in  
4 the future.

5 62. Historical greenhouse gas emissions alone through 2000 will cause a global mean  
6 sea level rise of at least 7.4 feet.<sup>49</sup> Additional greenhouse gas emissions from 2001–2015 have  
7 caused approximately 10 additional feet of committed sea level rise. Even immediate and  
8 permanent cessation of all additional anthropogenic greenhouse gas emissions would not prevent  
9 the eventual inundation of land at elevations between current average mean sea level and 17.4 feet  
10 of elevation in the absence of adaptive measures.

11 63. The relationship between anthropogenic CO<sub>2</sub> emissions and committed sea level  
12 rise is nearly linear and always positive. For emissions, including future emissions, from the year  
13 2001, the relation is approximately 0.25 inches of committed sea level rise per 1 GtCO<sub>2</sub> released.  
14 For the period 1965 to 2000, the relation is approximately 0.05 inches of committed sea level rose  
15 per 1 GtCO<sub>2</sub> released. For the period 1965 to 2015, normal use of Defendants’ fossil fuel products  
16 caused a substantial portion of committed sea level rise. Each and every additional unit of CO<sub>2</sub>  
17 emitted from the use of Defendants’ fossil fuel products will add to the sea level rise already  
18 committed to the geophysical system.

19 64. Projected onshore impacts associated with rising sea temperature and water level  
20 include increases in flooding and erosion; increases in the occurrence, persistence, and severity of  
21 storm surges; infrastructure inundation; public and private property damage; and pollution  
22 associated with damaged control and waste infrastructure, and the lack thereof. All of these effects  
23 significantly and adversely affect Plaintiffs.

24 65. Sea level rise has already taken grave tolls on inhabited coastlines. For instance, the  
25 U.S. National Oceanic and Atmospheric Administration (“NOAA”) estimates that nuisance  
26

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27 <sup>49</sup> Peter U. Clark et al., Consequences of Twenty-First-Century Policy for Multi-Millennial Climate and Sea-Level  
28 Change, Nature Climate Change Vol. 6, 365 (2016).

1 flooding occurs from 300% to 900% more frequently within U.S. coastal communities today than  
2 just 50 years ago.<sup>50</sup>

3 66. Nationwide, more than three quarters (76%) of flood days caused by high water  
4 levels from sea level rise between 2005 and 2014 (2,505 of the 3,291 flood days) would not have  
5 happened but for human-caused climate change. More than two-thirds (67%) of flood days since  
6 1950 would not have happened without the sea level rise caused by increasing greenhouse  
7 gas emissions.<sup>51</sup>

8 67. Regional expressions of sea level rise will differ from the global mean, and are  
9 especially influenced by changes in ocean and atmospheric dynamics, as well as the gravitational,  
10 deformational, and rotational effects of the loss of glaciers and ice sheets.<sup>52</sup> Due to these effects,  
11 San Mateo County will experience significantly greater absolute committed sea level rise than the  
12 global mean.<sup>53</sup>

13 68. The County's assessments show that the San Francisco Bay Area and San Mateo  
14 County are "particularly vulnerable to sea level rise and changes in salinity, temperature, and  
15 runoff."<sup>54</sup> This is because San Mateo's topography, geography, and land use patterns make it  
16 particularly susceptible to injuries from sea level rise; and because the California coast South of  
17 Cape Mendocino, including San Mateo, is projected, due to its geophysical characteristics, to  
18 experience a higher rate of sea level rise and a greater absolute amount of sea level rise than the  
19 global mean.<sup>55</sup>

20 69. Given an emissions scenario in which the current rate of greenhouse gas pollution  
21

22 \_\_\_\_\_  
23 <sup>50</sup> NOAA, Is sea level rising, Ocean Facts, <http://oceanservice.noaa.gov/facts/sealevel.html>, (as of June 1, 2017).

24 <sup>51</sup> Climate Central, Sea Level Rise Upping Ante on 'Sunny Day' Floods (October 17, 2016),  
25 <http://www.climatecentral.org/news/climate-change-increases-sunny-day-floods-20784>.

26 <sup>52</sup> Peter U. Clark et al., Consequences of Twenty-First-Century Policy for Multi-Millennial Climate and Sea-Level  
27 Change, Nature Climate Change Vol. 6, 364, (2016).

28 <sup>53</sup> See id., Figure 3(c).

<sup>54</sup> County of San Mateo, Sea Level Rise Vulnerability Assessment, Public Draft, p. 27 (April 2017),  
<http://seachangesmc.com/current-efforts/vulnerability-assessment/>.

<sup>55</sup> Global sea level rise is projected to be 82.7 cm (32.6 inches) above 2000 levels by 2100. See National Research  
Council, Sea-Level Rise for the Coasts of California, Oregon, and Washington: Past Present and Future (2012) at  
page 107 at Table 5.2; page 117 at Table 5.3. The San Francisco Bay Area sea level rise is projected to be 91.9 cm  
(36.2 inches) over 2000 by 2100. Id.

1 continues unabated, sea level in the San Francisco Bay Area, including San Mateo County, will  
2 rise significantly by the year 2100.<sup>56</sup>

3 70. San Mateo County’s sea level rise vulnerability analyses anticipate extreme sea  
4 level rise events equivalent to a 1% annual-chance flood of 42-inches over and above expected  
5 changes to the mean sea level height along the County.<sup>57</sup> Such an event, even with the minimum  
6 anticipated sea level rise, would inundate thousands of acres of County land,<sup>58</sup> breach flood  
7 protection infrastructure,<sup>59</sup> and swamp San Francisco International Airport<sup>60</sup> (located within the  
8 County), among other impacts.

9 71. Without Defendants’ fossil fuel-related greenhouse gas pollution, current sea level  
10 rise would have been far less than the observed sea level rise to date.<sup>61</sup> Similarly, committed sea  
11 level rise that will occur in the future would also be far less.<sup>62</sup>

12 **C. Attribution**

13 72. “Carbon factors” analysis, devised by the International Panel on Climate Change  
14 (IPCC), the United Nations International Energy Agency, and the U.S. Environmental Protection  
15 Agency, quantifies the amount of CO<sub>2</sub> emissions attributable to a unit of raw fossil fuel extracted  
16 from the Earth.<sup>63</sup> Emissions factors for oil, coal, liquid natural gas, and natural gas are different  
17 for each material but are nevertheless known and quantifiable for each.<sup>64</sup> This analysis accounts  
18 for the use of Defendants’ fossil fuel products, including non-combustion purposes that sequester  
19

20  
21 <sup>56</sup> Gary Griggs et al., Rising Seas in California: An Update on Sea-Level Rise Science, California Ocean Science  
Trust, p. 26, Table 1(b) (April 2017), <http://www.opc.ca.gov/webmaster/ftp/pdf/docs/rising-seas-in-california-an-update-on-sea-level-rise-science.pdf>.

22 <sup>57</sup> See County of San Mateo, Sea Level Rise Vulnerability Assessment, Public Draft, p. 46-7, 102 (April 2017),  
<http://seachangesmc.com/current-efforts/vulnerability-assessment/>.

23 <sup>58</sup> See *id.* at page 82-3.

24 <sup>59</sup> See *id.* at page 97.

25 <sup>60</sup> See *id.* at page 102.

26 <sup>61</sup> Robert E. Kopp et al., Temperature-driven Global Sea-level Variability in the Common Era, Proceedings of the  
National Academy of Sciences, Vol. 113, No. 11, E1434-E1441, E1438 (2016),  
<http://www.pnas.org/content/113/11/E1434.full>.

27 <sup>62</sup> Peter U. Clark et al., Consequences of Twenty-First-Century Policy for Multi-Millennial Climate and Sea-Level  
Change, Nature Climate Change Vol. 6, 365 (2016).

28 <sup>63</sup> See Richard Heede, Tracing Anthropogenic Carbon Dioxide and Methane Emissions to Fossil Fuel and Cement  
Producers, 1854-2010, Climatic Change 122, 232-33 (2014), <https://link.springer.com/article/10.1007/s10584-013-0986-y>.

<sup>64</sup> See, e.g., *id.*

1 CO<sub>2</sub> rather than emit it (e.g., production of asphalt).

2 73. Defendants' historical and current fossil fuel extraction and production records are  
3 publicly available in various fora. These include university and public library collections, company  
4 websites, company reports filed with the U.S. Securities and Exchange Commission, company  
5 histories, and other sources. The cumulative CO<sub>2</sub> and methane emissions attributable to  
6 Defendants' fossil fuel products were calculated by reference to such publicly available  
7 documents.

8 74. While it is possible to distinguish CO<sub>2</sub> derived from fossil fuels from other sources,  
9 it is not possible to determine the source of any particular individual molecule of CO<sub>2</sub> in the  
10 atmosphere attributable to anthropogenic sources because such greenhouse gas molecules do not  
11 bear markers that permit tracing them to their source, and because greenhouse gasses quickly  
12 diffuse and comingle in the atmosphere. However, cumulative carbon analysis allows an accurate  
13 calculation of net annual CO<sub>2</sub> and methane emissions attributable to each Defendant by quantifying  
14 the amount and type of fossil fuels products each Defendant extracted and placed into the stream  
15 of commerce, and multiplying those quantities by each fossil fuel product's carbon factor.

16 75. Defendants, through their extraction, promotion, marketing, and sale of their fossil  
17 fuel products, caused approximately 20% of global fossil fuel product-related CO<sub>2</sub> between 1965  
18 and 2015, with contributions currently continuing unabated. This constitutes a substantial portion  
19 of all such emissions in history, and the attendant historical, projected, and committed sea level  
20 rise associated therewith.

21 76. Total cumulative emissions increased from 470 GtC in 2000 to 600 GtC gigatons  
22 through 2015, representing an almost 30% increase in total emissions in only sixteen years.<sup>65</sup>

23 77. By quantifying CO<sub>2</sub> and methane pollution attributable to Defendants by and  
24 through their fossil fuel products, ambient air and ocean temperature and sea level responses to  
25 those emissions are also calculable, and can be attributed to Defendants on an individual and  
26 aggregate basis. Individually and collectively, Defendants' extraction, sale, and promotion of their

27 \_\_\_\_\_  
28 <sup>65</sup> See C. Le Quéré et al., Global Carbon Budget 2016, Earth Syst. Sci. Data 8, 633, table 10 (2016),  
<http://www.earth-syst-sci-data.net/8/605/2016/>.

1 fossil fuel products are responsible for substantial increases in ambient (surface) temperature,  
2 ocean temperature, sea level, extreme storm events, and other adverse impacts on Plaintiffs  
3 described herein.

4 78. Anthropogenic CO<sub>2</sub> emissions through 2015 have caused approximately 17.4 feet  
5 of committed mean global sea level rise.<sup>66</sup> Defendants, through their extraction, promotion,  
6 marketing, and sale of their fossil fuel products, caused a substantial portion of both those  
7 emissions and the attendant historical, projected, and committed sea level rise.

8 79. As explained above, this analysis considers only the volume of raw material  
9 actually extracted from the Earth by these Defendants. Many of these Defendants actually are  
10 responsible for far greater volumes of emissions because they also refine, manufacture, produce,  
11 market, promote, and sell more fossil fuel derivatives than they extract themselves by purchasing  
12 fossil fuel products extracted by independent third parties.

13 80. In addition, considering the Defendants' lead role in promoting, marketing, and  
14 selling their fossil fuels products between 1965 and 2015; their efforts to conceal the hazards of  
15 those products from consumers; their promotion of their fossil fuel products despite knowing the  
16 dangers associate with those products; their dogged campaign against regulation of those products  
17 based on falsehoods, omissions, and deceptions; and their failure to pursue less hazardous  
18 alternatives available to them, Defendants, individually and together, have substantially and  
19 measurably contributed to the Plaintiffs' sea level rise-related injuries.

20 **D. Defendants Went to Great Lengths to Understand the Hazards Associated**  
21 **with and Knew or Should Have Known of the Dangers Associated with the**  
**Extraction, Promotion and Sale of Their Fossil Fuel Products.**

22 81. By 1965, concern about the risks of anthropogenic greenhouse gas emissions  
23 reached the highest level of the United States' scientific community. In that year, President Lyndon  
24 B. Johnson's Science Advisory Committee Panel on Environmental Pollution reported that by the  
25 year 2000, anthropogenic CO<sub>2</sub> emissions would "modify the heat balance of the atmosphere to  
26

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27 <sup>66</sup> Peter U. Clark et al., Consequences of Twenty-First-Century Policy for Multi-Millennial Climate and Sea-Level  
28 Change, Nature Climate Change Vol. 6, 365 (2016).

1 such an extent that marked changes in climate . . . could occur.”<sup>67</sup> President Johnson announced  
2 in a special message to Congress that “[t]his generation has altered the composition of the  
3 atmosphere on a global scale through . . . a steady increase in carbon dioxide from the burning of  
4 fossil fuels.”<sup>68</sup>

5 82. These statements from the Johnson Administration, at a minimum, put Defendants  
6 on notice of the potentially substantial dangers to people, communities, and the planet associated  
7 with unabated use of their fossil fuel products. Moreover, Defendants had amassed a considerable  
8 body of knowledge on the subject through their own independent efforts.

9 83. In 1968, a Stanford Research Institute (SRI) report commissioned by the American  
10 Petroleum Institute (“API”) and made available to all of its members, concluded, among  
11 other things:

12 If the Earth’s temperature increases significantly, a number of events might be  
13 expected to occur including the melting of the Antarctic ice cap, a rise in sea levels,  
warming of the oceans and an increase in photosynthesis. . . .

14 It is clear that we are unsure as to what our long-lived pollutants are doing to our  
15 environment; however, there seems to be no doubt that the potential damage to our  
16 environment could be severe. . . . [T]he prospect for the future must be of serious  
concern.<sup>69</sup>

17 84. In 1969, Shell memorialized an on-going 18-month project to collect ocean data  
18 from oil platforms to develop and calibrate environmental forecasting theories related to predicting  
19 wave, wind, storm, sea level, and current changes and trends.<sup>70</sup> Several Defendants and/or their  
20 predecessors in interest participated in the project, including Esso Production Research Company  
21 (ExxonMobil), Mobil Research and Development Company (ExxonMobil), Pan American  
22 Petroleum Corporation (BP), Gulf Oil Corporation (Chevron), Texaco Inc. (Chevron), and the  
23 Chevron Oil Field Research Company.

24  
25 <sup>67</sup> President’s Science Advisory Committee, Restoring the Quality of Our Environment: Report of the  
Environmental Pollution Panel, page 9 (November 1965), <https://hdl.handle.net/2027/uc1.b4315678>.

26 <sup>68</sup> President Lyndon B. Johnson, Special Message to Congress on Conservation and Restoration of Natural Beauty  
(February 8, 1965), <http://acsc.lib.udel.edu/items/show/292>.

27 <sup>69</sup> Elmer Robinson and R.C. Robbins, Sources, Abundance, and Fate of Gaseous Atmospheric Pollutants, Stanford  
Research Institute (February 1968), <https://www.smokeandfumes.org/documents/document16>.

28 <sup>70</sup> M.M. Patterson, An Ocean Data Gathering Program for the Gulf of Mexico, Society of Petroleum Engineers  
(1969), <https://www.onepetro.org/conference-paper/SPE-2638-MS>.

1           85. In 1972, API members, including Defendants, received a status report on all  
2 environmental research projects funded by API. The report summarized the 1968 SRI report  
3 describing the impact of Defendants' fossil fuel products on the environment, including global  
4 warming and sea level rise. Industry participants who received this report include: American  
5 Standard of Indiana (BP), Asiatic (Shell), Ashland (Marathon), Atlantic Richfield (BP), British  
6 Petroleum (BP), Chevron Standard of California (Chevron), Cities Service (Citgo), Continental  
7 (ConocoPhillips), Dupont (former owner of Conoco), Esso Research (ExxonMobil), Ethyl  
8 (formerly affiliated with Esso, which was subsumed by ExxonMobil), Getty  
9 (Lukoil/ExxonMobil), Gulf (Chevron, among others), Humble Standard of New Jersey  
10 (ExxonMobil/Chevron/BP), Marathon, Mobil (ExxonMobil), Pan American (BP), Phillips  
11 (ConocoPhillips), Shell, Standard of Ohio (BP), Texaco (Chevron), Union (Chevron), Edison  
12 Electric Institute (representing electric utilities), Bituminous Coal Research (coal industry research  
13 group), Mid-Continent Oil & Gas Association (presently the U.S. Oil & Gas Association, a  
14 national trade association), Western Oil & Gas Association, National Petroleum Refiners  
15 Association (presently the American Fuel and Petrochemical Manufacturers Association, a  
16 national trade association), Champlin (Anadarko), Skelly (Lukoil/ExxonMobil), Colonial Pipeline  
17 (ownership has included BP, Citgo, ExxonMobil, ConocoPhillips, Chevron entities, among others)  
18 and Caltex (Chevron), among others.<sup>71</sup>

19           86. In a 1977 presentation and again in a 1978 briefing, Exxon scientists warned the  
20 Exxon Corporation Management Committee that CO<sub>2</sub> concentrations were building in the Earth's  
21 atmosphere at an increasing rate, that CO<sub>2</sub> emissions attributable to fossil fuels were retained in  
22 the atmosphere, and that CO<sub>2</sub> was contributing to global warming.<sup>72</sup> The report stated:

23           There is general scientific agreement that the most likely manner in which mankind  
24 is influencing the global climate is through carbon dioxide release from the burning  
25 of fossil fuels . . . [and that] Man has a time window of five to ten years before the

26 <sup>71</sup> American Petroleum Institute, Environmental Research, A Status Report, Committee for Air and Water  
Conservation (January 1972), <http://files.eric.ed.gov/fulltext/ED066339.pdf>.

27 <sup>72</sup> Memo from J.F. Black to F.G. Turpin, The Greenhouse Effect, Exxon Research and Engineering Company (June  
28 6, 1978), <http://www.climatefiles.com/exxonmobil/1978-exxon-memo-on-greenhouse-effect-for-exxon-corporation-management-committee/>.



1 need for hard decisions regarding changes in energy strategies might become  
2 critical.<sup>73</sup>

3 87. Thereafter, Exxon engaged in a research program to study the environmental fate  
4 of fossil fuel-derived greenhouse gases and their impacts, which included publication of peer-  
5 reviewed research by Exxon staff scientists and the conversion of a supertanker into a research  
6 vessel to study the greenhouse effect and the role of the oceans in absorbing anthropogenic CO<sub>2</sub>.  
7 Much of this research was shared in a variety of fora, symposia, and shared papers through trade  
8 associations and directly with other Defendants.

9 88. Exxon scientists made the case internally for using company resources to build  
10 corporate knowledge about the impacts of the promotion, marketing, and consumption of  
11 Defendants' fossil fuel products. Exxon climate researcher Henry Shaw wrote in 1978: "The  
12 rationale for Exxon's involvement and commitment of funds and personnel is based on our need  
13 to assess the possible impact of the greenhouse effect on Exxon business. Exxon must develop a  
14 credible scientific team that can critically evaluate the information generated on the subject and be  
15 able to carry bad news, if any, to the corporation."<sup>74</sup> Moreover, Shaw emphasized the need to  
16 collaborate with universities and government to more completely understand what he called the  
17 "CO<sub>2</sub> problem."<sup>75</sup>

18 89. In 1979, API and its members, including Defendants, convened a Task Force to  
19 monitor and share cutting edge climate research among the oil industry. The group was initially  
20 called the CO<sub>2</sub> and Climate Task Force, but changed its name to the Climate and Energy Task  
21 Force in 1980 (hereinafter referred to as "API CO<sub>2</sub> Task Force"). Membership included senior  
22 scientists and engineers from nearly every major U.S. and multinational oil and gas company,  
23 including Exxon, Mobil (ExxonMobil), Amoco (BP), Phillips (ConocoPhillips), Texaco  
24 (Chevron), Shell, Sunoco, Sohio (BP) as well as Standard Oil of California (BP) and Gulf Oil

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26 <sup>73</sup> Id.

27 <sup>74</sup> Henry Shaw, Memo to Edward David Jr. on the "Greenhouse Effect", Exxon Research and Engineering Company  
(December 7, 1978).

28 <sup>75</sup> Id.

1 (Chevron, among others). The Task Force was charged with assessing the implications of emerging  
2 science on the petroleum and gas industries and identifying where reductions in greenhouse gas  
3 emissions from Defendants' fossil fuel products could be made.<sup>76</sup>

4 90. In 1979, API sent its members a background memo related to the API CO<sub>2</sub> and  
5 Climate Task Force's efforts, stating that CO<sub>2</sub> concentrations were rising steadily in the  
6 atmosphere, and predicting when the first clear effects of climate change might be felt.<sup>77</sup>

7 91. Also in 1979, Exxon scientists advocated internally for additional fossil fuel  
8 industry-generated atmospheric research in light of the growing consensus that consumption of  
9 fossil fuel products was changing the Earth's climate:

10 "We should determine how Exxon can best participate in all these [atmospheric  
11 science research] areas and influence possible legislation on environmental  
12 controls. It is important to begin to anticipate the strong intervention of  
13 environmental groups and be prepared to respond with reliable and credible data. It  
14 behooves [Exxon] to start a very aggressive defensive program in the indicated  
15 areas of atmospheric science and climate because there is a good probability that  
16 legislation affecting our business will be passed. Clearly, it is in our interest for  
17 such legislation to be based on hard scientific data. The data obtained from research  
18 on the global damage from pollution, e.g., from coal combustion, will give us the  
19 needed focus for further research to avoid or control such pollutants."<sup>78</sup>

20 92. That same year, Exxon Research and Engineering reported that: "The most widely  
21 held theory [about increasing CO<sub>2</sub> concentration] is that the increase is due to fossil fuel  
22 combustion, increasing CO<sub>2</sub> concentration will cause a warming of the earth's surface, and the  
23 present trend of fossil fuel consumption will cause dramatic environmental effects before the year

24 <sup>76</sup>American Petroleum Institute, AQ-9 Task Force Meeting Minutes (March 18, 1980),  
25 [http://insideclimatenews.org/sites/default/files/documents/AQ-](http://insideclimatenews.org/sites/default/files/documents/AQ-9%20Task%20Force%20Meeting%20%281980%29.pdf)

26 [9%20Task%20Force%20Meeting%20%281980%29.pdf](http://insideclimatenews.org/sites/default/files/documents/AQ-9%20Task%20Force%20Meeting%20%281980%29.pdf) (AQ-9 refers to the "CO<sub>2</sub> and Climate" Task Force).

27 <sup>77</sup> Neela Banerjee, Exxon's Oil Industry Peers Knew About Climate Dangers in the 1970s, Too, Inside Climate  
28 News (December 22, 2015), [https://insideclimatenews.org/news/22122015/exxon-mobil-oil-industry-peers-knew-](https://insideclimatenews.org/news/22122015/exxon-mobil-oil-industry-peers-knew-about-climate-change-dangers-1970s-american-petroleum-institute-api-shell-chevron-texaco)  
[about-climate-change-dangers-1970s-american-petroleum-institute-api-shell-chevron-texaco.](https://insideclimatenews.org/news/22122015/exxon-mobil-oil-industry-peers-knew-about-climate-change-dangers-1970s-american-petroleum-institute-api-shell-chevron-texaco)

<sup>78</sup> Henry Shaw, Exxon Memo to H.N. Weinberg about "Research in Atmospheric Science", Exxon Inter-Office  
Correspondence (November 19, 1979),  
[https://insideclimatenews.org/sites/default/files/documents/Probable%20Legislation%20Memo%20\(1979\).pdf](https://insideclimatenews.org/sites/default/files/documents/Probable%20Legislation%20Memo%20(1979).pdf).

1 2050.”<sup>79</sup> Further, the report stated that unless fossil fuel use was constrained, there would be  
2 “noticeable temperature changes” associated with an increase in atmospheric CO<sub>2</sub> from about 280  
3 parts per million before the Industrial Revolution to 400 parts per million by the year 2010.<sup>80</sup> Those  
4 projections proved remarkably accurate—atmospheric CO<sub>2</sub> concentrations surpassed 400 parts per  
5 million in May 2013, for the first time in millions of years.<sup>81</sup> In 2015, the annual average CO<sub>2</sub>  
6 concentration rose above 400 parts per million, and in 2016 the annual low surpassed 400 parts  
7 per million, meaning atmospheric CO<sub>2</sub> concentration remained above that threshold all year.<sup>82</sup>

8 93. In 1980, API’s CO<sub>2</sub> Task Force members discussed the oil industry’s responsibility  
9 to reduce CO<sub>2</sub> emissions by changing refining processes and developing fuels that emit less CO<sub>2</sub>.  
10 The minutes from the Task Force’s February 29, 1980, meeting included a summary of a  
11 presentation on “The CO<sub>2</sub> Problem” given by Dr. John Laurmann, which identified the “scientific  
12 consensus on the potential for large future climatic response to increased CO<sub>2</sub> levels” as a reason  
13 for API members to have concern with the “CO<sub>2</sub> problem” and informed attendees that there was  
14 “strong empirical evidence that rise [in CO<sub>2</sub> concentration was] caused by anthropogenic release  
15 of CO<sub>2</sub>, mainly from fossil fuel combustion.”<sup>83</sup> Moreover, Dr. Laurmann warned that the amount  
16 of CO<sub>2</sub> in the atmosphere could double by 2038, which he said would likely lead to a 2.5° C (4.5°  
17 F) rise in global average temperatures with “major economic consequences.” He then told the Task  
18 Force that models showed a 5°C (9° F) rise by 2067, with “globally catastrophic effects.”<sup>84</sup> A  
19 taskforce member and representative of Texaco leadership present at the meeting posited that the  
20 API CO<sub>2</sub> Task Force should develop ground rules for energy release of fuels and the cleanup of  
21 fuels as they relate to CO<sub>2</sub> creation.

22  
23 <sup>79</sup> W.L. Ferrall, Exxon Memo to R.L. Hirsch about “Controlling Atmospheric CO<sub>2</sub>”, Exxon Research and  
Engineering Company (October 16, 1979),

24 <http://insideclimatenews.org/sites/default/files/documents/CO2%20and%20Fuel%20Use%20Projections.pdf>.

25 <sup>80</sup> Id.

26 <sup>81</sup> Nicola Jones, How the World Passed a Carbon Threshold and Why it Matters, Yale Environment 360 (Jan. 26,  
2017), <http://e360.yale.edu/features/how-the-world-passed-a-carbon-threshold-400ppm-and-why-it-matters>.

27 <sup>82</sup> Id.

28 <sup>83</sup> American Petroleum Institute, AQ-9 Task Force Meeting Minutes (March 18, 1980),

[http://insideclimatenews.org/sites/default/files/documents/AQ-](http://insideclimatenews.org/sites/default/files/documents/AQ-9%20Task%20Force%20Meeting%20281980%29.pdf)

[9%20Task%20Force%20Meeting%20281980%29.pdf](http://insideclimatenews.org/sites/default/files/documents/AQ-9%20Task%20Force%20Meeting%20281980%29.pdf) (AQ-9 refers to the “CO<sub>2</sub> and Climate” Task Force).

<sup>84</sup> Id.

1           94. In 1980, the API CO<sub>2</sub> Task Force also discussed a potential area for investigation:  
2 alternative energy sources as a means of mitigating CO<sub>2</sub> emissions from Defendants' fossil fuel  
3 products. These efforts called for research and development to "Investigate the Market Penetration  
4 Requirements of Introducing a New Energy Source into World Wide Use." Such investigation was  
5 to include the technical implications of energy source changeover, research timing, and  
6 requirements.<sup>85</sup>

7           95. By 1980, Exxon's senior leadership had become intimately familiar with the  
8 greenhouse effect and the role of CO<sub>2</sub> in the atmosphere. In that year, Exxon Senior Vice President  
9 and Board member George Piercy questioned Exxon researchers on the minutiae of the ocean's  
10 role in absorbing atmospheric CO<sub>2</sub>, including whether there was a net CO<sub>2</sub> flux out of the ocean  
11 into the atmosphere in certain zones where upwelling of cold water to the surface occurs, because  
12 Piercy evidently believed that the oceans could absorb and retain higher concentrations of CO<sub>2</sub>  
13 than the atmosphere.<sup>86</sup> This inquiry aligns with Exxon supertanker research into whether the ocean  
14 would act as a significant CO<sub>2</sub> sink that would sequester atmospheric CO<sub>2</sub> long enough to allow  
15 unabated emissions without triggering dire climatic consequences. As described below, Exxon  
16 eventually scrapped this research before it produced enough data from which to derive a  
17 conclusion.<sup>87</sup>

18           96. Also in 1980, Imperial Oil (ExxonMobil) reported to Esso and Exxon managers  
19 and environmental staff that increases in fossil fuel usage aggravates CO<sub>2</sub> in the atmosphere.  
20 Noting that the United Nations was encouraging research into the carbon cycle, Imperial reported  
21 that "[t]echnology exists to remove CO<sub>2</sub> from [fossil fuel power plant] stack gases but removal of  
22 only 50% of the CO<sub>2</sub> would double the cost of power generation." Imperial also reported that its  
23 coordination department had been internally evaluating its and Exxon's products to determine  
24

25 \_\_\_\_\_  
<sup>85</sup> Id.

26 <sup>86</sup> Neela Banerjee, More Exxon Documents Show How Much It Knew About Climate 35 Years Ago, Inside Climate  
27 News (Dec. 1, 2015), <https://insideclimatenews.org/news/01122015/documents-exxons-early-co2-position-senior-executives-engage-and-warming-forecast>.

28 <sup>87</sup> Neela Banerjee et al., Exxon Believed Deep Dive Into Climate Research Would Protect Its Business, Inside  
Climate News (Sept. 17, 2015), <https://insideclimatenews.org/news/16092015/exxon-believed-deep-dive-into-climate-research-would-protect-its-business>.

1 whether disclosure of a human health hazard was necessary. The report notes that Section (8e) of  
2 Toxic Substances Control Act, 55 U.S.C. §§ 1601 et seq., requires that anyone who discovers that  
3 a material or substance in commercial use is or may be a significant risk to human health must  
4 report such findings to the Environmental Protection Agency within 15 days. Although greenhouse  
5 gases are human health hazards (because they have serious consequences in terms of global food  
6 production, disease virulence, and sanitation infrastructure, among other impacts), neither  
7 Imperial, Exxon, nor any other Defendant has ever filed a disclosure with the U.S. Environmental  
8 Protection Agency pursuant to the Toxic Substances Control Act. Exxon scientist Roger Cohen  
9 warned his colleagues in a 1981 internal memorandum that “future developments in global data  
10 gathering and analysis, along with advances in climate modeling, may provide strong evidence for  
11 a delayed CO<sub>2</sub> effect of a truly substantial magnitude,” and that under certain circumstances it  
12 would be “very likely that we will unambiguously recognize the threat by the year 2000.”<sup>88</sup> Cohen  
13 had expressed concern that the memorandum mischaracterized potential effects of unabated CO<sub>2</sub>  
14 emissions from Defendants’ fossil fuel products: “. . . it is distinctly possible that the . . . [Exxon  
15 Planning Division’s] scenario will produce effects which will indeed be catastrophic (at least for  
16 a substantial fraction of the world’s population).”<sup>89</sup>

17 97. In 1981, Exxon’s Henry Shaw, the company’s lead climate researcher at the time,  
18 prepared a summary of Exxon’s current position on the greenhouse effect for Edward David Jr.,  
19 president of Exxon Research and Engineering, stating in relevant part:

- “Atmospheric CO<sub>2</sub> will double in 100 years if fossil fuels grow at 1.4%/ a<sup>2</sup>.
- 3°C global average temperature rise and 10°C at poles if CO<sub>2</sub> doubles.
  - Major shifts in rainfall/agriculture
  - Polar ice may melt”<sup>90</sup>

25 <sup>88</sup> Roger W. Cohen, Exxon Memo to W. Glass about possible “catastrophic” effect of CO<sub>2</sub>, Exxon Inter-Office  
26 Correspondence (Aug. 18, 1981), <http://www.climatefiles.com/exxonmobil/1981-exxon-memo-on-possible-emission-consequences-of-fossil-fuel-consumption/>.

27 <sup>89</sup> Id.

28 <sup>90</sup> Henry Shaw, Exxon Memo to E. E. David, Jr. about “CO<sub>2</sub>Position Statement”, Exxon Inter-Office  
Correspondence (May 15, 1981),  
<https://insideclimatenews.org/sites/default/files/documents/Exxon%20Position%20on%20CO2%20%281981%29.pdf>  
f.

1           98. In 1982, another report prepared for API by scientists at the Lamont-Doherty  
2 Geological Observatory at Columbia University recognized that atmospheric CO<sub>2</sub> concentration  
3 had risen significantly compared to the beginning of the industrial revolution from about 290 parts  
4 per million to about 340 parts per million in 1981 and acknowledged that despite differences in  
5 climate modelers' predictions, all models indicated a temperature increase caused by  
6 anthropogenic CO<sub>2</sub> within a global mean range of 4° C (7.2° F). The report advised that there was  
7 scientific consensus that “a doubling of atmospheric CO<sub>2</sub> from [] pre-industrial revolution value  
8 would result in an average global temperature rise of (3.0 ± 1.5)°C [5.4 ± 2.7° F].” It went further,  
9 warning that “[s]uch a warming can have serious consequences for man’s comfort and survival  
10 since patterns of aridity and rainfall can change, the height of the sea level can increase  
11 considerably and the world food supply can be affected.”<sup>91</sup> Exxon’s own modeling research  
12 confirmed this, and the company’s results were later published in at least three peer-reviewed  
13 scientific papers.<sup>92</sup>

14           99. Also in 1982, Exxon’s Environmental Affairs Manager distributed a primer on  
15 climate change to a “wide circulation [of] Exxon management . . . intended to familiarize Exxon  
16 personnel with the subject.”<sup>93</sup> The primer also was “restricted to Exxon personnel and not to be  
17 distributed externally.”<sup>94</sup> The primer compiled science on climate change available at the time,  
18 and confirmed fossil fuel combustion as a primary anthropogenic contributor to global warming.  
19 The report estimated a CO<sub>2</sub> doubling around 2090 based on Exxon’s long-range modeled outlook.  
20 The author warned that the melting of the Antarctic ice sheet could result in global sea level rise  
21

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23 <sup>91</sup> American Petroleum Institute, Climate Models and CO<sub>2</sub> Warming: A Selective Review and Summary, Lamont-  
Doherty Geological Observatory (Columbia University) (March 1982),

24 <https://assets.documentcloud.org/documents/2805626/1982-API-Climate-Models-and-CO2-Warming-a.pdf>.

25 <sup>92</sup> See Roger W. Cohen, Exxon Memo summarizing findings of research in climate modeling, Exxon Research and  
Engineering Company (September 2, 1982),  
26 [https://insideclimatenews.org/sites/default/files/documents/%2522Consensus%2522%20on%20CO2%20Impacts%20\(1982\).pdf](https://insideclimatenews.org/sites/default/files/documents/%2522Consensus%2522%20on%20CO2%20Impacts%20(1982).pdf). (discussing research articles).

27 <sup>93</sup> M. B. Glaser, Exxon Memo to Management about “CO<sub>2</sub> ‘Greenhouse’ Effect”, Exxon Research and Engineering  
Company (November 12, 1982),  
28 <http://insideclimatenews.org/sites/default/files/documents/1982%20Exxon%20Primer%20on%20CO2%20Greenhouse%20Effect.pdf>.

<sup>94</sup> Id.

1 of five feet which would “cause flooding on much of the U.S. East Coast, including the State of  
2 Florida and Washington, D.C.”<sup>95</sup> Indeed, it warned that “there are some potentially catastrophic  
3 events that must be considered,” including sea level rise from melting polar ice sheets. It noted  
4 that some scientific groups were concerned “that once the effects are measurable, they might not  
5 be reversible.”<sup>96</sup>

6 100. In a summary of Exxon’s climate modeling research from 1982, Director of  
7 Exxon’s Theoretical and Mathematical Sciences Laboratory Roger Cohen wrote that “the time  
8 required for doubling of atmospheric CO<sub>2</sub> depends on future world consumption of fossil fuels.”  
9 Cohen concluded that Exxon’s own results were “consistent with the published predictions of more  
10 complex climate models” and “in accord with the scientific consensus on the effect of increased  
11 atmospheric CO<sub>2</sub> on climate.”<sup>97</sup>

12 101. At the fourth biennial Maurice Ewing Symposium at the Lamont-Doherty  
13 Geophysical Observatory in October 1982, attended by members of API, Exxon Research and  
14 Engineering Company president E.E. David delivered a speech titled: “Inventing the Future:  
15 Energy and the CO<sub>2</sub> ‘Greenhouse Effect.’”<sup>98</sup> His remarks included the following statement: “[F]ew  
16 people doubt that the world has entered an energy transition away from dependence upon fossil  
17 fuels and toward some mix of renewable resources that will not pose problems of CO<sub>2</sub>  
18 accumulation.” He went on, discussing the human opportunity to address anthropogenic climate  
19 change before the point of no return:

20  
21 It is ironic that the biggest uncertainties about the CO<sub>2</sub> buildup are not in predicting  
22 what the climate will do, but in predicting what people will do. . . . [It] appears we  
23 still have time to generate the wealth and knowledge we will need to invent the  
24 transition to a stable energy system.

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25 <sup>95</sup> Id.

26 <sup>96</sup> Id.

27 <sup>97</sup> Roger W. Cohen, Exxon Memo summarizing findings of research in climate modeling, Exxon Research and  
Engineering Company (September 2, 1982),  
[https://insideclimateneeds.org/sites/default/files/documents/%2522Consensus%2522%20on%20CO2%20Impacts%20\(1982\).pdf](https://insideclimateneeds.org/sites/default/files/documents/%2522Consensus%2522%20on%20CO2%20Impacts%20(1982).pdf).

28 <sup>98</sup> E. E. David, Jr., Inventing the Future: Energy and the CO<sub>2</sub> Greenhouse Effect: Remarks at the Fourth Annual  
Ewing Symposium, Tenafly, NJ (1982), <http://sites.agu.org/publications/files/2015/09/ch1.pdf>.

1           102. Throughout the early 1980s, at Exxon’s direction, Exxon climate scientist Henry  
2 Shaw forecasted emissions of CO<sub>2</sub> from fossil fuel use. Those estimates were incorporated into  
3 Exxon’s 21st century energy projections and were distributed among Exxon’s various divisions.  
4 Shaw’s conclusions included an expectation that atmospheric CO<sub>2</sub> concentrations would double in  
5 2090 per the Exxon model, with an attendant 2.3–5.6° F average global temperature increase. Shaw  
6 compared his model results to those of the U.S. EPA, the National Academy of Sciences, and the  
7 Massachusetts Institute of Technology, indicating that the Exxon model predicted a longer delay  
8 than any of the other models, although its temperature increase prediction was in the mid-range of  
9 the four projections.<sup>99</sup>

10           103. During the 1980s, many Defendants formed their own research units focused on  
11 climate modeling. The API, including the API CO<sub>2</sub> Task Force, provided a forum for Defendants  
12 to share their research efforts and corroborate their findings related to anthropogenic greenhouse  
13 gas emissions.<sup>100</sup>

14           104. During this time, Defendants’ statements express an understanding of their  
15 obligation to consider and mitigate the externalities of unabated promotion, marketing, and sale of  
16 their fossil fuel products. For example, in 1988, Richard Tucker, the president of Mobil Oil,  
17 presented at the American Institute of Chemical Engineers National Meeting, the premier  
18 educational forum for chemical engineers, where he stated:

19  
20           [H]umanity, which has created the industrial system that has transformed civilities,  
21 is also responsible for the environment, which sometimes is at risk because of  
22 unintended consequences of industrialization. . . . Maintaining the health of this  
23 life-support system is emerging as one of the highest priorities. . . . [W]e must all  
24 be environmentalists.

25  
26           The environmental covenant requires action on many fronts...the low-atmosphere  
27 ozone problem, the upper-atmosphere ozone problem and the greenhouse effect,  
28

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26 <sup>99</sup> Neela Banerjee, More Exxon Documents Show How Much It Knew About Climate 35 Years Ago, Inside Climate  
27 News (Dec. 1, 2015), <https://insideclimatenews.org/news/01122015/documents-exxons-early-co2-position-senior-executives-engage-and-warming-forecast>.

28 <sup>100</sup> Neela Banerjee, Exxon’s Oil Industry Peers Knew About Climate Dangers in the 1970s, Too, Inside Climate  
News (December 22, 2015), <https://insideclimatenews.org/news/22122015/exxon-mobil-oil-industry-peers-knew-about-climate-change-dangers-1970s-american-petroleum-institute-api-shell-chevron-texaco>.



1 to name a few. . . . Our strategy must be to reduce pollution before it is ever  
2 generated – to prevent problems at the source.

3 Prevention means engineering a new generation of fuels, lubricants and chemical  
4 products. . . . Prevention means designing catalysts and processes that minimize  
5 or eliminate the production of unwanted byproducts. . . . Prevention on a global  
6 scale may even require a dramatic reduction in our dependence on fossil fuels—  
7 and a shift towards solar, hydrogen, and safe nuclear power. It may be possible  
8 that—just possible—that the energy industry will transform itself so completely  
9 that observers will declare it a new industry. . . . Brute force, low-tech responses  
10 and money alone won’t meet the challenges we face in the energy industry.<sup>101</sup>

11 105. In 1989, Esso Resources Canada (ExxonMobil) commissioned a report on the  
12 impacts of climate change on existing and proposed natural gas facilities in the Mackenzie River  
13 Valley and Delta, including extraction facilities on the Beaufort Sea and a pipeline crossing  
14 Canada’s Northwest Territory.<sup>102</sup> It reported that “large zones of the Mackenzie Valley could be  
15 affected dramatically by climatic change” and that “the greatest concern in Norman Wells [oil  
16 town in North West Territories, Canada] should be the changes in permafrost that are likely to  
17 occur under conditions of climate warming.” The report concluded that, in light of climate models  
18 showing a “general tendency towards warmer and wetter climate,” operation of those facilities  
19 would be compromised by increased precipitation, increase in air temperature, changes in  
20 permafrost conditions, and significantly, sea level rise and erosion damage.<sup>103</sup> The authors  
21 recommended factoring these eventualities into future development planning and also warned that  
22 “a rise in sea level could cause increased flooding and erosion damage on Richards Island.”

23 106. In 1991, Shell produced a film called “Climate of Concern.” The film advises that  
24 while “no two [climate change projection] scenarios fully agree . . . [they] have each prompted the  
25 same serious warning. A warning endorsed by a uniquely broad consensus of scientists in their  
26 report to the UN at the end of 1990.” The warning was an increasing frequency of abnormal  
27 weather, and of sea level rise of about one meter over the coming century. Shell specifically

28 <sup>101</sup> Richard E. Tucker, High Tech Frontiers in the Energy Industry: The Challenge Ahead, AICHE National Meeting  
(November 30, 1988), <https://hdl.handle.net/2027/pur1.32754074119482?urlappend=%3Bseq=522>.

<sup>102</sup> Stephen Lonergan and Kathy Young, An Assessment of the Effects of Climate Warming on Energy  
Developments in the Mackenzie River Valley and Delta, Canadian Arctic, Energy Exploration & Exploitation, Vol.  
7, Issue 5 (Oct. 1, 1989), <http://journals.sagepub.com/doi/abs/10.1177/014459878900700508>.

<sup>103</sup> Id.

1 described the impacts of anthropogenic sea level rise on tropical islands, “barely afloat even now  
2 . . . [f]irst made uninhabitable and then obliterated beneath the waves. Wetland habitats destroyed  
3 by intruding salt. Coastal lowlands suffering pollution of precious groundwater.” It warned of  
4 “greenhouse refugees,” people who abandoned homelands inundated by the sea, or displaced  
5 because of catastrophic changes to the environment. The video concludes with a stark admonition:  
6 “Global warming is not yet certain, but many think that the wait for final proof would be  
7 irresponsible. Action now is seen as the only safe insurance.”<sup>104</sup>

8 107. In the mid-1990s, ExxonMobil, Shell and Imperial Oil (ExxonMobil) jointly  
9 undertook the Sable Offshore Energy Project in Nova Scotia. The project’s own Environmental  
10 Impact Statement declared: “The impact of a global warming sea-level rise may be particularly  
11 significant in Nova Scotia. The long-term tide gauge records at a number of locations along the  
12 N.S. coast have shown sea level has been rising over the past century . . . . For the design of coastal  
13 and offshore structures, an estimated rise in water level, due to global warming, of 0.5 m [1.64  
14 feet] may be assumed for the proposed project life (25 years).”<sup>105</sup>

15 108. Climate change research conducted by Defendants and their industry associations  
16 frequently acknowledged uncertainties in their climate modeling—those uncertainties, however,  
17 were merely with respect to the magnitude and timing of climate impacts resulting from fossil fuel  
18 consumption, not that significant changes would eventually occur. The Defendants’ researchers  
19 and the researchers at their industry associations harbored little doubt that climate change was  
20 occurring and that fossil fuel products were, and are, the primary cause.

21 109. Despite the overwhelming information about the threats to people and the planet  
22 posed by continued unabated use of their fossil fuel products, Defendants failed to act as they  
23 reasonably should have to mitigate or avoid those dire adverse impacts. Defendants instead  
24 adopted the position, as described below, that the absence of meaningful regulations on the  
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26 <sup>104</sup>Jelmer Mommers, Shell made a film about climate change in 1991 (then neglected to heed its own warning), de  
27 Correspondent (Feb. 27, 2017), <https://thecorrespondent.com/6285/shell-made-a-film-about-climate-change-in-1991-then-neglected-to-heed-its-own-warning/692663565-875331f6>.

28 <sup>105</sup> ExxonMobil, Sable Project, Development Plan, Volume 3 – Environmental Impact Statement  
<http://soep.com/about-the-project/development-plan-application/>.

1 consumption of their fossil fuel products was the equivalent of a social license to continue the  
2 unfettered pursuit of profits from those products. This position was an abdication of Defendants’  
3 responsibility to consumers and the public, including Plaintiffs, to act on their unique knowledge  
4 of the reasonably foreseeable hazards of unabated production and consumption of their fossil  
5 fuel products.

6 **E. Defendants Did Not Disclose Known Harms Associated with the Extraction,**  
7 **Promotion and Consumption of Their Fossil Fuel Products and Instead**  
8 **Affirmatively Acted to Obscure Those Harms and Engaged in a Concerted**  
9 **Campaign to Evade Regulation.**

10 110. By 1988, Defendants had amassed a compelling body of knowledge about the role  
11 of anthropogenic greenhouse gases, and specifically those emitted from the normal use of  
12 Defendants’ fossil fuel products, in causing global warming and sea level rise and the attendant  
13 consequences for human communities and the environment. On notice that their products were  
14 causing global climate change and dire effects on the planet, Defendants were faced with the  
15 decision of whether to take steps to limit the damages their fossil fuel products were causing and  
16 would continue to cause for virtually every one of Earth’s inhabitants, including the People of the  
17 State of California, and the County of San Mateo and its citizens.

18 111. Defendants at any time before or thereafter could and should reasonably have taken  
19 any of a number of steps to mitigate the damages caused by their fossil fuel products, and their  
20 own comments reveal an awareness of what some of these steps may have been. Defendants should  
21 have made reasonable warnings to consumers, the public, and regulators of the dangers known to  
22 Defendants of the unabated consumption of their fossil fuel products, and they should have taken  
23 reasonable steps to limit the potential greenhouse gas emissions arising out of their fossil  
24 fuel products.

25 112. But several key events during the period 1988–1992 appear to have prompted  
26 Defendants to change their tactics from general research and internal discussion on climate change  
27 to a public campaign aimed at evading regulation of their fossil fuel products and/or emissions  
28 therefrom. These include:

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- a. In 1988, National Aeronautics and Space Administration (NASA) scientists confirmed that human activities were actually contributing to global warming.<sup>106</sup> On June 23 of that year, NASA scientist James Hansen’s presentation of this information to Congress engendered significant news coverage and publicity for the announcement, including coverage on the front page of the New York Times.
- b. On July 28, 1988, Senator Robert Stafford and four bipartisan co-sponsors introduced S. 2666, “The Global Environmental Protection Act,” to regulate CO<sub>2</sub> and other greenhouse gases. Four more bipartisan bills to significantly reduce CO<sub>2</sub> pollution were introduced over the following ten weeks, and in August, U.S. Presidential candidate George H.W. Bush pledged that his presidency would “combat the greenhouse effect with the White House effect.”<sup>107</sup> Political will in the United States to reduce anthropogenic greenhouse gas emissions and mitigate the harms associated with Defendants’ fossil fuel products was gaining momentum.
- c. In December 1988, the United Nations formed the Intergovernmental Panel on Climate Change (IPCC), a scientific panel dedicated to providing the world’s governments with an objective, scientific analysis of climate change and its environmental, political, and economic impacts.
- d. In 1990, the IPCC published its First Assessment Report on anthropogenic climate change,<sup>108</sup> in which it concluded that (1) “there is a natural greenhouse effect which already keeps the Earth warmer than it would otherwise be,” and (2) that

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<sup>106</sup> See Peter C. Frumhoff et al., The Climate Responsibilities of Industrial Carbon Producers, Climatic Change, Vol. 132, 161 (2015).  
<sup>107</sup> New York Times, The White House and the Greenhouse, May 9, 1998, <http://www.nytimes.com/1989/05/09/opinion/the-white-house-and-the-greenhouse.html>.  
<sup>108</sup> See IPCC, Reports, [http://www.ipcc.ch/publications\\_and\\_data/publications\\_and\\_data\\_reports.shtml](http://www.ipcc.ch/publications_and_data/publications_and_data_reports.shtml).

1 emissions resulting from human activities are substantially  
2 increasing the atmospheric concentrations of the greenhouse  
3 gases carbon dioxide, methane, chlorofluorocarbons (CFCs) and  
4 nitrous oxide. These increases will enhance the greenhouse  
effect, resulting on average in an additional warming of the  
Earth's surface. The main greenhouse gas, water vapour, will  
increase in response to global warming and further enhance it.<sup>109</sup>

5 The IPCC reconfirmed these conclusions in a 1992 supplement to  
6 the First Assessment report.<sup>110</sup>

7 e. The United Nations began preparation for the 1992 Earth Summit in Rio de  
8 Janeiro, Brazil, a major, newsworthy gathering of 172 world governments,  
9 of which 116 sent their heads of state. The Summit resulted in the United  
10 Nations Framework Convention on Climate Change (UNFCCC), an  
11 international environmental treaty providing protocols for future  
12 negotiations aimed at “stabiliz[ing] greenhouse gas concentrations in the  
13 atmosphere at a level that would prevent dangerous anthropogenic  
14 interference with the climate system.”<sup>111</sup>

15 113. These world events marked a shift in public discussion of climate change, and the  
16 initiation of international efforts to curb anthropogenic greenhouse emissions – developments that  
17 had stark implications for, and would have diminished the profitability of, Defendants’ fossil fuel  
18 products.

19 114. But rather than collaborating with the international community by acting to  
20 forestall, or at least decrease, their fossil fuel products’ contributions to global warming, sea level  
21 rise, and injuries to San Mateo and other coastal communities, Defendants embarked on a decades-  
22 long campaign designed to maximize continued dependence on their products and undermine  
23 national and international efforts like the Kyoto Protocol to rein in greenhouse gas emissions.

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26 <sup>109</sup> IPCC, Climate Change: The IPCC Scientific Assessment, Policymakers Summary (1990),  
[http://www.ipcc.ch/ipccreports/far/wg\\_I/ipcc\\_far\\_wg\\_I\\_spm.pdf](http://www.ipcc.ch/ipccreports/far/wg_I/ipcc_far_wg_I_spm.pdf).

27 <sup>110</sup> IPCC, 1992 IPCC Supplement to the First Assessment Report (1992),  
[http://www.ipcc.ch/publications\\_and\\_data/publications\\_ipcc\\_90\\_92\\_assessments\\_far.shtml](http://www.ipcc.ch/publications_and_data/publications_ipcc_90_92_assessments_far.shtml).

28 <sup>111</sup> United Nations, United Nations Framework Convention on Climate Change, Article 2 (1992),  
<https://unfccc.int/resource/docs/convkp/conveng.pdf>.

1           115. Defendants’ campaign, which focused on concealing, discrediting, and/or  
2 misrepresenting information that tended to support restricting consumption of (and thereby  
3 decreasing demand for) Defendants’ fossil fuel products, took several forms. The campaign  
4 enabled Defendants to accelerate their business practice of exploiting fossil fuel reserves, and  
5 concurrently externalize the social and environmental costs of their fossil fuel products. These  
6 activities stood in direct contradiction to Defendants’ own prior recognition that the science of  
7 anthropogenic climate change was clear and that the greatest uncertainties involved responsive  
8 human behavior, not scientific understanding of the issue.

9           116. Defendants took affirmative steps to conceal, from Plaintiffs and the general public,  
10 the foreseeable impacts of the use of their fossil fuel products on the Earth’s climate and associated  
11 harms to people and communities. Defendants embarked on a concerted public relations campaign  
12 to cast doubt on the science connecting global climate change to fossil fuel products and  
13 greenhouse gas emissions, in order to influence public perception of the existence of anthropogenic  
14 global warming and sea level rise. The effort included promoting their hazardous products through  
15 advertising campaigns and the initiation and funding of climate change denialist organizations,  
16 designed to influence consumers to continue using Defendants’ fossil fuel products irrespective of  
17 those products’ damage to communities and the environment.

18           117. For example, in 1988, Joseph Carlson, an Exxon public affairs manager, described  
19 the “Exxon Position,” which included among others, two important messaging tenets: (1)  
20 “[e]mphasize the uncertainty in scientific conclusions regarding the potential enhanced  
21 Greenhouse Effect;” and (2) “[r]esist the overstatement and sensationalization [sic] of potential  
22 greenhouse effect which could lead to noneconomic development of non-fossil fuel resources.”<sup>112</sup>

23           118. In 1991, for example, the Information Council for the Environment (“ICE”), whose  
24 members included affiliates, predecessors and/or subsidiaries of Defendants, including Peabody,  
25 Ohio Valley Coal Company (Murray Energy), Pittsburg and Midway Coal Mining (Chevron), and  
26 Island Creek Coal Company (Occidental), launched a national climate change science denial  
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28 <sup>112</sup>Joseph M. Carlson, Exxon Memo on “The Greenhouse Effect” (August 3, 1988),  
<https://assets.documentcloud.org/documents/3024180/1998-Exxon-Memo-on-the-Greenhouse-Effect.pdf>.

1 campaign with full-page newspaper ads, radio commercials, a public relations tour schedule,  
2 “mailers,” and research tools to measure campaign success. Included among the campaign  
3 strategies was to “reposition global warming as theory (not fact).” Its target audience included  
4 older less-educated males who are “predisposed to favor the ICE agenda, and likely to be even  
5 more supportive of that agenda following exposure to new info” as well as younger, lower-income  
6 women likely to be “green” consumers but who “are also most likely to soften their support for  
7 federal legislation after hearing new information on global warming.”<sup>113</sup> The effort focused on a  
8 few select cities for their test marketing; these cities were selected on the basis that the majority of  
9 their electricity came from coal, they were home to members of the U.S. House of Representatives  
10 Energy and Commerce or Ways and Means committees, and they had low media costs.<sup>114</sup>

11 119. An implicit goal of ICE’s advertising campaign was to change public opinion and  
12 avoid regulation. A memo from Richard Lawson, president of the National Coal Association asked  
13 members to contribute to the ICE campaign with the justification that “policymakers are prepared  
14 to act [on global warming]. Public opinion polls reveal that 60% of the American people already  
15 believe global warming is a serious environmental problem. Our industry cannot sit on the  
16 sidelines in this debate.”<sup>115</sup>

17 120. The following images are examples of ICE-funded print advertisements  
18 challenging the validity of climate science and intended to obscure the scientific consensus on  
19 anthropogenic climate change and induce political inertia to address it.<sup>116</sup>

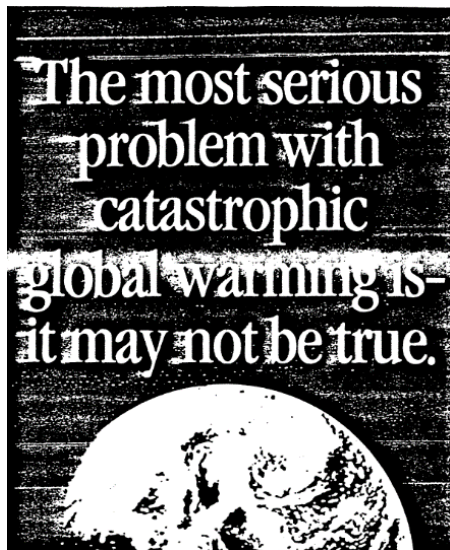
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25 <sup>113</sup> Union of Concerned Scientists, Deception Dossier #5: Coal’s “Information Council on the Environment” Sham,  
(1991), [http://www.ucsusa.org/sites/default/files/attach/2015/07/Climate-Deception-Dossier-5\\_ICE.pdf](http://www.ucsusa.org/sites/default/files/attach/2015/07/Climate-Deception-Dossier-5_ICE.pdf).

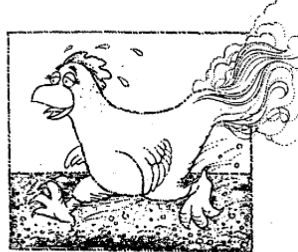
26 <sup>114</sup> Id.

27 <sup>115</sup> Naomi Oreskes, My Facts Are Better Than Your Facts: Spreading Good News about Global Warming (2010), in  
Peter Howlett et al., How Well Do Facts Travel?: The Dissemination of Reliable Knowledge, 136-166. Cambridge  
University Press. doi:10.1017/CBO9780511762154.008.8.

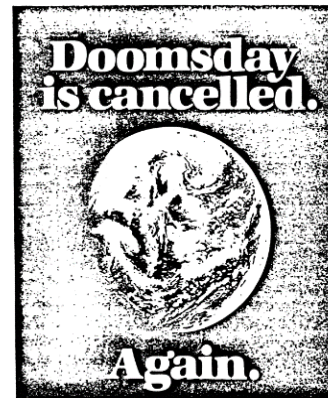
28 <sup>116</sup> Union of Concerned Scientists, Deception Dossier #5: Coal’s “Information Council on the Environment” Sham,  
47–49 (1991), [http://www.ucsusa.org/sites/default/files/attach/2015/07/Climate-Deception-Dossier-5\\_ICE.pdf](http://www.ucsusa.org/sites/default/files/attach/2015/07/Climate-Deception-Dossier-5_ICE.pdf).



Who told  
you the earth was  
warming...  
Chicken Little?



**C**hicken Little's hysteria about the sky falling was based on a fact that just shows out of proportion. It's the same with global warming. There's no hard evidence it is coming. In fact, evidence on Earth is heating a weak. Proof that carbon dioxide has been the primary cause is nonexistent. Climate models cause inaccurate predictions for future global change. And the scientific process of climate change are still wide open to debate. If you care about the world, but don't want your language to run away with you, make sure you get the facts. Write: Informed Citizens for the Environment, P.O. Box 1011, Grand Forks, North Dakota 58206 or call 701-764-6373. We'll send you the facts about global warming. Climate models cannot accurately



The twentieth century has seen many predictions of global destruction. In the 1950's, some scientists claimed we were in the middle of a disastrous warming trend. In the mid 1970's, others were sure we were entering a new Ice Age. And so on. It's the same with global warming. There's no hard evidence it is occurring. In fact, evidence the Earth is warming is weak. Proof that carbon dioxide has been the primary cause is nonexistent. Climate models cannot accurately predict far-future global change. And the underlying physics of the climatic change are still wide open to debate. If you care about the environment, but don't care to be persuaded into spending money on problems that don't exist, make sure you get the facts. Write: Informed Citizens for the Environment, P.O. Box 1011, Grand Forks, North Dakota 58206 or call 701-764-6373. We'll send you the facts about global warming. Climate models cannot accurately

121. In 1996, Exxon released a publication called "Global Warming: Who's Right? Facts about a debate that's turned up more questions than answers." In the publication's preface, Exxon CEO Lee Raymond stated that "taking drastic action immediately is unnecessary since many scientists agree there's ample time to better understand the climate system." The subsequent article described the greenhouse effect as "unquestionably real and definitely a good thing," while ignoring the severe consequences that would result from the influence of the increased CO<sub>2</sub> concentration on the Earth's climate. Instead, it characterized the greenhouse effect as simply "what makes the earth's atmosphere livable." Directly contradicting their own internal reports and peer-reviewed science, the article ascribed the rise in temperature since the late 19th century to "natural fluctuations that occur over long periods of time" rather than to the anthropogenic emissions that Exxon and other scientists had confirmed were responsible. The article also falsely challenged the computer models that projected the future impacts of unabated fossil fuel product consumption, including those developed by Exxon's own employees, as having been "proved to be inaccurate." The article contradicted the numerous reports circulated among Exxon's staff, and by the API, by stating that "the indications are that a warmer world would be far more benign than many imagine . . . moderate warming would reduce mortality rates in the US, so a slightly warmer climate would be more healthful." Raymond concluded his preface by attacking advocates for limiting the use of his company's fossil fuel products as "drawing on bad science, faulty logic, or



1 unrealistic assumptions”—despite the important role that Exxon’s own scientists had played in  
2 compiling those same scientific underpinnings.<sup>117</sup>

3 122. In a speech presented at the World Petroleum Congress in Beijing in 1997 at which  
4 many of the Defendants were present, Exxon CEO Lee Raymond reiterated these views. This time,  
5 he presented a false dichotomy between stable energy markets and abatement of the marketing,  
6 promotion, and sale of fossil fuel products known to Defendants to be hazardous. He stated:

7  
8 Some people who argue that we should drastically curtail our use of fossil fuels  
9 for environmental reasons...my belief [is] that such proposals are neither prudent  
10 nor practical. With no readily available economic alternatives on the horizon,  
11 fossil fuels will continue to supply most of the world’s and this region’s energy  
12 for the foreseeable future.

13  
14 Governments also need to provide a stable investment climate...They should  
15 avoid the temptation to intervene in energy markets in ways that give advantage  
16 to one competitor over another or one fuel over another.

17  
18 We also have to keep in mind that most of the greenhouse effects comes from  
19 natural sources . . . . Leaping to radically cut this tiny sliver of the greenhouse pie  
20 on the premise that it will affect climate defies common sense and lacks foundation  
21 in our current understanding of the climate system.

22  
23 Let’s agree there’s a lot we really don’t know about how climate will change in  
24 the 21st century and beyond. . . . It is highly unlikely that the temperature in the  
25 middle of the next century will be significantly affected whether policies are  
26 enacted now or 20 years from now. It’s bad public policy to impose very costly  
27 regulations and restrictions when their need has yet to be proven.<sup>118</sup>

28 123. Imperial Oil CEO Robert Peterson falsely denied the established connection  
between Defendants’ fossil fuel products and anthropogenic climate change in the Summer 1998  
Imperial Oil Review, “A Cleaner Canada”:

[T]his issue [referring to climate change] has absolutely nothing to do with  
pollution and air quality. Carbon dioxide is not a pollutant but an essential  
ingredient of life on this planet. . . . [T]he question of whether or not the trapping

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<sup>117</sup> Exxon Corp., Global warming: who’s right?, (1996), <https://www.documentcloud.org/documents/2805542-Exxon-Global-Warming-Whos-Right.html>.

<sup>118</sup> Lee R. Raymond, Energy – Key to growth and a better environment for Asia-Pacific nations, World Petroleum Congress (October 13, 1997), <https://assets.documentcloud.org/documents/2840902/1997-Lee-Raymond-Speech-at-China-World-Petroleum.pdf>.

1 of 'greenhouse gases will result in the planet's getting warmer...has no connection  
2 whatsoever with our day-to-day weather.

3 There is absolutely no agreement among climatologists on whether or not the planet  
4 is getting warmer, or, if it is, on whether the warming is the result of man-made  
5 factors or natural variations in the climate. . . . I feel very safe in saying that the  
6 view that burning fossil fuels will result in global climate change remains an  
7 unproved hypothesis.<sup>119</sup>

8 124. Mobil (ExxonMobil) paid for a series of "advertorials," advertisements located in  
9 the editorial section of the New York Times and meant to look like editorials rather than paid ads.  
10 These ads discussed various aspects of the public discussion of climate change and sought to  
11 undermine the justifications for tackling greenhouse gas emissions as unsettled science. The 1997  
12 advertorial below<sup>120</sup> argued that economic analysis of emissions restrictions was faulty and  
13 inconclusive and therefore a justification for delaying action on climate change.  
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27 <sup>119</sup> Robert Peterson, A Cleaner Canada in Imperial Oil Review (Summer 1998),  
28 <http://www.documentcloud.org/documents/2827818-1998-Imperial-Oil-Robert-Peterson-A-Cleaner-Canada.html>.

<sup>120</sup> Mobil, When Facts Don't Square with the Theory, Throw Out the Facts (1997) New York Times, A31 (August  
14, 1997), <https://www.documentcloud.org/documents/705550-mob-nyt-1997-aug-14-whenfactsdonsquare.html>.

like race, But when we no longer allow those choices, both civility and common sense will have been diminished. □ who was dragged from his sister's car by police officers and shot in the face at point-blank range. The cops who have the power to do something about those officers, but choose not to. □

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## When facts don't square with the theory, throw out the facts



That seems to characterize the administration's attitude on two of its own studies which show that international efforts to curb global warming could spark a big run-up in energy prices.

For months, the administration—playing its cards close to the vest—has promised to provide details of the emission reduction plan it will put on the table at the climate change meeting in Kyoto, Japan, later this year. It also promised to evaluate the economics of that policy and measure its impact. Those results are important because the proposals submitted by other countries thus far would be disruptive and costly to the U.S. economy.

Yet, when the results from its own economic models were finally generated, the administration started distancing itself from the findings and models that produced them. The administration's top economic advisor said that economic models can't provide a "definitive answer" on the impact of controlling emissions. The effort, she said, was "futile." At best, the models can only provide a "range of potential impacts."

Frankly, we're puzzled. The White House has promised to lay the economic facts before the public. Yet, the administration's top advisor said such an analysis won't be based on models and it will "preclude...detailed numbers." If you don't provide numbers and don't rely on models, what kind of rigorous economic examination can Congress and the public expect?

We're also puzzled by ambivalence over models. The administration downplays the utility of economic models to forecast cost impacts 10–15 years from now, yet its negotiators accept as gospel the 50–100-year predictions of global warming that have been generated by climate models—many of which have been criticized as seriously flawed.

The second study, conducted by Argonne National Laboratory under a contract with the Energy Department, examined what would

happen if the U.S. had to commit to higher energy prices under the emission reduction plans that several nations had advanced last year. Such increases, the report concluded, would result in "significant reductions in output and employment" in six industries—aluminum, cement, chemical, paper and pulp, petroleum refining and steel.

Hit hardest, the study noted, would be the chemical industry, with estimates that up to 30 percent of U.S. chemical manufacturing capacity would move offshore to developing countries. Job losses could amount to some 200,000 in that industry, with another 100,000 in the steel sector. And despite the substantial loss of U.S. jobs and manufacturing capacity, the net emission reduction could be insignificant since developing countries will not be bound by the emission targets of a global warming treaty.

Downplaying Argonne's findings, the Energy Department noted that the study used outdated energy prices (mid-1996), didn't reflect the gains that would come from international emissions trading and failed to factor in the benefits of accelerated developments in energy efficiency and low-carbon technologies.

What it failed to mention is just what these new technologies are and when we can expect their benefits to kick in. As for emissions trading, many economists have theorized about the role they could play in reducing emissions, but few have grappled with the practicality of implementing and policing such a scheme.

We applaud the goals the U.S. wants to achieve in these upcoming negotiations—namely, that a final agreement must be "flexible, cost-effective, realistic, achievable and ultimately global in scope." But until we see the details of the administration's policy, we are concerned that plans are being developed in the absence of rigorous economic analysis. Too much is at stake to simply ignore facts that don't square with preconceived theories.

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1           25. In 1998, API, on behalf of Defendants, among other fossil fuel companies and  
2 organizations supported by fossil fuel corporate grants, developed a Global Climate Science  
3 Communications Plan that stated that unless “climate change becomes a non-issue . . . there may  
4 be no moment when we can declare victory for our efforts.” Rather, API proclaimed that “[v]ictory  
5 will be achieved when . . . average citizens ‘understand’ (recognize) uncertainties in climate  
6 science; [and when] recognition of uncertainties becomes part of the ‘conventional wisdom.’”<sup>121</sup>  
7 The multi-million-dollar, multi-year proposed budget included public outreach and the  
8 dissemination of educational materials to schools to “begin to erect a barrier against further efforts  
9 to impose Kyoto-like measures in the future”<sup>122</sup>—a blatant attempt to disrupt international efforts,  
10 pursuant to the UNFCCC, to negotiate a treaty that curbed greenhouse gas emissions.

11           26. Soon after, API distributed a memo to its members identifying public agreement on  
12 fossil fuel role in climate change as its highest priority issue.<sup>123</sup> The memorandum illuminates  
13 API’s and Defendants’ concern over the potential regulation of Defendants’ fossil fuel products:  
14 “Climate is at the center of the industry’s business interests. Policies limiting carbon emissions  
15 reduce petroleum product use. That is why it is API’s highest priority issue and defined as  
16 ‘strategic.’”<sup>124</sup> Further, the API memo stresses many of the strategies that Defendants individually  
17 and collectively utilized to combat the perception of their fossil fuel products as hazardous. These  
18 included:

- 19           a. Influencing the tenor of the climate change “debate” as a means to establish  
20 that greenhouse gas reduction policies like the Kyoto Protocol were not  
21 necessary to responsibly address climate change;

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24 <sup>121</sup> Joe Walker, E-mail to Global Climate Science Team, attaching the Draft Global Science Communications Plan  
25 (April 3, 1998), [https://assets.documentcloud.org/documents/784572/api-global-climate-science-communications-  
plan.pdf](https://assets.documentcloud.org/documents/784572/api-global-climate-science-communications-plan.pdf).

26 <sup>122</sup> Joe Walker, E-mail to Global Climate Science Team, attaching the Draft Global Science Communications Plan  
27 (April 3, 1998), [https://assets.documentcloud.org/documents/784572/api-global-climate-science-communications-  
plan.pdf](https://assets.documentcloud.org/documents/784572/api-global-climate-science-communications-plan.pdf).

28 <sup>123</sup> Committee on Oversight and Government Reform, Allegations of Political Interference with Government  
Climate Change Science, page 51 (March 19, 2007), [https://ia601904.us.archive.org/25/items/gov.gpo.fdsys.CHRG-  
110hhr37415/CHRG-110hhr37415.pdf](https://ia601904.us.archive.org/25/items/gov.gpo.fdsys.CHRG-110hhr37415/CHRG-110hhr37415.pdf).

<sup>124</sup> Id.

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- b. Maintaining strong working relationships between government regulators and communications-oriented organizations like the Global Climate Coalition, the Heartland Institute, and other groups carrying Defendants’ message minimizing the hazards of the unabated use of their fossil fuel products and opposing regulation thereof;
- c. Building the case for (and falsely dichotomizing) Defendants’ positive contributions to a “long-term approach” (ostensibly for regulation of their products) as a reason for society to reject short term fossil fuel emissions regulations, and engaging in climate change science uncertainty research; and
- d. Presenting Defendants’ positions on climate change in domestic and international forums, including by preparing rebuttals to IPCC reports.

127. Additionally, Defendants mounted a campaign against regulation of their business practices in order to continue placing their fossil fuel products into the stream of commerce, despite their own knowledge and the growing national and international scientific consensus about the hazards of doing so. These efforts came despite Defendants’ recent recognition that “risks to nearly every facet of life on Earth . . . could be avoided only if timely steps were taken to address climate change.”<sup>125</sup>

128. The Global Climate Coalition (GCC), on behalf of Defendants and other fossil fuel companies, funded advertising campaigns and distributed material to generate public uncertainty around the climate debate, with the specific purpose of preventing U.S. adoption of the Kyoto Protocol, despite the leading role that the U.S. had played in the Protocol negotiations.<sup>126</sup> Despite an internal primer stating that various “contrarian theories” [i.e., climate change skepticism] do

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<sup>125</sup> Neela Banerjee, Exxon’s Oil Industry Peers Knew About Climate Dangers in the 1970s, Too, Inside Climate News (December 22, 2015), <https://insideclimatenews.org/news/22122015/exxon-mobil-oil-industry-peers-knew-about-climate-change-dangers-1970s-american-petroleum-institute-api-shell-chevron-texaco>.  
<sup>126</sup> Neela Banerjee, Exxon’s Oil Industry Peers Knew About Climate Dangers in the 1970s, Too, Inside Climate News (December 22, 2015), <https://insideclimatenews.org/news/22122015/exxon-mobil-oil-industry-peers-knew-about-climate-change-dangers-1970s-american-petroleum-institute-api-shell-chevron-texaco>.

1 not “offer convincing arguments against the conventional model of greenhouse gas emission-  
2 induced climate change,” GCC excluded this section from the public version of the backgrounder  
3 and instead funded efforts to promote some of those same contrarian theories over subsequent  
4 years.<sup>127</sup>

5 129. The efforts by the Defendants and other fossil fuel interests to sow uncertainty and  
6 prevent regulation have been successful. GCC and its cohorts staved off greenhouse gas regulation  
7 in the U.S., as indicated by U.S. Undersecretary of State Paula Dobriansky’s talking points  
8 compiled before a 2001 meeting with GCC representatives: “POTUS [President of the United  
9 States] rejected Kyoto, in part, based on [GCC’s] input.”<sup>128</sup> When GCC disbanded later that year,  
10 it commemorated the occasion on its website by stating that “the industry voice on climate change  
11 has served its purpose by contributing to a new national approach to global warming.”<sup>129</sup>

12 130. A key strategy in Defendants’ efforts to discredit scientific consensus on climate  
13 change and the IPCC was to bankroll scientists who, although accredited, held fringe opinions that  
14 were even more questionable given the sources of their research funding. These scientists obtained  
15 part or all of their research budget from Defendants directly or through Defendant-funded  
16 organizations like API,<sup>130</sup> but they frequently failed to disclose their fossil fuel industry  
17 underwriters.<sup>131</sup>

18 131. Creating a false sense of disagreement in the scientific community (despite the  
19 consensus that its own scientists, experts, and managers had previously acknowledged) has had an  
20 evident impact on public opinion. A 2007 Yale University-Gallup poll found that while 71% of  
21

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22 <sup>127</sup> Gregory J. Dana, Memo to AIAM Technical Committee Re: Global Climate Coalition (GCC) – Primer on  
23 Climate Change Science – Final Draft, Association of International Automobile Manufacturers (January 18, 1996),  
<http://www.webcitation.org/6FyqHawb9>.

24 <sup>128</sup> Ken Brill, Briefing Memorandum to Under Secretary Dobriansky, Your Meeting with members of the Global  
25 Climate Coalition, June 21, 2001, 9:10 – 9:50 a.m., United States Department of State (June 20, 2001),  
<http://insideclimatenews.org/sites/default/files/documents/Global%20Climate%20Coalition%20Meeting%20%282001%29.pdf>.

26 <sup>129</sup> Global Climate Coalition, A Voice for Business in the Global Warming Debate (April 3, 2001)  
<https://web.archive.org/web/20030408231206/http://globalclimate.org/index.htm>.

27 <sup>130</sup> Willie Soon and Sallie Baliunas, Proxy Climatic and Environmental Changes of the Past 1000 Years, *Climate*  
28 *Research* 23, 88-110 (January 31, 2003), <http://www.int-res.com/articles/cr2003/23/c023p089.pdf>.

<sup>131</sup> Newsdesk, Smithsonian Statement: Dr. Wei-Hock (Willie) Soon, Smithsonian (February 26, 2015),  
<http://newsdesk.si.edu/releases/smithsonian-statement-dr-wei-hock-willie-soon>.

1 Americans personally believed global warming was happening, only 48% believed that there was  
2 a consensus among the scientific community, and 40% believed there was a lot of disagreement  
3 among scientists over whether global warming was occurring.<sup>132</sup>

4 132. 2007 was the same year the IPCC published its Fourth Assessment Report, in which  
5 it concluded that “there is *very high confidence* that the net effect of human activities since 1750  
6 has been one of warming.”<sup>133</sup> The IPCC defined “very high confidence” as at least a 9 out of 10  
7 chance.<sup>134</sup>

8 133. Defendants borrowed pages out of the playbook of prior denialist campaigns. A  
9 “Global Climate Science Team” (“GCST”) was created that mirrored a front group created by the  
10 tobacco industry, known as The Advancement of Sound Science Coalition, whose purpose was to  
11 sow uncertainty about the fact that cigarette smoke is carcinogenic. The GCST’s membership  
12 included Steve Milloy (a key player on the tobacco industry’s front group), Exxon’s senior  
13 environmental lobbyist; an API public relations representative; and representatives from Chevron  
14 and Southern Company that drafted API’s 1998 Communications Plan. There were no scientists  
15 on the “Global Climate Science Team.” GCST developed a strategy to spend millions of dollars  
16 manufacturing climate change uncertainty. Between 2000 and 2004, Exxon donated \$110,000 to  
17 Milloy’s efforts and another organization, the Free Enterprise Education Institute and \$50,000 to  
18 the Free Enterprise Action Institute, both registered to Milloy’s home address.<sup>135</sup>

19 134. Defendants by and through their trade association memberships, worked directly,  
20 and often in a deliberately obscured manner, to evade regulation of the emissions resulting from  
21 use of their fossil fuel products. For instance, the American Coalition for Clean Coal Electricity  
22

23  
24 <sup>132</sup> American Opinions on Global Warming: A Yale/Gallup/Clearvision Poll, Yale Program on Climate Change  
Communication (July 31, 2007), <http://climatecommunication.yale.edu/publications/american-opinions-on-global-warming/>.

25 <sup>133</sup> IPCC, 2007: Summary for Policymakers, page 3 (emphasis in original), Climate Change 2007: The Physical  
Science Basis. Contribution of Working Group I to the Fourth Assessment Report of the Intergovernmental Panel on  
Climate Change (2007), <https://www.ipcc.ch/pdf/assessment-report/ar4/wg1/ar4-wg1-spm.pdf>.

26 <sup>134</sup> Id.

27 <sup>135</sup> Seth Shulman et al. Smoke, Mirrors & Hot Air: How ExxonMobil Uses Big Tobacco’s Tactics to Manufacture  
Uncertainty on Climate Science, Union of Concerned Scientists, 19 (January 2007),  
28 [http://www.ucsusa.org/sites/default/files/legacy/assets/documents/global\\_warming/exxon\\_report.pdf](http://www.ucsusa.org/sites/default/files/legacy/assets/documents/global_warming/exxon_report.pdf).

1 (ACCCE), on behalf of Defendants, hired a lobbying firm, which posed as various nonprofits and  
2 sent letters to persuade members of Congress to vote against the American Clean Energy and  
3 Security Act of 2009, which would have imposed a carbon cap and trade program in the U.S.<sup>136</sup>  
4 Instead, the letters falsely and misleadingly purported to come from groups representing local  
5 minority communities, including a local NAACP chapter and a Latino advocacy group.<sup>137</sup>

6 135. The same year, in 2009, a leaked email revealed a campaign by API to organize  
7 “grass roots” rallies of “energy citizens” to coincide with the United States Congress’s August  
8 recess, to oppose the Clean Energy and Security Act, the climate change bill that had just passed  
9 the House and was headed to the Senate for debate.<sup>138</sup> Ostensibly intended to “allow people to  
10 voice their concerns” and opposing the need for concerted efforts to combat climate change, emails  
11 from API to its members state that “it’s important our views be heard,” and that “success for these  
12 events will be the diversity of the participants expressing the same message,” which was ultimately  
13 misleading and contrary to the acknowledged scientific consensus.<sup>139</sup> The purpose of the events  
14 was to “put a human face” on the industry’s misleading and unsupported position and to reinforce  
15 that misleading position in the minds of the public. The same emails to API members stated that  
16 “our messages on [similar] legislation work extremely well and are very persuasive with the  
17 general public and policy influentials.” Moreover, the email stated that API would “provide the  
18 up-front resources to ensure logistical issues do not become a problem,” but insisted that member  
19 companies “provide significant attendance.”<sup>140</sup>

20 136. Emails between American Fuel & Petrochemical Manufacturers (“AFPM”), a  
21 national lobbying group, and the office of then-Oklahoma Attorney General Scott Pruitt evidence  
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23 <sup>136</sup> Union of Concerned Scientists, Deception Dossier #4: American Coalition for Clean Coal Electricity Forged  
24 Letters (2009) [http://www.ucsusa.org/sites/default/files/attach/2015/07/Climate-Deception-Dossier-4\\_ACCCE-](http://www.ucsusa.org/sites/default/files/attach/2015/07/Climate-Deception-Dossier-4_ACCCE-forged-letters.pdf)  
[forged-letters.pdf](http://www.ucsusa.org/sites/default/files/attach/2015/07/Climate-Deception-Dossier-4_ACCCE-forged-letters.pdf).

25 <sup>137</sup> Brian McNeill, Lobbying letters to Perriello found to be fakes, Richmond Times-Dispatch (Aug. 1, 2009)  
[http://www.richmond.com/news/lobbying-letters-to-perriello-found-to-be-fakes/article\\_3f8f5a2b-cf38-54d9-98f7-](http://www.richmond.com/news/lobbying-letters-to-perriello-found-to-be-fakes/article_3f8f5a2b-cf38-54d9-98f7-ba21c4eb51fe.html)  
[ba21c4eb51fe.html](http://www.richmond.com/news/lobbying-letters-to-perriello-found-to-be-fakes/article_3f8f5a2b-cf38-54d9-98f7-ba21c4eb51fe.html).

26 <sup>138</sup> Alex Kaplun, ‘Energy Citizens’ Take Aim at Climate Legislation, N.Y. Times (Aug. 12, 2009)  
<http://www.nytimes.com/gwire/2009/08/12/gwire-energy-citizens-take-aim-at-climate-legislation-54732.html>.

27 <sup>139</sup> Phil Radford, Letter to Jack Gerard, President & CEO of API, Greenpeace (August 2009)  
<https://www.desmogblog.com/sites/beta.desmogblog.com/files/GP%20API%20letter%20August%202009-1.pdf>.

28 <sup>140</sup> Id.



1 an effort to influence EPA regulations that would have mitigated reliance on Defendants' fossil  
2 fuel products by requiring renewable fuel production.<sup>141</sup> BP Petrochemicals, BP Products North  
3 America, Chevron U.S.A. Inc., CITGO Petroleum Corporation, Exxon Mobil Corporation,  
4 Occidental Chemical Corporation, Phillips 66, Shell Chemical Company, Total Petrochemicals &  
5 Refining USA, Inc., are among AFPM's members.

6 137. A 2014 presentation revealed that the Western States Petroleum Association, on  
7 behalf of Defendants, among other fossil fuel companies, funded dozens of supposedly grassroots  
8 organizations to block progressive energy regulation.<sup>142</sup> This practice is called "astroturfing":  
9 astroturf is meant to look like grass, but it is fake. Similarly, large companies and corporate  
10 organizations like WSPA fund fake grassroots movements to gain credibility from the public, who  
11 does not know the true source of the propaganda.

12 138. Beyond direct interference, Defendants have funded dozens of think tanks, front  
13 groups, lobbyists, and dark money foundations pushing climate change denial. These include the  
14 Competitive Enterprise Institute, the Heartland Institute, Frontiers for Freedom, Committee for a  
15 Constructive Tomorrow, and Heritage Foundation. From 1998 to 2014 ExxonMobil spent almost  
16 \$31 million funding numerous organizations misrepresenting the scientific consensus that  
17 Defendants' fossil fuel products were causing climate change, sea level rise, and injuries to San  
18 Mateo, among other coastal communities.<sup>143</sup> Several Defendants have been linked to other groups  
19 that undermine the scientific basis linking Defendants' fossil fuel products to climate change and  
20 sea level rise, including the Energy & Environment Legal Institute (Arch Coal<sup>144</sup>) and the Frontiers  
21 of Freedom Institute, the George C. Marshall Institute, and the Center for the Study of Carbon  
22 Dioxide and Global Change (Peabody Energy).<sup>145</sup>

23  
24 <sup>141</sup> Email chain from Moskowitz to Eubanks, Renewable Fuel Standard -Background Information (July 13, 2013)  
<https://www.documentcloud.org/documents/3472961-2013-Pruitt-and-American-Fuel-and-Petrochemical.html>.

25 <sup>142</sup> WSPA Priority Issues, Western States Petroleum Association (November 11, 2014)  
[https://www.indybay.org/uploads/2014/12/12/washington\\_research\\_council\\_-\\_cathy\\_reheis-boyd.pdf](https://www.indybay.org/uploads/2014/12/12/washington_research_council_-_cathy_reheis-boyd.pdf).

26 <sup>143</sup> ExxonSecrets.org, ExxonMobil Climate Denial Funding 1998–2014 <http://exxonsecrets.org/html/index.php>.

27 <sup>144</sup> Seth Shulman et al. Smoke, Mirrors & Hot Air: How ExxonMobil Uses Big Tobacco's Tactics to Manufacture  
Uncertainty on Climate Science, Union of Concerned Scientists, 19 (January 2007),  
[http://www.ucsusa.org/sites/default/files/legacy/assets/documents/global\\_warming/exxon\\_report.pdf](http://www.ucsusa.org/sites/default/files/legacy/assets/documents/global_warming/exxon_report.pdf).

28 <sup>145</sup> In re: Peabody Energy Corporation, et al., (E.D. Mo.), Certificate of Service, Doc. Number 602, 140 (May 27,  
2016), <https://www.documentcloud.org/documents/2859772>.

1           139. Exxon acknowledged its own previous success in sowing uncertainty and slowing  
2 mitigation through funding of climate denial groups. In its 2007 Corporate Citizenship Report,  
3 Exxon declared: “In 2008, we will discontinue contributions to several public policy research  
4 groups whose position on climate change could divert attention from the important discussion on  
5 how the world will secure the energy required for economic growth in an environmentally  
6 responsible manner.”<sup>146</sup> Despite this pronouncement, Exxon remained financially associated with  
7 several such groups after the report’s publication.

8           140. Defendants could have contributed to the global effort to mitigate the impacts of  
9 greenhouse gas emissions by, for example delineating practical policy goals and regulatory  
10 structures that would have allowed them to continue their business ventures while reducing  
11 greenhouse gas emissions and supporting a transition to a lower carbon future. Instead, Defendants  
12 undertook a momentous effort to evade international and national regulation of greenhouse gas  
13 emissions to enable them to continue unabated fossil fuel production.

14           141. As a result of Defendants’ tortious, false and misleading conduct, reasonable  
15 consumers of Defendants’ fossil fuel products and policy-makers, have been deliberately and  
16 unnecessarily deceived about: the role of fossil fuel products in causing global warming and sea  
17 level rise; the acceleration of global warming since the mid-20th century and the continuation  
18 thereof; and about the fact that the continued increase in fossil fuel product consumption that  
19 creates severe environmental threats and significant economic costs for coastal communities,  
20 including San Mateo County. Reasonable consumers and policy makers have also been deceived  
21 about the depth and breadth of the state of the scientific evidence on anthropogenic climate change,  
22 and in particular on the strength of the scientific consensus demonstrating the role of fossil fuels  
23 in causing both climate change and a wide range of potentially destructive impacts, including sea  
24 level rise.

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<sup>146</sup> ExxonMobil, 2007 Corporate Citizenship Report (December 31, 2007).

1           **F. In Contrast to Their Public Statements, Defendants' Internal Actions**  
2           **Demonstrate their Awareness of and Intent to Profit from the Unabated Use**  
3           **of Fossil Fuel Products.**

4           142. In contrast to their public-facing efforts challenging the validity of the scientific  
5 consensus about anthropogenic climate change, Defendants' acts and omissions evidence their  
6 internal acknowledgement of the reality of sea level rise and its likely consequences. These actions  
7 include, but are not limited to, making multi-billion-dollar infrastructure investments for their own  
8 operations that acknowledge the reality of coming anthropogenic climate-related change. These  
9 investments included (among others), raising offshore oil platforms to protect against sea level  
10 rise; reinforcing offshore oil platforms to withstand increased wave strength and storm severity;  
11 and developing and patenting designs for equipment intended to extract crude oil and/or natural  
12 gas in areas previously unreachable because of the presence of polar ice sheets.<sup>147</sup>

13           143. For example, in 1973 Exxon obtained a patent for a cargo ship capable of breaking  
14 through sea ice<sup>148</sup> and for an oil tanker<sup>149</sup> designed specifically for use in previously unreachable  
15 areas of the Arctic.

16           144. In 1974, Chevron obtained a patent for a mobile arctic drilling platform designed  
17 to withstand significant interference from lateral ice masses,<sup>150</sup> allowing for drilling in areas with  
18 increased ice floe movement due to elevated temperature.

19           145. That same year, Texaco (Chevron) worked toward obtaining a patent for a method  
20 and apparatus for reducing ice forces on a marine structure prone to being frozen in ice through  
21 natural weather conditions,<sup>151</sup> allowing for drilling in previously unreachable Arctic areas that  
22 would become seasonally accessible.

23  
24 <sup>147</sup> Amy Lieberman and Suzanne Rust, Big Oil braced for global warming while it fought regulations, L.A. Times  
(December 31, 2015) <http://graphics.latimes.com/oil-operations/>.

25 <sup>148</sup> Patents, Icebreaking cargo vessel, Exxon Research Engineering Co. (April 17, 1973)  
<https://www.google.com/patents/US3727571>.

26 <sup>149</sup> Patents, Tanker vessel, Exxon Research Engineering Co. (July 17, 1973)  
<https://www.google.com/patents/US3745960>.

27 <sup>150</sup> Patents, Arctic offshore platform, Chevron Res (August 27, 1974) <https://www.google.com/patents/US3831385>.

28 <sup>151</sup> Patents, Mobile, arctic drilling and production platform, Texaco Inc. (February 26, 1974)  
<https://www.google.com/patents/US3793840>.

1 146. Shell obtained a patent similar to Texaco's (Chevron) in 1984.<sup>152</sup>

2 147. In 1989, Norske Shell, Royal Dutch Shell's Norwegian subsidiary, altered designs  
3 for a natural gas platform planned for construction in the North Sea to account for anticipated sea  
4 level rise. Those design changes were ultimately carried out by Shell's contractors, adding  
5 substantial costs to the project.<sup>153</sup>

6 a. The Troll field, off the Norwegian coast in the North Sea, was proven to  
7 contain large natural oil and gas deposits in 1979, shortly after Norske Shell  
8 was approved by Norwegian oil and gas regulators to operate a portion of  
9 the field.

10 b. In 1986, the Norwegian parliament granted Norske Shell authority to  
11 complete the first development phase of the Troll field gas deposits, and  
12 Norske Shell began designing the "Troll A" gas platform, with the intent to  
13 begin operation of the platform in approximately 1995. Based on the very  
14 large size of the gas deposits in the Troll field, the Troll A platform was  
15 projected to operate for approximately 70 years.

16 c. The platform was originally designed to stand approximately 100 feet above  
17 sea level—the amount necessary to stay above waves in a once-in-a-century  
18 strength storm.

19 d. In 1989, Shell engineers revised their plans to increase the above-water  
20 height of the platform by 3–6 feet, specifically to account for higher  
21 anticipated average sea levels and increased storm intensity due to global  
22 warming over the platform's 70-year operational life.<sup>154</sup>

23 e. Shell projected that the additional 3–6 feet of above-water construction  
24 would increase the cost of the Troll A platform by as much as \$40 million.

25  
26 <sup>152</sup> Patents, Arctic offshore platform, Shell Oil Company (January 24, 1984)  
<https://www.google.com/patents/US4427320>.

27 <sup>153</sup> Greenhouse Effect: Shell Anticipates A Sea Change, N.Y. Times (December 20, 1989)  
<http://www.nytimes.com/1989/12/20/business/greenhouse-effect-shell-anticipates-a-sea-change.html>.

28 <sup>154</sup> Id.; Amy Lieberman and Suzanne Rust, Big Oil braced for global warming while it fought regulations, L.A.  
Times (December 31, 2015), <http://graphics.latimes.com/oil-operations/>.

1           **G. Defendants' Actions Prevented the Development of Alternatives That Would**  
2           **Have Eased the Transition to a Less Fossil Fuel Dependent Economy.**

3           148. The harms and benefits of Defendants' conduct can be balanced in part by weighing  
4 the social benefit of extracting and burning a unit of fossil fuels against the costs that a unit of fuel  
5 imposes on society, known as the "social cost of carbon" or "SCC."

6           149. Because climatic responses to atmospheric temperature increases are non-linear,  
7 and because greenhouse gas pollution accumulates in the atmosphere, some of which does not  
8 dissipate for potentially thousands of years (namely CO<sub>2</sub>), there is broad agreement that SCC  
9 increases as emissions rise, and as the climate warms. Relatedly, as atmospheric CO<sub>2</sub> levels and  
10 surface temperature increase, the costs of remediating any individual environmental injury—for  
11 example infrastructure to mitigate sea level rise, and changes to agricultural processes—also  
12 increases. In short, each additional ton of CO<sub>2</sub> emitted into the atmosphere will have a greater net  
13 social cost as emissions increase, and each additional ton of CO<sub>2</sub> will have a greater net social cost  
14 as global warming accelerates.

15           150. A critical corollary of the non-linear relationship between atmospheric CO<sub>2</sub>  
16 concentrations and SCC is that delayed efforts to curb those emissions have increased  
17 environmental harms and will increase the magnitude and cost to remediate harms that have  
18 already occurred or are locked in by previous emissions. Therefore, Defendants' campaign to  
19 obscure the science of climate change and to expand the extraction and use of fossil fuels greatly  
20 increased and continues to increase the harms and rate of harms suffered by the County and  
21 the People.

22           151. The consequences of delayed action on climate change, exacerbated by Defendants'  
23 actions, has already drastically increased the cost of mitigating further harm. Had concerted action  
24 begun even as late as 2005, an annual 3.5% reduction in CO<sub>2</sub> emissions to lower atmospheric CO<sub>2</sub>  
25 to 350 ppm by the year 2100 would have restored earth's energy balance<sup>155</sup> and halted future global

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27 <sup>155</sup> "Climate equilibrium" is the balance between Earth's absorption of solar energy and its own energy radiation.  
28 Earth is currently out of equilibrium due to the influence of anthropogenic greenhouse gases, which prevent  
radiation of energy into space. Earth therefore warms and move back toward energy balance. Reduction of global

1 warming, although such efforts would not forestall committed sea level rise already locked in<sup>156</sup>  
2 If efforts do not begin until 2020, however, a 15% annual reduction will be required to restore the  
3 Earth's energy balance by the end of the century.<sup>157</sup> Earlier steps to reduce emissions would have  
4 led to smaller—and less disruptive—measures needed to mitigate the impacts of fossil fuel  
5 production.

6 152. The costs of inaction and the opportunities to confront anthropogenic climate  
7 change and sea level rise caused by normal consumption of their fossil fuel products, were not lost  
8 on Defendants. In a 1997 speech by John Browne, Group Executive for BP America, at Stanford  
9 University, Browne described Defendants' and the entire fossil fuel industry's responsibility and  
10 opportunities to reduce use of fossil fuel products, reduce global CO<sub>2</sub> emissions, and mitigate the  
11 harms associated with the use and consumption of such products:

12 A new age demands a fresh perspective of the nature of society and responsibility.

13 We need to go beyond analysis and to take action. It is a moment for change and  
14 for a rethinking of corporate responsibility. . . .

15 [T]here is now an effective consensus among the world's leading scientists and  
16 serious and well informed people outside the scientific community that there is a  
17 discernible human influence on the climate, and a link between the concentration  
of carbon dioxide and the increase in temperature.

18 The prediction of the IPCC is that over the next century temperatures might rise by  
19 a further 1 to 3.5 degrees centigrade [1.8° – 6.3° F], and that sea levels might rise  
20 by between 15 and 95 centimetres [5.9 and 37.4 inches]. Some of that impact is  
probably unavoidable, because it results from current emissions. . . .

21 [I]t would be unwise and potentially dangerous to ignore the mounting concern.

22 The time to consider the policy dimensions of climate change is not when the link  
23

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24 CO<sub>2</sub> concentrations to 350 ppm is necessary to re-achieve energy balance, if the aim is to stabilize climate without  
25 further global warming and attendant sea level rise. See James Hansen et al., Assessing “Dangerous Climate  
Change”: Required Reduction of Carbon Emissions to Protect Young People, Future Generations and Nature, 8  
PLOS ONE 1, 4-5 (December 3, 2013), <http://journals.plos.org/plosone/article?id=10.1371/journal.pone.0081648>.

26 <sup>156</sup> James Hansen et al., Assessing “Dangerous Climate Change”: Required Reduction of Carbon Emissions to  
Protect Young People, Future Generations and Nature, 8 PLOS ONE 1, 10 (December 3, 2013),  
27 <http://journals.plos.org/plosone/article?id=10.1371/journal.pone.0081648>.

28 <sup>157</sup> James Hansen et al., Assessing “Dangerous Climate Change”: Required Reduction of Carbon Emissions to  
Protect Young People, Future Generations and Nature, 8 PLOS ONE 1, 10 (December 3, 2013),  
<http://journals.plos.org/plosone/article?id=10.1371/journal.pone.0081648>.

1 between greenhouse gases and climate change is conclusively proven . . . but when  
2 the possibility cannot be discounted and is taken seriously by the society of which  
we are part. . . .

3 We [the fossil fuel industry] have a responsibility to act, and I hope that through  
4 our actions we can contribute to the much wider process which is desirable and  
5 necessary.

6 BP accepts that responsibility and we're therefore taking some specific steps.

7 To control our own emissions.

8 To fund continuing scientific research.

9 To take initiatives for joint implementation.

10 To develop alternative fuels for the long term.

11 And to contribute to the public policy debate in search of the wider global answers  
12 to the problem.”<sup>158</sup>

13 153. Despite Defendants’ knowledge of the foreseeable, measurable harms associated  
14 with the unabated consumption and use of their fossil fuel products, and despite the existence and  
15 Defendants’ knowledge of technologies and practices that could have helped to reduce the  
16 foreseeable dangers associated with their fossil fuel products, Defendants continued to market and  
17 promote heavy fossil fuel use, dramatically increasing the cost of abatement. At all relevant times,  
18 Defendants were deeply familiar with opportunities to reduce the use of their fossil fuel products,  
19 reduce global CO<sub>2</sub> emissions associated therewith, and mitigate the harms associated with the use  
20 and consumption of such products. Examples of that recognition include, but are not limited to the  
21 following:

- 22 a. In 1963, Esso (Exxon) obtained multiple patents on technologies for fuel  
23 cells, including on the design of a fuel cell and necessary electrodes,<sup>159</sup> and  
24 on a process for increasing the oxidation of a fuel, specifically methanol, to  
25

26 <sup>158</sup> John Browne, BP Climate Change Speech to Stanford, Climate Files (May 19, 1997),  
27 <http://www.climatefiles.com/bp/bp-climate-change-speech-to-stanford/>.

28 <sup>159</sup> Patents, Fuel cell and fuel cell electrodes, Exxon Research Engineering Co. (December 31, 1963)  
<https://www.google.com/patents/US3116169>.

1 produce electricity in a fuel cell.<sup>160</sup>

- 2 b. In 1970, Esso (ExxonMobil) obtained a patent for a “low-polluting engine  
3 and drive system” that used an interburner and air compressor to reduce  
4 pollutant emissions, including CO<sub>2</sub> emissions, from gasoline combustion  
5 engines (the system also increased the efficiency of the fossil fuel products  
6 used in such engines, thereby lowering the amount of fossil fuel product  
7 necessary to operate engines equipped with this technology).<sup>161</sup>

8 154. Defendants could have made major inroads to mitigate Plaintiffs’ injuries through  
9 technology by developing and employing technologies to capture and sequester greenhouse gases  
10 emissions associated with conventional use of their fossil fuel products. Defendants had  
11 knowledge dating at least back to the 1960s, and indeed, internally researched and perfected many  
12 such technologies. For instance:

- 13 a. The first patent for enhanced oil recovery technology, a process by which  
14 CO<sub>2</sub> is captured and reinjected into oil deposits, was granted to an ARCO  
15 (BP) subsidiary in 1952.<sup>162</sup> This technology could have been further  
16 developed as a carbon capture and sequestration technique;
- 17 b. Phillips Petroleum Company (ConocoPhillips) obtained a patent in 1966 for  
18 a “Method for recovering a purified component from a gas” outlining a  
19 process to remove carbon from natural gas and gasoline streams;<sup>163</sup> and
- 20 c. In 1973, Shell was granted a patent for a process to remove acidic gases,  
21 including CO<sub>2</sub>, from gaseous mixtures.
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24 <sup>160</sup> Patents, Direct production of electrical energy from liquid fuels, Exxon Research Engineering Co. (December 3,  
1963) <https://www.google.com/patents/US3113049>.

25 <sup>161</sup> Patents, Low-polluting engine and drive system, Exxon Research Engineering Co. (May 16, 1970)  
<https://www.google.com/patents/US3513929>.

26 <sup>162</sup> James P. Meyer, Summary of Carbon Dioxide Enhanced Oil Recovery (CO<sub>2</sub>EOR) Injection Well Technology,  
American Petroleum Institute, page 1, [http://www.api.org/~media/Files/EHS/climate-change/Summary-carbon-  
dioxide-enhanced-oil-recovery-well-tech.pdf](http://www.api.org/~media/Files/EHS/climate-change/Summary-carbon-dioxide-enhanced-oil-recovery-well-tech.pdf).

27 <sup>163</sup> Patents, Method for recovering a purified component from a gas, Phillips Petroleum Co (January 11, 1966)  
28 <https://www.google.com/patents/US3228874>.



1           155. Despite this knowledge, Defendants’ later forays into the alternative energy sector  
2 were largely pretenses. For instance, in 2001, Chevron developed and shared a sophisticated  
3 information management system to gather greenhouse gas emissions data from its explorations  
4 and production to help regulate and set reduction goals.<sup>164</sup> Beyond this technological  
5 breakthrough, Chevron touted “profitable renewable energy” as part of its business plan for several  
6 years and launched a 2010 advertising campaign promoting the company’s move towards  
7 renewable energy. Despite all this, Chevron rolled back its renewable and alternative energy  
8 projects in 2014.<sup>165</sup>

9           156. Similarly, ConocoPhillips’ 2012 Sustainable Development report declared  
10 developing renewable energy a priority in keeping with their position on sustainable development  
11 and climate change.<sup>166</sup> Their 10-K filing from the same year told a different story: “As an  
12 independent E&P company, we are solely focused on our core business of exploring for,  
13 developing and producing crude oil and natural gas globally.”<sup>167</sup>

14           157. Likewise, while Shell orchestrated an entire public relations campaign around  
15 energy transitions towards net zero emissions, a fine-print disclaimer in its 2016 net-zero pathways  
16 report reads: “We have no immediate plans to move to a net-zero emissions portfolio over our  
17 investment horizon of 10–20 years.”<sup>168</sup>

18           158. BP, appearing to abide by the representations Lord Browne made in his 1997  
19 speech described above, engaged in a rebranding campaign to convey an air of environmental  
20 stewardship and renewable energy to its consumers. This included renouncing its membership in  
21 the GCC in 2007, changing its name from “British Petroleum” to “BP” while adopting the slogan  
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23 \_\_\_\_\_  
24 <sup>164</sup> Chevron, Chevron Press Release – Chevron Introduces New System to Manage Energy Use (September 25,  
2001) <https://www.chevron.com/stories/chevron-introduces-new-system-to-manage-energy-use>.

25 <sup>165</sup> Benjamin Elgin, Chevron Dims the Lights on Green Power, Bloomberg (May 29, 2014)  
<https://www.bloomberg.com/news/articles/2014-05-29/chevron-dims-the-lights-on-renewable-energy-projects>.

26 <sup>166</sup> ConocoPhillips, Sustainable Development (2013) [http://www.conocophillips.com/sustainable-  
development/Documents/2013.11.7%201200%20Our%20Approach%20Section%20Final.pdf](http://www.conocophillips.com/sustainable-development/Documents/2013.11.7%201200%20Our%20Approach%20Section%20Final.pdf).

27 <sup>167</sup> ConocoPhillips Form 10-K, U.S. Securities and Exchange Commission Webpage (December 31, 2012)  
<https://www.sec.gov/Archives/edgar/data/1163165/000119312513065426/d452384d10k.htm>.

28 <sup>168</sup> Energy Transitions Towards Net Zero Emissions (NZE), Shell (2016),  
[https://drive.google.com/file/d/0B\\_L1nw8WLu0Bbi1QWnJRcHiZblE/view](https://drive.google.com/file/d/0B_L1nw8WLu0Bbi1QWnJRcHiZblE/view) (as of June 2, 2017).

1 “Beyond Petroleum,” and adopting a conspicuously green corporate logo. However, BP’s self-  
2 touted “alternative energy” investments during this turnaround included investments in natural  
3 gas, a fossil fuel, and in 2007 the company reinvested in Canadian tar sands, a particularly high-  
4 carbon source of oil.<sup>169</sup> The company ultimately abandoned its wind and solar assets in 2011 and  
5 2013, respectively, and even the “Beyond Petroleum” moniker in 2013.<sup>170</sup>

6 159. After posting a \$10 billion quarterly profit, Exxon in 2005 stated that “We’re an oil  
7 and gas company. In times past, when we tried to get into other businesses, we didn’t do it well.  
8 We’d rather re-invest in what we know.”<sup>171</sup>

9 160. Even if Defendants did not adopt technological or energy source alternatives that  
10 would have reduced use of fossil fuel products, reduced global greenhouse gas pollution, and/or  
11 mitigated the harms associated with the use and consumption of such products, Defendants could  
12 have taken other practical, cost-effective steps to reduce the use of their fossil fuel products, reduce  
13 global greenhouse gas pollution associated therewith, and mitigate the harms associated with the  
14 use and consumption of such products. These alternatives could have included, among other  
15 measures:

- 16 a. Accepting scientific evidence on the validity of anthropogenic climate  
17 change and the damages it will cause people and communities, including  
18 Plaintiffs, and the environment. Mere acceptance of that information would  
19 have altered the debate from *whether* to combat climate change and sea  
20 level rise to *how* to combat it; and avoided much of the public confusion  
21 that has ensued over nearly 30 years, since at least 1988;
- 22 b. Forthrightly communicating with Defendants’ shareholders, banks,  
23 insurers, the public, regulators and Plaintiffs about the global warming and  
24 sea level rise hazards of Defendants’ fossil fuel products that were known  
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26 <sup>169</sup> Fred Pearce, Greenwash: BP and the Myth of a World ‘Beyond Petroleum.’ The Guardian, (November 20, 2008)  
<https://www.theguardian.com/environment/2008/nov/20/fossilfuels-energy>.

27 <sup>170</sup> Javier E. David, ‘Beyond Petroleum’ No More? BP Goes Back to Basics. CNBC (April 20, 2013)  
<http://www.cnb.com/id/100647034>.

28 <sup>171</sup> James R. Healy, Alternate Energy Not in Cards at ExxonMobil (October 28, 2005)  
[https://usatoday30.usatoday.com/money/industries/energy/2005-10-27-oil-invest-usat\\_x.htm](https://usatoday30.usatoday.com/money/industries/energy/2005-10-27-oil-invest-usat_x.htm).

1 to Defendants, would have enabled those groups to make material, informed  
2 decisions about whether and how to address climate change and sea level  
3 rise vis-à-vis Defendants' products;

4 c. Refraining from affirmative efforts, whether directly, through coalitions, or  
5 through front groups, to distort public debate, and to cause many consumers  
6 and business and political leaders to think the relevant science was far less  
7 certain that it actually was;

8 d. Sharing their internal scientific research with the public, and with other  
9 scientists and business leaders, so as to increase public understanding of the  
10 scientific underpinnings of climate change its relation to Defendants' fossil  
11 fuel products;

12 e. Supporting and encouraging policies to avoid dangerous climate change,  
13 and demonstrating corporate leadership in addressing the challenges of  
14 transitioning to a low-carbon economy;

15 f. Prioritizing alternative sources of energy through sustained investment  
16 and research on renewable energy sources to replace dependence on  
17 Defendants' inherently hazardous fossil fuel products;

18 g. Adopting their shareholders' concerns about Defendants' need to protect  
19 their businesses from the inevitable consequences of profiting from their  
20 fossil fuel products. Over the period of 1990-2015, Defendants'  
21 shareholders proposed hundreds of resolutions to change Defendants'  
22 policies and business practices regarding climate change. These included  
23 increasing renewable energy investment, cutting emissions, and performing  
24 carbon risk assessments, among others.

25 161. Despite their knowledge of the foreseeable harms associated with the consumption  
26 of Defendants' fossil fuel products, and despite the existence and fossil fuel industry knowledge  
27 of opportunities that would have reduced the foreseeable dangers associated with those products,  
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1 Defendants wrongfully and falsely promoted, campaigned against regulation of, and concealed the  
2 hazards of use of their fossil fuel products.

3 **H. Defendants Caused Plaintiffs' Injuries**

4 162. Defendants individually and collectively extracted a substantial percentage of all  
5 raw fossil fuels extracted globally since 1965.

6 163. CO<sub>2</sub> emissions that are attributable to fossil fuels that Defendants extracted from  
7 the Earth and injected into the market are responsible for a substantial percentage of greenhouse  
8 gas pollution since 1965.

9 164. Defendants' individual and collective conduct, including, but not limited to, their  
10 extraction, refining, and/or formulation of fossil fuel products; their introduction of fossil fuel  
11 products into the stream of commerce; their wrongful promotion of their fossil fuel products and  
12 concealment of known hazards associated with use of those products; and their failure to pursue  
13 less hazardous alternatives available to them; is a substantial factor in causing the increase in global  
14 mean temperature and consequent increase in global mean sea surface height since 1965.

15 165. Defendants have actually and proximately caused the sea levels to rise, increased  
16 the destructive impacts of storm surges, increased coastal erosion, exacerbated the onshore impact  
17 of regular tidal ebb and flow, caused saltwater intrusion, and caused consequent social and  
18 economic injuries associated with the aforementioned physical and environmental impacts, among  
19 other impacts, resulting in inundation, destruction, and/or other interference with Plaintiffs'  
20 property and citizenry.

21 166. Plaintiffs have already incurred, and will foreseeably continue to incur, injuries and  
22 damages because of sea level rise caused by Defendants' conduct.

23 167. But for Defendants' conduct, Plaintiffs would have suffered no or far less injuries  
24 and damages than they have, and will foreseeably endure, due to expected anthropogenic sea level  
25 rise.

26 168. San Mateo County has experienced significant sea level rise over the last half  
27 century attributable to Defendants' conduct.

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1           169. The San Francisco Bay Area, including San Mateo County, has experienced  
2 significant sea level rise over the last half century attributable to Defendants’ conduct.<sup>172</sup> San  
3 Mateo County will experience additional, significant, and dangerous sea level rise by 2100,<sup>173</sup> and  
4 the increases will continue and accelerate. Additionally, San Mateo County will experience greater  
5 committed sea level rise due to the “locked in” greenhouse gases already emitted.<sup>174</sup> The County  
6 will suffer greater overall sea level rise than the global average.<sup>175</sup>

7           170. In addition to weather and climate changes already observed, the County is at an  
8 increased risk of suffering extreme injuries in the future. For example, there is a 93% chance that  
9 the County experiences a devastating three-foot flood before the year 2050, and a 50% chance that  
10 such a flood occurs before 2030. Average sea level rise along the County’s shores are expected to  
11 rise by almost three feet by the year 2100, causing multiple, predictable impacts, and exacerbating  
12 the impacts of extreme events.

13           171. San Mateo County published a Sea Level Rise Vulnerability Analysis on April 5,  
14 2017. The Assessment is the County’s first analysis of its overall vulnerability to sea level rise and  
15 its impacts from permanent inundation, temporary flooding caused by storm events, erosion, and  
16 saltwater intrusion. The Assessment formally identifies actual risks to the County expected with  
17 three feet of sea level rise, and the consequences associated with taking no action to prevent or  
18 mitigate the harms associated with those expected impacts.

19           172. Areas of the County that already experience regular flooding and that will suffer  
20 further due to elevated sea level include, but are not limited to, Pescadero (especially near  
21 Pescadero and Butano Creeks), Half Moon Bay (especially near Denniston Creek), East Palo Alto,

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23 <sup>172</sup> Griggs, et al. (CA Ocean Protection Council Science Advisory Team Working Group), Rising Seas in California:  
24 An Update on Sea-Level Rise Science, California Ocean Science Trust (April 2017) p. 23, box 2, figure 2.

25 <sup>173</sup> Gary Griggs et al., Rising Seas in California: An Update on Sea-Level Rise Science, California Ocean Science  
Trust, p. 26, Table 1(b) (April 2017), [http://www.opc.ca.gov/webmaster/ftp/pdf/docs/rising-seas-in-california-an-  
update-on-sea-level-rise-science.pdf](http://www.opc.ca.gov/webmaster/ftp/pdf/docs/rising-seas-in-california-an-update-on-sea-level-rise-science.pdf).

26 <sup>174</sup> Peter U. Clark et al., Consequences of Twenty-First-Century Policy for Multi-Millennial Climate and Sea-Level  
Change, *Nature Climate Change* Vol. 6, 363-65 (2016).

27 <sup>175</sup> Global sea level rise is projected to be 82.7 cm (32.6 inches) above 2000 levels by 2100. See National Research  
28 Council, Sea-Level Rise for the Coasts of California, Oregon, and Washington: Past Present and Future (2012) at  
page 107 at Table 5.2; page 117 at Table 5.3. The San Francisco Bay Area sea level rise is projected to be 91.9 cm  
(36.2 inches) over 2000 by 2100. Id.

1 Menlo Park, and the Bayshore communities that adjoin the Bayfront and Highline Canals, the  
2 Atherton Channel, and Belmont, San Mateo, Colma, San Francisquito and San Bruno Creeks.<sup>176</sup>

3 a. Among other County-owned facilities threatened by the rising water level,  
4 San Mateo owns and operates the Coyote Point Recreation Area and Coyote  
5 Point Marina, in the City of San Mateo on the shore of San Francisco Bay.  
6 The recreation area includes a beach with swimming and wind-surfing  
7 areas; several areas for picnicking; a youth playground; a Merchant Marine  
8 Memorial; and houses a riflery range, a Wildlife Center, and the Coyote  
9 Point Yacht club. The recreation area and Marina are at sea level, and the  
10 recreation area is almost entirely flat, such that it is highly vulnerable to  
11 inundation in the event of flooding.

12 b. In addition, the County operates the James V. Fitzgerald Marine Reserve on  
13 the Pacific Coast in the town of Moss Beach. The Fitzgerald Marine Reserve  
14 covers three miles of shoreline, and encompasses a fragile, rocky intertidal  
15 ecosystem featuring seaweed, crabs, sponges, anemones, sea stars,  
16 mollusks, seals, and fish. The Reserve is a popular and scientifically and  
17 ecologically important feature of the County, both for its residents and as  
18 an attraction for visitors. Because the Reserve is an intertidal habitat and  
19 includes partially submerged reefs, it is extremely sensitive to sea level  
20 change, and could be permanently destroyed by inundation and flooding.

21 c. The County further owns and operates critical civil infrastructure that will  
22 be threatened with flooding and other harm from increase sea level rise,  
23 including the County Center and Hall of Justice, a county animal shelter,  
24 the San Carlos Airport, the Maple Street Shelter (a transitional and  
25 emergency housing center), two county jails, and unincorporated residential  
26 regions including portions of North Fair Oaks, and unincorporated mobile  
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28 <sup>176</sup>See County of San Mateo, Sea Level Rise Vulnerability Assessment, Public Draft, p. 66(April 2017),  
<http://seachangesmc.com/current-efforts/vulnerability-assessment/>.

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home parks near Redwood City. Indeed, the unincorporated Harbor Industrial Area adjacent to the City of Belmont is already vulnerable to flooding today.

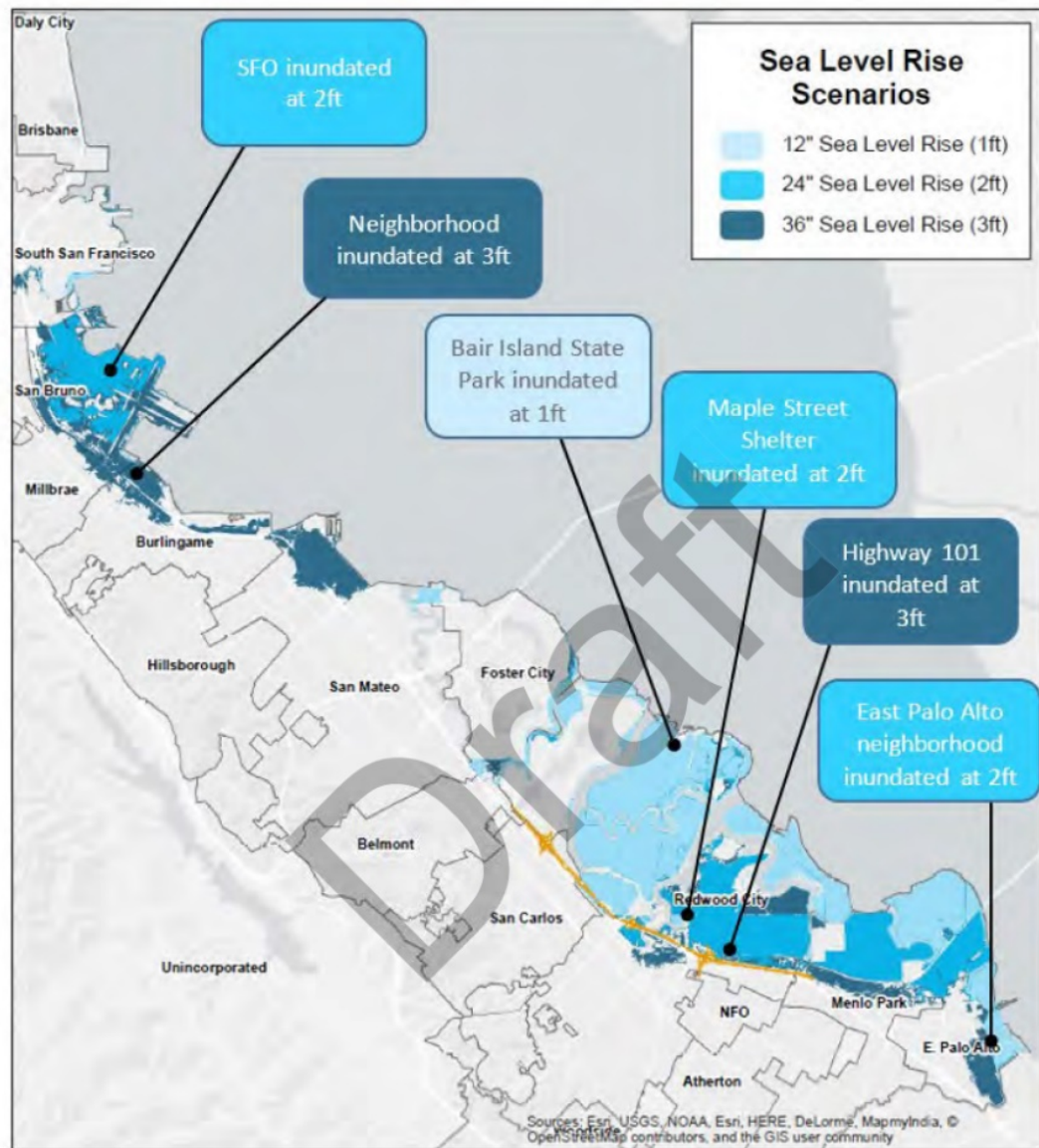
173. Areas of the county that are already erosion hot spots and that will suffer further erosion due to sea level rise include, but are not limited to, Middle and South Ocean Beach, Middle and Lower Daly City, Manor District, Beach Boulevard, Sharp Park, Rockaway Cove, Linda Mar, Princeton and the Pillar Point Harbor, El Granada County Beach, Mirada Road in Half Moon Bay, and Año Nuevo State Park.<sup>177</sup>

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<sup>177</sup> See County of San Mateo, Sea Level Rise Vulnerability Assessment, Public Draft, p. 71, Figure 3A.2 (April 2017), <http://seachangesmc.com/current-efforts/vulnerability-assessment/>.

1 174. The following figure depicts the average high tide level on a daily basis with 1, 2,  
2 and 3 feet of sea level rise. With different storm scenarios, much more area could be inundated.  
3 As the image shows, much of the County, including some of its most critical infrastructure and  
4 valuable Bay-front property, will be submerged at one foot of sea level rise.<sup>178</sup> Importantly, the  
5 figure does not include inundation from storms or increased erosion; it shows only inundation  
6 threats under average daily clear-weather high tides based on existing shoreline measurements.



<sup>178</sup>See County of San Mateo, Sea Level Rise Vulnerability Assessment, Public Draft, p. 87 (April 2017), <http://seachangesmc.com/current-efforts/vulnerability-assessment/>.



1           175. As a direct and proximate result of the acts and omissions of the Defendants’  
2 alleged herein, Plaintiffs have incurred millions of dollars of expenses related to planning for and  
3 predicting future sea level rise injuries to its real property, improvements thereon, civil  
4 infrastructure, and citizens, to preemptively mitigate and/or prevent such injuries. This includes  
5 performing a Sea Level Vulnerability Assessment in 2017 at significant expense to the County,  
6 which found that parcels of real property valued at a total of \$23 billion situated on Plaintiff’s San  
7 Francisco Bay shoreline will be threatened with serious or permanent inundation, and a need for  
8 \$910 million of infrastructural repair on its ocean coastline. Expected injuries include erosion of  
9 ocean- and bay-adjacent public land, erosion and/or inundation of privately owned properties and  
10 displacement of residents within the County.

11           176. As a direct and proximate result of Defendants’ acts and omissions alleged herein,  
12 Plaintiffs have incurred sea level rise-related injuries and damages. These include infrastructural  
13 repair and reinforcement of roads and beach access.

14           177. As a direct and proximate result of Defendants’ acts and omissions alleged herein,  
15 Plaintiff’s real property has been inundated by sea water, causing injury and damages thereto and  
16 to improvements thereon, and preventing free passage on, use of, and normal enjoyment of that  
17 real property, or permanently destroying it. By way of example, Surfer’s Beach, one of Plaintiff’s  
18 public beach properties near the city of Half Moon Bay, has lost 140 feet of accessible beach since  
19 1964 due to erosion, which has been exacerbated and substantially contributed to by sea level rise  
20 and increased extreme weather.<sup>179</sup>

21           178. Defendants’ conduct as described herein is therefore an actual, substantial, and  
22 proximate cause of Plaintiffs’ sea level rise-related injuries.

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28 <sup>179</sup> See County of San Mateo, Sea Level Rise Vulnerability Assessment, Public Draft, p. 87 (April 2017),  
<http://seachangesmc.com/current-efforts/vulnerability-assessment/>.

1 **VI. CAUSES OF ACTION**

2 **FIRST CAUSE OF ACTION**

3 **(Public Nuisance on Behalf of the People of the State of California)**

4 **(Against All Defendants)**

5 179. The People incorporate by reference each and every allegation contained above, as  
6 though set forth herein in full.

7 180. Defendants, and each of them, by their affirmative acts and omissions, have created,  
8 contributed to, and assisted in creating, a condition in San Mateo County, and permitted that  
9 condition to persist, which constitutes a nuisance by, *inter alia*, increasing local sea level,  
10 increasing the frequency and intensity of flooding, and increasing the intensity and frequency of  
11 storms and storm-related damage to the County and its residents.

12 181. Defendants specifically created, contributed to, and/or assisted, and/or were a  
13 substantial contributing factor in the creation of the public nuisance, by, *inter alia*:

- 14 a. extracting raw fossil fuel products, including crude oil, coal, and natural gas  
15 from the Earth, and placing those fossil fuel products into the stream of  
16 commerce;
- 17 b. affirmatively and knowingly promoting the sale and use of fossil fuel  
18 products which Defendants knew to be hazardous and knew would lead to  
19 global warming, sea level rise, more frequent and more intense flooding,  
20 and more frequent and more intense storm surges;
- 21 c. affirmatively and knowingly concealing the hazards that Defendants knew  
22 would result from the normal use of their fossil fuel products by  
23 misrepresenting and casting doubt on the integrity of scientific information  
24 related to climate change;
- 25 d. disseminating and funding the dissemination of information intended to  
26 mislead customers, consumers, elected officials and regulators regarding  
27 known and foreseeable risk of climate change and its consequences, which  
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1 follow from the normal, intended use and foreseeable misuse of  
2 Defendants' fossil fuel products;

- 3 e. affirmatively and knowingly campaigning against the regulation of their  
4 fossil fuel products, despite knowing the hazards associated with the normal  
5 use of those products, in order to continue profiting from use of those  
6 products by externalizing those known costs onto people, the environment,  
7 and communities, including the People; and failing to warn the public about  
8 the hazards associated with the use of fossil fuel products.

9 182. The condition created by Defendants substantially and negatively affects the  
10 interests of the public at large. In particular, higher sea level, increased storm frequency and  
11 intensity, and increased flooding: (1) are harmful and dangerous to human health; (2) are indecent  
12 and offensive to the senses of the ordinary person; (3) obstruct and threaten to obstruct the free use  
13 of the People's property so as to interfere with the comfortable enjoyment of life and property; and  
14 (4) obstruct and threaten to obstruct the free passage and use of navigable lakes, rivers, bays,  
15 streams, canals, basins, public parks, squares, streets, and/or highways within San Mateo County.

16 183. The People of the State of California have a common right to be free from the  
17 increased severity of these hazards due to climate change and sea level rise.

18 184. The seriousness of rising sea levels and increased weather volatility and flooding  
19 is extremely grave, and outweighs the social utility of Defendants' conduct because, *inter alia*,

- 20 a. interference with the public's rights as described above is expected to  
21 become so regular and severe that it will cause permanent inundation;  
22 b. the ultimate nature of the harm is the destruction of real and personal  
23 property, rather than mere annoyance;  
24 c. the interference borne is the loss of property and infrastructure within San  
25 Mateo County, which will actually be borne by Plaintiff's citizens as loss  
26 of use of public property and infrastructure and diversion of tax dollars  
27 away from other public services to sea level rise;

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- d. Plaintiff’s coastal property, which serves myriad uses including industrial, residential, infrastructural, commercial and ecological, is not suitable for regular inundation;
- e. the social benefit of placing fossil fuels into the stream of commerce is outweighed by the availability of other sources of energy that could have been placed into the stream of commerce that would not have caused sea level rise; Defendants, and each of them, knew of the external costs of placing their fossil fuel products into the stream of commerce, and rather than striving to mitigate those externalities, Defendants instead acted affirmatively to obscure them from public consciousness;
- f. the cost to society of each ton of greenhouse gases emitted into the atmosphere increases as total global emissions increase, so that unchecked extraction and consumption of fossil fuel products is more harmful and costly than moderated extraction and consumption; and
- g. it was practical for Defendants, and each of them, in light of their extensive knowledge of the hazards of placing fossil fuel products into the stream of commerce and extensive scientific engineering expertise, to develop better technologies and to pursue and adopt known, practical, and available technologies, energy sources, and business practices that would have mitigated their greenhouse gas pollution and eased the transition to a lower carbon economy.

185. This public nuisance affects and/or interferes with the rights of an entire community and/or the rights of a considerable number of persons in the State of California to health, safety, peace, comfort, and convenience.

186. Defendants’ wrongful conduct was oppressive, malicious, and fraudulent, in that their conduct was willful, intentional, and in conscious disregard for the rights of others. Defendants’ conduct was so vile, base, and contemptible that it would be looked down upon and despised by reasonable people, justifying an award of punitive and exemplary damages in an

1 amount subject to proof at trial, and justifying equitable disgorgement of all profits Defendants  
2 obtained through their unlawful and outrageous conduct.

3 187. As a direct and proximate result of Defendants' conduct, as set forth above, the  
4 common rights enjoyed by the People of the State of California and by the general public in the  
5 County of San Mateo have been unreasonably interfered with because Defendants knew or should  
6 have known that their conduct would create a continuing problem with long-lasting significant  
7 negative effects on the rights of the public.

8 188. Defendants' actions are a direct and legal cause of the public nuisance.

9 189. The People of the State of California, acting through the County of San Mateo, have  
10 a clearly ascertainable right to have the public nuisance created by Defendants abated.

11 190. Defendants' acts and omissions as alleged herein are indivisible causes of Plaintiff  
12 the People of the State of California's injuries as alleged herein.

13 191. Wherefore, the People of the State of California pray for relief as set forth below.

14 **SECOND CAUSE OF ACTION**

15 **(Public Nuisance on Behalf of San Mateo County)**

16 **(Against All Defendants)**

17 192. Plaintiff San Mateo County incorporates by reference each and every allegation  
18 contained above, as though set forth herein in full.

19 193. Defendants, and each of them, by their acts and omission, have created a condition  
20 and permitted that condition to persist, which constitutes a nuisance by increasing sea level,  
21 increasing the frequency and intensity of flooding, and increasing the intensity and frequency of  
22 storms, all of which have resulted in, and will continue to result in, injury to Plaintiff.

23 194. The condition created by Defendants substantially and negatively affects the  
24 interests of the public at large. In particular, higher sea level, increased storm frequency and  
25 intensity, and increased flooding: (1) are harmful and dangerous to human health; (2) are indecent  
26 and offensive to the senses of the ordinary person; (3) obstruct and threaten to obstruct the free use  
27 of property within the County so as to interfere with the comfortable enjoyment of life and  
28 property; and (4) obstruct and threaten to obstruct the free passage and use of navigable lakes,

1 rivers, bays, streams, canals, basins, public parks, squares, streets, and/or highways within San  
2 Mateo County.

3 195. Plaintiff San Mateo County includes coastal communities with substantial numbers  
4 of residents and citizens living on and near the coast, and substantial numbers of businesses and  
5 amenities on or near the coast; the condition created by Defendants therefore affects substantial  
6 numbers of people in Plaintiff's communities at the same time.

7 196. The seriousness of rising sea levels and increased weather volatility and flooding  
8 is extremely grave, and outweighs the social utility of Defendants' conduct. The seriousness of the  
9 harm to Plaintiff San Mateo County outweighs the benefit of Defendants' and each of their  
10 conduct, because

- 11 a. the interference with Plaintiff's property is expected to become so regular  
12 and severe as to be a permanent inundation;
- 13 b. the nature of the harm is the destruction of Plaintiff's property, rather than  
14 mere annoyance;
- 15 c. the interference borne by Plaintiff is the loss of its property and  
16 infrastructure, which will actually be borne by Plaintiff's citizens as loss of  
17 use of public property and infrastructure and diversion of tax dollars away  
18 from other public services to sea level rise;
- 19 d. Plaintiff's coastal public and private property, which serves myriad uses  
20 including industrial, residential, infrastructural, commercial and ecological,  
21 is not suitable for regular inundation;
- 22 e. the burden on Plaintiff to mitigate and prevent the interference with its  
23 property is significant and severe, as costs associated with addressing sea  
24 level rise caused by Defendants are projected to be in the billions of dollars  
25 over the next several decades;
- 26 f. the social benefit of placing fossil fuels into the stream of commerce, if any,  
27 is outweighed by the availability of other sources of energy that could have  
28 been placed into the stream of commerce that would not have caused sea

1 level rise; Defendants, and each of them, knew of the external costs of  
2 placing their fossil fuel products into the stream of commerce, and rather  
3 than striving to mitigate those externalities, instead acted affirmatively to  
4 obscure them from public consciousness;

5 g. the social cost of each ton of CO<sub>2</sub> emitted into the atmosphere increases as  
6 total global emissions increase, so that unchecked extraction and  
7 consumption of fossil fuel products is more harmful and costly than  
8 moderated extraction and consumption; and

9 h. it was practical for Defendants, and each of them, in light of their extensive  
10 knowledge of the hazards of placing fossil fuel products into the stream of  
11 commerce and extensive scientific engineering expertise, to develop better  
12 technologies and to pursue and adopt known, practical, and available  
13 technologies, energy sources, and business practices that would have  
14 mitigated their greenhouse gas pollution and eased the transition to a lower  
15 carbon economy.

16 197. In addition to the harms suffered by the public at large, Plaintiff has suffered special  
17 injuries different in kind. Among other harms,

18 a. Plaintiff has been forced to spend or set aside significant funds to assess,  
19 plan for, and enact infrastructure changes needed to mitigate rising sea  
20 levels on Plaintiff's publicly owned beaches and other public coastal  
21 property;

22 b. Plaintiff has had to plan for and provide additional emergency and other  
23 public services in response to more frequent and more intense flooding and  
24 storm surges on both properties owned by Plaintiff, and properties owned,  
25 leased, and utilized by residents, citizens, and visitors to Plaintiff's  
26 communities.

27 198. Defendants' wrongful conduct was oppressive, malicious, and fraudulent, in that  
28 their conduct was willful, intentional, and in conscious disregard for the rights of others.

1 Defendants' conduct was so vile, base, and contemptible that it would be looked down upon and  
2 despised by reasonable people, justifying an award of punitive and exemplary damages in an  
3 amount subject to proof at trial, and justifying equitable disgorgement of all profits Defendants  
4 obtained through their unlawful and outrageous conduct.

5 199. As a direct and proximate result of Defendants' conduct, as set forth above, the  
6 County of San Mateo has been unreasonably interfered with because Defendants knew or should  
7 have known that their conduct would create a continuing problem with long-lasting significant  
8 negative effects on the rights of the public.

9 200. Defendants' actions are a direct and legal cause of the public nuisance.

10 201. Defendants' acts and omissions as alleged herein are indivisible causes of Plaintiff  
11 San Mateo County's injuries as alleged herein.

12 202. Wherefore, Plaintiff prays for relief as set forth below.

13 **THIRD CAUSE OF ACTION**

14 **(Strict Liability—Failure to Warn on behalf of San Mateo County)**

15 **(Against All Defendants)**

16 203. Plaintiff San Mateo County incorporates by reference each and every allegation  
17 contained above, as though set forth herein in full.

18 204. Defendants, and each of them, extracted raw fossil fuel products, including crude  
19 oil, coal, and natural gas from the Earth, and placed those fossil fuel products into the stream  
20 of commerce.

21 205. Defendants, and each of them, extracted, refined, formulated, designed, packaged,  
22 distributed, tested, constructed, fabricated, analyzed, recommended, merchandised, advertised,  
23 promoted and/or sold fossil fuel products, which were intended by Defendants, and each of them,  
24 to be burned for energy, refined into petrochemicals, and refined and/or incorporated into  
25 petrochemical products including fuels and plastics.

26 206. Defendants, and each of them, heavily marketed, promoted, and advertised fossil  
27 fuel products and their derivatives, which were sold or used by their respective affiliates and  
28 subsidiaries. Defendants received direct financial benefit from their affiliates' and subsidiaries'



1 sales of fossil fuel products. Defendants' role as promoter and marketer was integral to their  
2 respective businesses and a necessary factor in bringing fossil fuel products and their derivatives  
3 to the consumer market, such that Defendants had control over, and a substantial ability to  
4 influence, the manufacturing and distribution processes of their affiliates and subsidiaries.

5 207. Throughout the times at issue, Defendants individually and collectively knew or  
6 should have known, in light of the scientific knowledge generally accepted at the time, that fossil  
7 fuel products, whether used as intended or misused in a foreseeable manner, release greenhouse  
8 gases into the atmosphere that inevitably cause *inter alia* global warming, sea level rise, increased  
9 intensity and frequency of nuisance flooding, and increased intensity and frequency of  
10 storm surges.

11 208. Throughout the times at issue and continuing today, fossil fuel products presented  
12 and still present a substantial risk of injury to Plaintiff through the climate effects described above,  
13 whether used as intended or misused in a reasonably foreseeable manner.

14 209. Throughout the times at issue, the ordinary consumer would not recognize that the  
15 use or foreseeable misuse of fossil fuel products causes global and localized changes in climate,  
16 including those effects described herein.

17 210. Throughout the times at issue, Defendants individually and in concert widely  
18 disseminated marketing materials, refuted the scientific knowledge generally accepted at the time,  
19 and advanced pseudo-scientific theories of their own, and developed public relations campaigns  
20 and materials that prevented reasonable consumers from recognizing the risk that fossil fuel  
21 products would cause grave climate changes, including those described herein.

22 211. Defendants, and each of them, failed to adequately warn customers, consumers,  
23 elected officials and regulators of known and foreseeable risk of climate change and the  
24 consequences that inevitably follow from the normal, intended use and foreseeable misuse of  
25 Defendants' fossil fuel products.

26 212. Defendants' wrongful conduct was oppressive, malicious, and fraudulent, in that  
27 their conduct was willful, intentional, and in conscious disregard for the rights of others.  
28 Defendants' conduct was so vile, base, and contemptible that it would be looked down upon and

1 despised by reasonable people, justifying an award of punitive and exemplary damages in an  
2 amount subject to proof at trial, and justifying equitable disgorgement of all profits Defendants  
3 obtained through their unlawful and outrageous conduct.

4 213. As a direct and proximate result of the defects previously described, fossil fuel  
5 products caused Plaintiff San Mateo County to sustain the injuries and damages set forth in this  
6 Complaint, including damage to publicly owned infrastructure and real property, and the creation  
7 and maintenance of a nuisance that interferes with the rights of the County, its residents, and of  
8 the People.

9 214. Defendants' acts and omissions as alleged herein are indivisible causes of Plaintiff  
10 San Mateo County's injuries as alleged herein.

11 215. Wherefore, Plaintiff prays for relief as set forth below.

12 **FOURTH CAUSE OF ACTION**

13 **(Strict Liability—Design Defect on behalf of San Mateo County)**

14 **(Against All Defendants)**

15 216. Plaintiff San Mateo County incorporates by reference each and every allegation  
16 contained above, as though set forth herein in full.

17 217. Defendants, and each of them, extracted raw fossil fuel products, including crude  
18 oil, coal, and natural gas from the Earth and placed those fossil fuel products into the stream of  
19 commerce.

20 218. Defendants, and each of them, extracted, refined, formulated, designed, packaged,  
21 distributed, tested, constructed, fabricated, analyzed, recommended, merchandised, advertised,  
22 promoted and/or sold fossil fuel products, which were intended by Defendants, and each of them,  
23 to be burned for energy, refined into petrochemicals, and refined and/or incorporated into  
24 petrochemical products including but not limited to fuels and plastics.

25 219. Defendants, and each of them, heavily marketed, promoted, and advertised fossil  
26 fuel products and their derivatives, which were sold or used by their respective affiliates and  
27 subsidiaries. Defendants' received direct financial benefit from their affiliates' and subsidiaries'  
28 sales of fossil fuel products. Defendants role as promoter and marketer was integral to their

1 respective businesses and a necessary factor in bringing fossil fuel products and their derivatives  
2 to the consumer market, such that Defendants had control over, and a substantial ability to  
3 influence, the manufacturing and distribution processes of their affiliates and subsidiaries.

4 220. Throughout the time at issue, fossil fuel products have not performed as safely as  
5 an ordinary consumer would expect them to because greenhouse gas emissions from their use  
6 cause numerous global and local changes to Earth's climate. In particular, ordinary consumers did  
7 not expect that:

- 8 a. fossil fuel products are the primary cause of global warming since the dawn  
9 of the industrial revolution, and by far the primary cause of global warming  
10 acceleration in the 20th and 21st centuries;
- 11 b. fossil fuel products are the primary cause of accelerating sea level rise since  
12 the beginning of the 20th century;
- 13 c. unmitigated use of fossil fuel products causes increased frequency and  
14 intensity of nuisance flooding in coastal communities;
- 15 d. fossil fuel products cause increased frequency and intensity of storm surges  
16 in coastal communities;
- 17 e. by increasing sea level rise, nuisance flooding, and storm surges, fossil fuel  
18 products cause damage to publicly and privately owned coastal  
19 infrastructure and buildings, including homes;
- 20 f. the social cost of each ton of CO<sub>2</sub> emitted into the atmosphere increases as  
21 total global emissions increase, so that unchecked extraction and  
22 consumption of fossil fuel products is more harmful and costly than  
23 moderated extraction and consumption; and
- 24 g. for these reasons and others, the unmitigated use of fossil fuel products  
25 present significant threats to the environment and human health and  
26 welfare, especially in coastal communities.

27 221. Throughout the times at issue, Defendants individually and in concert widely  
28 disseminated marketing materials, refuted the scientific knowledge generally accepted at the time,

1 advanced pseudo-scientific theories of their own, and developed public relations materials, among  
2 other public messaging efforts, that prevented reasonable consumers from forming an expectation  
3 that fossil fuel products would cause grave climate changes, including those described herein.

4 222. Additionally, and in the alternative, Defendants' fossil fuel products are defective  
5 because the risks they pose to consumers and to the public, including and especially to Plaintiff,  
6 outweigh their benefits.

7 a. the gravity of the potential harms caused by fossil fuel products is extreme;  
8 global warming and its attendant consequences are guaranteed to occur  
9 following the use or foreseeable misuse of fossil fuel products because fossil  
10 fuel products inherently release greenhouse gases into the atmosphere; and  
11 global warming would continue to occur for decades even if all greenhouse  
12 gas emissions ceased.

13 b. the social benefit of the purpose of placing fossil fuels into the stream of  
14 commerce is overshadowed by the availability of other sources of energy  
15 that could have been placed into the stream of commerce that would not  
16 have caused sea level rise and accordingly Plaintiff's injuries; Defendants,  
17 and each of them, knew of the external costs of placing their fossil fuel  
18 products into the stream of commerce, and rather than striving to mitigate  
19 those externalities, instead acted affirmatively to obscure them from public  
20 consciousness.

21 c. Defendants' campaign of disinformation regarding global warming and the  
22 climatic effects of fossil fuel products prevented customers, consumers,  
23 regulators, and the general public from taking steps to mitigate the  
24 inevitable consequences of fossil fuel consumption, and incorporating those  
25 consequences into either short-term decisions or long-term planning.

26 d. the cost to society of each ton of CO<sub>2</sub> emitted into the atmosphere increases  
27 as total global emissions increase so that unchecked extraction and  
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consumption of fossil fuel products is more harmful and costly than moderated extraction and consumption.

e. it was practical for Defendants, and each of them, in light of their extensive knowledge of the hazards of placing fossil fuel products into the stream of commerce, to pursue and adopt known, practical, and available technologies, energy sources, and business practices that would have mitigated their greenhouse gas pollution and eased the transition to a lower carbon economy, reduced global CO<sub>2</sub> emissions, and mitigated the harms associated with the use and consumption of such products.

223. Defendants' individual and aggregate fossil fuel products were used in a manner for which they were intended to be used, or misused in a manner foreseeable to Defendants and each of them, by individual and corporate consumers, the result of which was the addition of CO<sub>2</sub> emissions to the global atmosphere with attendant global and local consequences.

224. As a direct and proximate result of the defects in fossil fuel products described herein, Plaintiff sustained the injuries and damages set forth in this Complaint, including damage to publicly and privately owned infrastructure and real property.

225. Defendants' wrongful conduct was oppressive, malicious, and fraudulent, in that their conduct was willful, intentional, and in conscious disregard for the rights of others. Defendants' conduct was so vile, base, and contemptible that it would be looked down upon and despised by reasonable people, justifying an award of punitive and exemplary damages in an amount subject to proof at trial, and justifying equitable disgorgement of all profits Defendants obtained through their unlawful and outrageous conduct.

226. Defendants' acts and omissions as alleged herein are indivisible causes of Plaintiff San Mateo County's injuries and damage as alleged herein.

227. Wherefore, Plaintiff prays for relief as set forth below.

1 **FIFTH CAUSE OF ACTION**

2 **(Private Nuisance on behalf of San Mateo County)**

3 **(Against All Defendants)**

4 228. Plaintiff San Mateo County incorporates by reference each and every allegation  
5 contained above, as though set forth herein in full.

6 229. Plaintiff owns and manages extensive property within San Mateo County borders  
7 that has been injured and will be injured by rising sea levels.

8 230. Defendants, and each of them, by their acts and omission, have created a condition  
9 on Plaintiff's property, and permitted that condition to persist, which constitutes a nuisance by  
10 increasing sea level, increasing the frequency and intensity of flooding, and increasing the intensity  
11 and frequency of storms.

12 231. The condition created by Defendants substantially and negatively affects Plaintiff's  
13 interest in its own coastal real property. In particular, higher sea level, increased storm frequency  
14 and intensity, and increased flooding are:

- 15 a. harmful and dangerous to human health;
- 16 b. indecent and offensive to the senses of the ordinary person;
- 17 c. threatening to obstruct the free use of Plaintiff's property and property  
18 owned by Plaintiff's residents and citizens, so as to interfere with the  
19 comfortable enjoyment of life and property; and
- 20 d. threatening to obstruct the free passage and use of navigable lakes, rivers,  
21 bays, streams, canals, basins, public parks, squares, streets, and/or highways  
22 within Plaintiff's communities.

23 232. The condition described above created by Defendants' conduct substantially  
24 interferes with Plaintiff's use and quiet enjoyment of its coastal properties.

25 233. Plaintiff has not consented to Defendants' conduct in creating the condition that has  
26 led to sea level rise and its associated harms.

27 234. The ordinary person, and the ordinary city or county in Plaintiff's position, would  
28 be reasonably annoyed and disturbed by Defendants' conduct and the condition created thereby,

1 because, *inter alia*, it infringes on Plaintiff's ability to provide public space to residents and  
2 visitors, and has forced Plaintiff to plan for and provide additional emergency and other public  
3 services in response to more frequent and more intense flooding and storm surges on properties  
4 owned by Plaintiff.

5 235. The seriousness of rising sea levels and increased weather volatility and flooding  
6 is extremely grave, and outweighs the social utility of Defendants' conduct. The seriousness of the  
7 harm to Plaintiff outweighs the benefit of Defendants' and each of their conduct, because:

- 8 a. the interference with Plaintiff's property is expected to become so regular  
9 and severe as to be a permanent inundation;
- 10 b. the nature of the harm is the destruction of Plaintiff's public and private real  
11 and personal property, rather than mere annoyance;
- 12 c. the interference borne by Plaintiff is the loss of its private and public  
13 property and infrastructure, which will actually be borne by Plaintiff's  
14 citizens as loss of use of public property and infrastructure and diversion of  
15 tax dollars away from other public services to sea level rise;
- 16 d. Plaintiff's coastal public and private property, which serves myriad uses  
17 including industrial, residential, infrastructural, commercial and ecological,  
18 is not suitable for regular inundation;
- 19 e. the burden on Plaintiff to mitigate and prevent the interference with its  
20 property is significant and severe, as costs associated with addressing sea  
21 level rise caused by Defendants are projected to be in the billions of dollars  
22 over the next several decades;
- 23 f. the social benefit of the purpose of placing fossil fuels into the stream of  
24 commerce is overshadowed by the availability of other sources of energy  
25 that could have been placed into the stream of commerce that would not  
26 have caused sea level rise; Defendants, and each of them, knew of the  
27 external costs of placing their fossil fuel products into the stream of  
28 commerce, and rather than striving to mitigate those externalities,

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Defendants acted affirmatively to obscure those costs from public consciousness;

g. the social cost each ton of CO<sub>2</sub> emitted into the atmosphere increases as total global emissions increase, so that unchecked extraction and consumption of fossil fuel products is more harmful and costly than moderated extraction and consumption;

h. Defendants' campaign of disinformation regarding global warming and the climatic effects of fossil fuel products prevented customers, consumers, regulators, and the general public from staking steps to mitigate the inevitable consequences of fossil fuel consumption, and incorporating those consequences into either short-term decisions or long-term planning; and

i. It was practical for Defendants, and each of them, in light of their extensive knowledge of the hazards of placing fossil fuel products into the stream of commerce, to pursue and adopt known, practical, and available technologies, energy sources, and business practices that would have mitigated their greenhouse gas pollution and eased the transition to a lower carbon economy, reduced global CO<sub>2</sub> emissions, and mitigated the harms associated with the use and consumption of such products.

236. Defendants' conduct was a direct and proximate cause of Plaintiff's injuries, and a substantial factor in the harms suffered by Plaintiff as described in this Complaint.

237. Defendants' acts and omissions as alleged herein are indivisible causes of Plaintiff San Mateo County's injuries and damage as alleged herein.

238. Wherefore, Plaintiff prays for relief as set forth below.

**SIXTH CAUSE OF ACTION**

**(Negligence on Behalf of San Mateo County)**

**(Against All Defendants)**

239. Plaintiff San Mateo County incorporates by reference each and every allegation contained above, as though set forth herein in full.



1           240. Defendants knew or should have known of the climate effects inherently caused by  
2 the normal use and operation of their fossil fuel products, including the likelihood and likely  
3 severity of global and local sea level rise and its consequences, and including Plaintiff’s injuries  
4 and damages described herein.

5           241. Defendants, collectively and individually, had a duty to use due care in developing,  
6 designing, testing, inspecting and distributing their fossil fuel products. That duty obligated  
7 Defendants collectively and individually to, *inter alia*, prevent defective products from entering  
8 the stream of commerce, and prevent reasonably foreseeable harm that could have resulted from  
9 the ordinary use or reasonably foreseeable misuse of Defendants’ products.

10          242. Defendants, and each of them, breached their duty of due care by, *inter alia*:

- 11           a. allowing fossil fuel products to enter the stream of commerce, despite  
12                knowing them to be defective due to their inevitable propensity to cause sea  
13                level rise and its consequences;
- 14           b. failing to act on the information and warnings they received from their own  
15                internal research staff, as well as from the international scientific  
16                community, that the unabated extraction, promotion and sale of their fossil  
17                fuel products would result in material dangers to the public, including San  
18                Mateo County;
- 19           c. failing to take actions including but not limited to pursuing and adopting  
20                known, practical, and available technologies, energy sources, and business  
21                practices that would have mitigated their greenhouse gas pollution and  
22                eased the transition to a lower carbon economy; shifting to non-fossil fuel  
23                products, and researching and/or offering technologies to mitigate CO<sub>2</sub>  
24                emissions in conjunction with sale and distribution of their fossil fuel  
25                products; and pursuing other available alternatives that would have  
26                prevented or mitigated the injuries to Plaintiff caused by sea level rise that  
27                Defendants, and each of them, knew or should have foreseen would  
28                inevitably result from use of Defendants’ fossil fuel products;

1 d. engaging in a campaign of disinformation regarding global warming and  
2 the climatic effects of fossil fuel products that prevented customers,  
3 consumers, regulators, and the general public from taking steps to mitigate  
4 the inevitable consequences of fossil fuel consumption, and incorporating  
5 those consequences into either short-term decisions or long-term planning.

6 243. Defendants individual and collective acts and omissions were actual, substantial  
7 causes of sea level rise and its consequences, including Plaintiff's injuries and damages set forth  
8 herein, as sea levels would not have risen to the levels that caused Plaintiff's injuries but for  
9 Defendants introduction of their fossil fuel products into the stream of commerce.

10 244. Defendants individual and collective acts and omissions were proximate causes of  
11 sea level rise and its consequences, including Plaintiff's injuries and damages set forth herein. No  
12 other act, omission, or natural phenomenon intervened in the chain of causation between  
13 Defendants' conduct and Plaintiff's injuries and damages, or superseded Defendants' breach of  
14 their duties' substantiality in causing Plaintiff's injuries and damages.

15 245. As a direct and proximate result of Defendants' and each of their acts and  
16 omissions, Plaintiff sustained injuries and damages as set forth herein.

17 246. Defendants' acts and omissions as alleged herein are indivisible causes of Plaintiff  
18 San Mateo County's injuries and damage as alleged herein.

19 247. Defendants' wrongful conduct was oppressive, malicious, and fraudulent, in that  
20 their conduct was willful, intentional, and in conscious disregard for the rights of others.  
21 Defendants' conduct was so vile, base, and contemptible that it would be looked down upon and  
22 despised by reasonable people, justifying an award of punitive and exemplary damages in an  
23 amount subject to proof at trial, and justifying equitable disgorgement of all profits Defendants  
24 obtained through their unlawful and outrageous conduct.

25 248. Wherefore, Plaintiff prays for relief as set forth below.  
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1 **SEVENTH CAUSE OF ACTION**

2 **(Negligence - Failure to Warn on Behalf of San Mateo County)**

3 **(Against All Defendants)**

4 249. Plaintiff San Mateo County incorporates by reference each and every allegation  
5 contained above, as though set forth herein in full.

6 250. Defendants knew or should have known, based on information passed to them from  
7 their internal research divisions and affiliates and/or from the international scientific community,  
8 of the climate effects inherently caused by the normal use and operation of their fossil fuel  
9 products, including the likelihood and likely severity of global warming, global and local sea level  
10 rise, and their associated consequences, including Plaintiff's injuries and damages described  
11 herein.

12 251. Defendants knew or should have known, based on information passed to them from  
13 their internal research divisions and affiliates and/or from the international scientific community,  
14 that the climate effects described above rendered their fossil fuel products dangerous, or likely to  
15 be dangerous, when used as intended or misused in a reasonably foreseeable manner.

16 252. Throughout the times at issue, Defendants failed to adequately warn any consumers  
17 or any other party of the climate effects that inevitably flow from the use or foreseeable misuse of  
18 their fossil fuel products.

19 253. Throughout the times at issue, Defendants individually and in concert widely  
20 disseminated marketing materials, refuted the scientific knowledge generally accepted at the time,  
21 advanced pseudo-scientific theories of their own, and developed public relations materials that  
22 prevented reasonable consumers from recognizing the risk that fossil fuel products would cause  
23 grave climate changes, undermining and rendering ineffective any warnings that Defendants may  
24 have also disseminated.

25 254. Given the grave dangers presented by the climate effects that inevitably flow from  
26 the normal use or foreseeable misuse of fossil fuel products, a reasonable extractor, manufacturer,  
27 formulator, seller, or other participant responsible for introducing fossil fuel products into the  
28 stream of commerce, would have warned of those known, inevitable climate effects.

1 255. Defendants' conduct was a direct and proximate cause of Plaintiff's injuries and a  
2 substantial factor in the harms suffered by Plaintiff as described in this Complaint.

3 256. Defendants' acts and omissions as alleged herein are indivisible causes of Plaintiff  
4 San Mateo County's injuries and damage as alleged herein.

5 257. Defendants' wrongful conduct was oppressive, malicious, and fraudulent, in that  
6 their conduct was willful, intentional, and in conscious disregard for the rights of others.  
7 Defendants' conduct was so vile, base, and contemptible that it would be looked down upon and  
8 despised by reasonable people, justifying an award of punitive and exemplary damages in an  
9 amount subject to proof at trial, and justifying equitable disgorgement of all profits Defendants  
10 obtained through their unlawful and outrageous conduct.

11 258. Wherefore, Plaintiff prays for relief as set forth below.

12 **EIGHTH CAUSE OF ACTION**

13 **(Trespass on Behalf of San Mateo County)**

14 **(Against All Defendants)**

15 259. Plaintiff San Mateo County incorporates by reference each and every allegation  
16 contained above, as though set forth herein in full.

17 260. Plaintiff San Mateo County owns, leases, occupies, and/or controls real property  
18 within Plaintiff's county boundaries and within communities located within the County.

19 261. Defendants, and each of them, have intentionally, recklessly, or negligently caused  
20 ocean waters to enter Plaintiff San Mateo County's property, by extracting, refining, formulating,  
21 designing, packaging, distributing, testing, constructing, fabricating, analyzing, recommending,  
22 merchandising, advertising, promoting, marketing, and/or selling fossil fuel products, knowing  
23 those products in their normal operation and use or foreseeable misuse would cause global and  
24 local sea levels to rise, cause flooding to become more frequent and more intense, and cause storm  
25 surges to become more frequent and more intense.

26 262. Plaintiff San Mateo County did not give permission for Defendants, or any of them,  
27 to cause ocean water to enter its property.

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1           263. Plaintiff San Mateo County has been and continues to be actually injured and  
2 continues to suffer damages as a result of Defendants and each of their having caused ocean water  
3 to enter their real property, by *inter alia* permanently submerging real property owned by Plaintiff,  
4 causing flooding which have invaded and threatens to invade real property owned by Plaintiff and  
5 rendered it unusable, and causing storm surges which have invaded and threatened to invade real  
6 Property owned by Plaintiff and rendered it unusable.

7           264. Defendants' and each Defendant's introduction of their fossil fuel products into the  
8 stream of commerce was a substantial factor in causing the injuries and damages to Plaintiff's  
9 public and private real property.

10           265. Defendants' acts and omissions as alleged herein are indivisible causes of Plaintiff  
11 San Mateo County's injuries and damage as alleged herein.

12           266. Defendants' wrongful conduct was oppressive, malicious, and fraudulent, in that  
13 their conduct was willful, intentional, and in conscious disregard for the rights of others.  
14 Defendants' conduct was so vile, base, and contemptible that it would be looked down upon and  
15 despised by reasonable people, justifying an award of punitive and exemplary damages in an  
16 amount subject to proof at trial, and justifying equitable disgorgement of all profits Defendants  
17 obtained through their unlawful and outrageous conduct.

18           267. Wherefore, Plaintiff prays for relief as set forth below.

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1 **VII. PRAYER FOR RELIEF**

- 2 1. Compensatory damages in an amount according to proof;
- 3 2. Equitable relief to abate the nuisances complained of herein;
- 4 3. Reasonable attorneys' fees pursuant to California Code of Civil Procedure 1021.5
- 5 or otherwise;
- 6 4. Punitive damages;
- 7 5. Disgorgement of profits;
- 8 6. Costs of suit; and
- 9 7. For such and other relief as the court may deem proper.

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11 Dated: July 17, 2017

**OFFICE OF THE COUNTY COUNSEL  
COUNTY OF SAN MATEO**

12

13

14 By: 

15 JOHN C. BEIERS, County Counsel  
16 PAUL A. OKADA, Chief Deputy  
17 DAVID A. SILBERMAN, Chief Deputy  
18 MARGARET V. TIDES, Deputy

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23 *Attorneys for The County of San Mateo,  
24 individually and on behalf of the People of the  
25 State of California*

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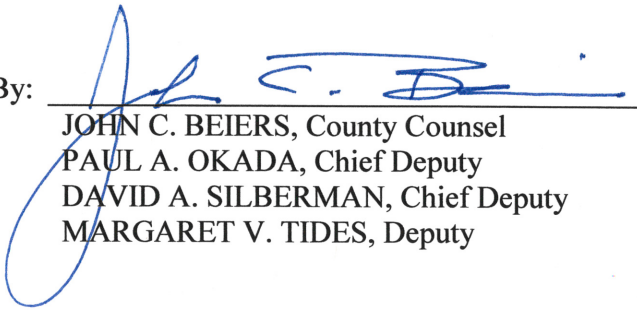
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**VIII. JURY DEMAND**

Plaintiff San Mateo County demands a jury trial on all issues so triable.

Dated: July 17, 2017

**OFFICE OF THE COUNTY COUNSEL  
COUNTY OF SAN MATEO**

By:   
\_\_\_\_\_  
JOHN C. BEIERS, County Counsel  
PAUL A. OKADA, Chief Deputy  
DAVID A. SILBERMAN, Chief Deputy  
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*Attorneys for The County of San Mateo,  
individually and on behalf of the People of the  
State of California*

# **EXHIBIT A**



# Truth or CO<sub>2</sub> consequences

**MAJOR FOSSIL FUEL COMPANIES** have known the truth for nearly 50 years: their oil, gas, and coal products create greenhouse gas pollution that warms the planet and changes our climate. They've known for decades that the consequences could be catastrophic and that only a narrow window of time existed to take action before the damage might not be reversible. They have nevertheless engaged in a coordinated, multi-front effort to conceal and contradict their own knowledge of these threats, discredit the growing body of publicly available scientific evidence, and persistently create doubt in the minds of customers, consumers, regulators, the media, journalists, teachers, and the general public about the reality and consequences of climate change.

This timeline highlights information, alleged in the Complaints filed by San Mateo County, Marin County, and Imperial Beach, that comes from key industry documents and other sources. It illustrates what the industry knew, when they knew it, and what they didn't do to prevent the impacts that are now imposing real costs on people and communities around the country. While the early warnings from the industry's own scientists and experts often acknowledged the uncertainties in their projections, those uncertainties were typically about the timing and magnitude of the climate change impacts – not about whether those impacts would occur or whether the industry's oil, gas, and coal were the primary cause. On those latter points, as these documents show, they were quite certain.

DATE	DOCUMENT	TEXT
NOV. 5, 1965	<a href="#">"RESTORING THE QUALITY OF OUR ENVIRONMENT," REPORT OF THE ENVIRONMENTAL POLLUTION PANEL, PRESIDENT'S SCIENCE ADVISORY COMMITTEE</a>	<b>President Lyndon Johnson's Science Advisory Committee finds that</b> " <i>[P]ollutants have altered on a global scale the carbon dioxide content of the air" and "[M]an is unwittingly conducting a vast geophysical experiment" by burning fossil fuels that are injecting CO2 into the atmosphere. The committee concludes that by the year 2000, we could see "measurable and perhaps marked changes in climate, and will almost certainly cause significant changes in the temperature and other properties of the stratosphere."</i>
FEB. 1968	<a href="#">"SOURCES, ABUNDANCE, AND FATE OF GASEOUS ATMOSPHERIC POLLUTANTS," REPORT PREPARED BY STANFORD RESEARCH INSTITUTE SCIENTISTS ELMER ROBINSON AND R.C. ROBBINS FOR THE AMERICAN PETROLEUM INSTITUTE (API)</a>	<b>The American Petroleum Institute commissions a report finding that:</b> <ul style="list-style-type: none"> <li>• "<i>[A]lthough there are other possible sources for the additional CO2 now being observed in the atmosphere, none seems to fit the presently observed situation as well as the fossil fuel emanation theory."</i></li> <li>• "<i>Significant temperature changes are almost certain to occur by the year 2000, and these could bring about climatic changes."</i></li> <li>• "<i>There seems to be no doubt that the potential damage to our environment could be severe."</i></li> <li>• "<i>What is lacking, however, is an application of these CO2 data to air pollution technology and work toward systems in which CO2 emissions would be brought under control."</i></li> </ul>
JUNE 6, 1978	<a href="#">PRESENTATION SHARED WITH EXXON MANAGEMENT COMMITTEE FROM EXXON RESEARCH AND ENGINEERING SCIENCE ADVISOR, JAMES BLACK</a>	<b>Exxon Science Advisor James Black tells the company's Management Committee that</b> " <i>[T]here is general scientific agreement that the most likely manner in which mankind is influencing the global climate is through carbon dioxide release from the burning of fossil fuels" and that "[M]an has a time window of five to ten years before the need for hard decisions regarding changes in energy strategy might become critical."</i>
SEPT. 17, 1978	<a href="#">CONGRESS PASSES NATIONAL CLIMATE POLICY ACT</a>	<b>Congress passes the National Climate Policy Act to help</b> " <i>the Nation and the world to understand and respond to natural and man-induced climate processes and their implications."</i>

# Truth or CO<sub>2</sub>sequences

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DEC. 7, 1978	<a href="#"><u>CO2 RESEARCH PROPOSAL FROM EXXON RESEARCH AND ENGINEERING'S ENVIRONMENTAL AREA MANAGER, HENRY SHAW</u></a>	<p><b>Exxon scientist Henry Shaw proposes that the company initiate a comprehensive research program</b> "to assess the possible impact of the greenhouse effect on Exxon business." He argues that the company needs "a credible scientific team that can critically evaluate the information generated on the subject and be able to carry bad news, if any, to the corporation."</p>
OCT. 16, 1979	<a href="#"><u>"CONTROLLING THE CO2 CONCENTRATION IN THE ATMOSPHERE," STUDY BY EXXON EMPLOYEE STEVE KNISELY</u></a>	<p><b>An Exxon internal study finds that:</b></p> <ul style="list-style-type: none"> <li>• "The present trend of fossil fuel consumption will cause dramatic environmental effects before the year 2050."</li> <li>• "[R]ecognizing the uncertainty, there is a possibility that an atmospheric CO2 buildup will cause adverse environmental effects in enough areas of the world to consider limiting the future use of fossil fuels as major energy sources."</li> <li>• "The <i>potential</i> problem is great and urgent."</li> </ul>
FEB. 29, 1980	<a href="#"><u>MEETING MINUTES FROM THE AMERICAN PETROLEUM INSTITUTE'S (API'S) CO2 AND CLIMATE TASK FORCE: PRESENTATION BY DR. J. LAURMAN</u></a>	<p><b>Dr. J. Laurman tells API's Climate Task Force that</b> "there is a scientific consensus on the potential for large future climatic response to increased CO2 levels" and that "remedial actions will take a long time to become effective."</p>
AUG. 6, 1980	<a href="#"><u>"REVIEW OF ENVIRONMENTAL PROTECTION ACTIVITIES FOR 1978-1979," IMPERIAL OIL REPORT</u></a>	<p><b>An internal "Review of Environmental Protection Activities for 1978-1979" by Imperial Oil, which was distributed widely to Exxon/Esso Corporate Managers, finds that</b> "[T]echnology exists to remove CO2 from stack gases but removal of only 50% of the CO2 would double the cost of power generation."</p>
AUG. 18, 1981	<a href="#"><u>MEMO FROM ROGER COHEN, DIRECTOR OF EXXON'S THEORETICAL AND MATHEMATICAL SCIENCE LABORATORY, TO SCIENTIST WERNER GLASS</u></a>	<p><b>Exxon Strategic Planning Manager Roger Cohen comments on an internal assessment of CO2 emissions and the greenhouse effect that is prepared at the request of Senior VP and Director Morey O'Loughlin:</b></p> <ul style="list-style-type: none"> <li>• "[I]t is very likely that we will unambiguously recognize the threat by the year 2000 because of advances in climate modeling and the beginning of real experimental confirmation of the CO2 effect."</li> <li>• "Whereas I can agree with the statement that our best guess is that observable effects in the year 2030 will be 'well short of catastrophic', it is distinctly possible that the [Planning Division's] scenario will later produce effects that will indeed be catastrophic (at least for a substantial fraction of the earth's population)."</li> </ul>
APRIL 1, 1982	<a href="#"><u>"CO2 'GREENHOUSE' EFFECT," INTERNALLY DISTRIBUTED SUMMARY BY EXXON MANAGER M.B. GLASER OF A TECHNICAL REVIEW PREPARED BY EXXON RESEARCH AND ENGINEERING COMPANY'S COORDINATION AND PLANNING DIVISION</u></a>	<p><b>An internal Exxon "CO2 'Greenhouse Effect' Summary" finds that</b> "[T]here is concern among some scientific groups that once the effects are measurable, they might not be reversible and little could be done to correct the situation in the short term" and that "[M]itigation of the 'greenhouse effect' could require major reductions in fossil fuel combustion."</p>

# Truth or CO<sub>2</sub> consequences

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SEPT. 2, 1982	<a href="#">MEMO FROM ROGER COHEN, DIRECTOR OF EXXON'S THEORETICAL AND MATHEMATICAL SCIENCE LABORATORY, TO EXXON MANAGEMENT INCLUDING PRESIDENT OF EXXON CORPORATION'S RESEARCH AND ENGINEERING, E. E. DAVID JR.</a>	<p><b>The Director of Exxon's Theoretical and Mathematical Sciences Laboratory, Roger Cohen, summarizes the findings of their research in climate modeling:</b></p> <ul style="list-style-type: none"> <li>• "[O]ver the past several years a clear scientific consensus has emerged regarding the expected climatic effects of increased atmospheric CO<sub>2</sub>."</li> <li>• "It is generally believed that the first unambiguous CO<sub>2</sub>-induced temperature increase will not be observable until around the year 2000."</li> <li>• "[T]he results of our research are in accord with the scientific consensus on the effect of increased atmospheric CO<sub>2</sub> on climate."</li> </ul>
OCT. 1982	<a href="#">"INVENTING THE FUTURE: ENERGY AND THE CO<sub>2</sub> 'GREENHOUSE' EFFECT," E. E. DAVID JR. REMARKS AT THE FOURTH ANNUAL EWING SYMPOSIUM, TENAFLY, NJ</a>	<p><b>In a speech, E. E. David Jr., President of Exxon Research and Engineering Company, states:</b> "It is ironic that the biggest uncertainties about the CO<sub>2</sub> buildup are not in predicting what the climate will do, but in predicting what people will do. . . [It] appears we still have time to generate the wealth and knowledge we will need to invent the transition to a stable energy system."</p>
SUMMER 1988	<a href="#">PUBLIC AWARENESS OF THE GREENHOUSE EFFECT AND EFFORTS TO COMBAT IT RAMP UP</a>	<p><b>The summer of 1988 sees a flurry of activity around climate change policy:</b></p> <ul style="list-style-type: none"> <li>• Dr. James Hansen, Director of NASA's Goddard Institute for Space Studies, tells Congress that the Institute's greenhouse effect research shows "the global warming is now large enough that we can ascribe with a high degree of confidence a cause and effect relationship with the greenhouse effect."</li> <li>• At least four bipartisan bills are introduced in Congress, three championed by Republicans, to regulate greenhouse gas emissions.</li> </ul>
AUG. 3, 1988	<a href="#">"THE GREENHOUSE EFFECT," DRAFT WRITTEN BY JOSEPH M. CARLSON, AN EXXON PUBLIC AFFAIRS MANAGER</a>	<p>Despite declaring the Greenhouse Effect "one of the most significant environmental issues for the 1990s," Carlson writes that Exxon's position should be to "emphasize the uncertainty in scientific conclusions regarding the potential enhanced Greenhouse Effect."</p>
AUG. 31, 1988	<a href="#">VICE PRESIDENT GEORGE H.W. BUSH CAMPAIGN SPEECH IN MICHIGAN</a>	<p><b>Vice President George H.W. Bush, in a speech while running for President, says</b> "[T]hose who think we are powerless to do anything about the greenhouse effect forget about the 'White House effect'; as President, I intend to do something about it."</p>
DEC. 6, 1988	<a href="#">THE INTERGOVERNMENTAL PANEL ON CLIMATE CHANGE (IPCC) IS FORMED</a>	<p>The IPCC is formed in December 1988 by the World Meteorological Organization (WMO) and the United Nations Environment Programme (UNEP) to provide policymakers with regular assessments of the scientific basis of climate change, its impacts and future risks, and options for adaptation and mitigation.</p>
DEC. 20, 1989	<a href="#">"GREENHOUSE EFFECT: SHELL ANTICIPATES A SEA CHANGE," ARTICLE IN THE NEW YORK TIMES</a>	<p><b>A New York Times article reports:</b> "In what is considered the first major project that takes account of the changes the greenhouse effect is expected to bring, [Shell] engineers are designing a huge platform that anticipates rising water in the North Sea by raising the platform from the standard 30 meters - the height now thought necessary to stay above the waves that come in a once-a-century storm - to 31 or 32 meters."</p>

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1991	<a href="#"><u>"CLIMATE OF CONCERN," DOCUMENTARY PRODUCED AND DISTRIBUTED BY SHELL</u></a>	<b>Shell releases a 30-minute educational video</b> warning of climate change's negative consequences ranging from sea level rise and wetland destruction to "greenhouse refugees." It concludes: "Global warming is not yet certain, but many think that the wait for final proof would be irresponsible. Action now is seen as the only safe insurance."
MAY 1991	<a href="#"><u>INFORMATION COUNCIL FOR THE ENVIRONMENT (ICE) PR CAMPAIGN</u></a>	The Information Council for the Environment (ICE), formed by the coal industry, launches a national climate change science denial campaign with data collection, full-page newspaper ads, radio commercials, a PR tour, and mailers.
DEC. 1995	<a href="#"><u>"PREDICTING FUTURE CLIMATE CHANGE: A PRIMER," GLOBAL CLIMATE COALITION'S (GCC) INTERNAL PRIMER DRAFT, PREPARED BY GCC'S SCIENCE TECHNICAL ADVISORY COMMITTEE V. THEIR PUBLICLY DISTRIBUTED BACKGROUNDER, "SCIENCE AND GLOBAL CLIMATE CHANGE: WHAT DO WE KNOW? WHAT ARE THE UNCERTAINTIES?"</u></a>	<b>The Global Climate Coalition (GCC), a fossil fuel industry group, drafts an internal primer analyzing "contrarian theories" and concluding that they do not "offer convincing arguments against the conventional model of greenhouse gas emission-induced climate change."</b> However, a publicly distributed version excluded this section while focusing on scientific disagreement and uncertainty by citing some of those same contrarian scientists.
FALL 1996	<a href="#"><u>"GLOBAL WARMING: WHO'S RIGHT? FACTS ABOUT A DEBATE THAT'S TURNED UP MORE QUESTIONS THAN ANSWERS," PUBLICATION FROM EXXON CORPORATION</u></a>	<b>An eight-page Exxon publication</b> questions the negative impact the greenhouse effect might have and plays up the uncertainty. The introductory statement by Lee Raymond, Exxon's chairman and CEO, claims that "[S]cientific evidence remains inconclusive as to whether human activities affect global climate."
APRIL 3, 1998	<a href="#"><u>"GLOBAL SCIENCE COMMUNICATIONS ACTION PLAN," DRAFT BY THE AMERICAN PETROLEUM INSTITUTE (API)</u></a>	<b>The American Petroleum Institute develops a multi-million dollar communications and outreach plan to ensure that "climate change becomes a non-issue."</b> It maintains that "[V]ictory will be achieved when...uncertainties in climate science [become] part of the 'conventional wisdom.'"
DEC. 11, 2000	<a href="#"><u>LETTER FROM LLOYD KEIGWIN, SENIOR SCIENTIST AT THE WOODS HOLE OCEANOGRAPHIC INSTITUTION, TO PETER ALTMAN, NATIONAL CAMPAIGN COORDINATOR FOR EXXONMOBIL</u></a>	<b>A senior scientist at Woods Hole Oceanographic Institution, Lloyd Keigwin, sends a letter to Exxon's Peter Altman, summarizing their email and phone conversations regarding Exxon's misleading use of Keigwin's study results.</b> "The sad thing is that a company with the resources of ExxonMobil is exploiting the data for political purposes when they could actually get much better press by supporting research into the role of the ocean in climate change."
JUNE 20, 2001	<a href="#"><u>"YOUR MEETING WITH MEMBERS OF THE GLOBAL CLIMATE COALITION," US DEPARTMENT OF STATE MEMO AND TALKING POINTS</u></a>	<b>Talking points for State Department Undersecretary Paula Dobriansky's meeting with the Global Climate Coalition at API's headquarters:</b> "POTUS rejected Kyoto, in part, based on input from you."

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SEPT. 26, 2002	<p><a href="#">LETTER FROM MICHAEL MACCRACKEN, RETIRING SENIOR SCIENTIST FROM THE OFFICE OF THE US GLOBAL CHANGE RESEARCH PROGRAM, TO EXXON CEO LEE RAYMOND: "RE: WITH REGARD TO THE EXXONMOBIL FACSIMILE ON FEBRUARY 6, 2001 FROM DR. AG RANDOL TO MR. JOHN HOWARD OF THE COUNCIL ON ENVIRONMENTAL QUALITY"</a></p>	<p><b>Michael MacCracken, the former director of the National Assessment Coordination Office of the US Global Change Research Program, writes to Exxon CEO Lee Raymond in response to ExxonMobil's criticism of a US climate change assessment:</b> <i>"In my earlier experience, arguing for study of adaptation had been a position of industry, but now when this was attempted, ExxonMobil argued this was premature. Roughly, this is equivalent to turning your back on the future and putting your head in the sand—with this position, it is no wonder ExxonMobil is the target of environmental and shareholder critics...Certainly, there are uncertainties, but decisions are made under uncertainty all the time--that is what executives are well paid to do. In this case, ExxonMobil is on the wrong side of the international scientific community, the wrong side of the findings of all the world's leading academies of science, and the wrong side of virtually all of the world's countries as expressed, without dissent, in the IPCC reports...To call ExxonMobil's position out of the mainstream is thus a gross understatement. There can be all kinds of perspectives about what one might or might not do to start to limit the extent of the change, but to be in opposition to the key scientific findings is rather appalling for such an established and scientific organization."</i></p>
OCT. 21, 2002	<p><a href="#">MARKUPS BY PHILIP COONEY, CHIEF OF STAFF FOR THE WHITE HOUSE COUNCIL ON ENVIRONMENTAL QUALITY, ON A DRAFT STRATEGIC PLAN FOR THE CLIMATE CHANGE SCIENCE PROGRAM</a></p>	<p>Philip Cooney, Chief of Staff for the White House Council of Environmental Quality and a former lawyer and lobbyist for the American Petroleum Institute with no scientific credentials, edits a Draft Strategic Plan for the US Climate Change Science Program to introduce uncertainty about global warming and its impacts. In 2005, Cooney resigns after being accused of doctoring scientific reports and is hired by Exxon. A Union of Concerned Scientists report published samples of Cooney's edits (p.56).</p>
JUNE 11, 2009	<p><a href="#">"THE PROPORTIONALITY OF GLOBAL WARMING TO CUMULATIVE CARBON EMISSIONS," PUBLICATION BY DAMON MATTHEWS PUBLISHED IN NATURE</a></p>	<p><b>Damon Matthews publishes seminal research in the peer-reviewed Nature journal</b> showing a linear relationship between greenhouse gas emissions and increasing global temperatures.</p>
AUG. 12, 2009	<p><a href="#">EMAIL FROM API CEO JACK GERARD TO API'S MEMBERSHIP REGARDING A SERIES OF "ENERGY CITIZEN" RALLIES IN 20 STATES DURING THE END OF THE CONGRESSIONAL RECESS</a></p>	<p><b>The American Petroleum Institute's CEO, Jack Gerard, emails API's membership promising "up front resources" and encouraging turnout for "Energy Citizen" rallies in about 20 states. Gerard says they are "collaborating closely with the allied oil and natural gas associations" in order to "aim a loud message at those states' U.S. Senators to avoid the mistakes embodied in the House climate bill."</b></p>
NOV. 22, 2013	<p><a href="#">"TRACING ANTHROPOGENIC CARBON DIOXIDE AND METHANE EMISSIONS TO FOSSIL FUEL AND CEMENT PRODUCERS, 1854-2010," PUBLICATION BY RICK HEEDE PUBLISHED IN CLIMATIC CHANGE</a></p>	<p><b>Rick Heede, co-founder and director of the Climate Accountability Institute, authors a peer-reviewed study</b> revealing that 90 producers of oil, natural gas, coal, and cement – the "carbon majors" – are responsible for 63 percent of cumulative industrial CO<sub>2</sub> and methane emissions worldwide between 1751 and 2010. Just 28 companies are responsible for 25 percent of all emissions since 1965.</p>

## Truth or CO<sub>2</sub>sequences

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NOV. 11, 2014	<p><a href="#">"WSPA PRIORITY ISSUES," PRESENTATION BY WESTERN STATES PETROLEUM ASSOCIATION PRESIDENT CATHERINE REHEIS-BOYD</a></p>	<p><b>The Western States Petroleum Association, a top lobbying and trade association for the oil industry, describes in a presentation the</b> <i>"campaigns and coalitions [it has] activated that have contributed to WSPA's advocacy goals and continue to respond to aggressive anti-oil initiatives in the West,"</i> including investment <i>"in several coalitions that are best suited to drive consumer and grassroots messages to regulators and policymakers."</i></p>
SEPT. 2016	<p><a href="#">"2016 CITY OF IMPERIAL BEACH SEA LEVEL RISE ASSESSMENT"</a></p>	<p><b>The City of Imperial Beach, California, releases a report</b> that assesses the city's vulnerability to sea level rise and identifies adaptation strategies, along with estimated costs, to address those impacts.</p>
APRIL 2017	<p>STATE OF <a href="#">CALIFORNIA, MARIN COUNTY, AND SAN MATEO COUNTY</a> SEA LEVEL RISE ASSESSMENT REPORTS</p>	<p><b>The State of California, along with San Mateo and Marin Counties, release separate reports</b> that assess the impacts of sea level rise on their communities, detailing the substantial monetary losses, infrastructure and property damage, and decrease in quality of life residents will face.</p>
JUNE 26, 2017	<p><a href="#">"THE INCREASING RATE OF GLOBAL MEAN SEA-LEVEL RISE DURING 1993-2014," CHEN, ET.AL., PUBLISHED IN NATURE CLIMATE CHANGE</a></p>	<p><b>A new peer-reviewed study</b> confirms that the rate of sea level rise is accelerating and concludes that, for coastal communities, it <i>"highlights the importance and urgency of mitigating climate change and formulating coastal adaptation plans to mitigate the impacts of ongoing sea level rise."</i></p>